

**Social Care REC**

**Annual Report**

**01 April 2016 - 31 March 2017**



## Part 1 – Committee Membership and Training

<b>Name of REC:</b>	Social Care REC
<b>Type of REC:</b>	Authorised
<b>Type of Flag:</b>	Adult Social Care, Qualitative Research, Research Involving Adults Lacking Capacity
<b>Chair:</b>	Dr Martin Stevens
<b>Vice-Chair:</b>	Ms Susan Harrison
<b>Alternate Vice-Chair:</b>	Mr Craig Moss
<b>REC Manager:</b>	Mrs Barbara Cuddon
<b>REC Assistant:</b>	Ms Patrycja Pysz Ms. Fola Ovie-Afabor (February 2016 to July 2016) Ms Zuleka Alawa (July 2016 to January 2017) Ms Marisa Scott (February to May 2017)
<b>Committee Address:</b>	Ground Floor Skipton House 80 London Road London SE1 6LH
<b>Telephone:</b>	0207 972 2568
<b>Email:</b>	nrescommittee.social-care@nhs.net

## Chair's overview of the past year:

### 1.1 Introduction

Welcome to the seventh Annual Report of the Social Care Research Ethics Committee (SCREC) for England, now in its eighth year of operation. The Social Care REC has become much more integrated into the Health Research Authority in 2016-7 but retains a strong focus on social care research and the SCREC is still a firmly established feature of the social care research world. The SCREC provides substantial support and advice to researchers in addition to its primary role of delivering research ethics opinions to the sector. In doing so it is in a unique position to reflect upon aspects of the volume and quality of social care research, albeit in a generalised way. However, we have also reviewed a wider range of research studies in the past year, including many more focusing on more clinical settings.

Overall, there was a decrease in the number of studies reviewed by the Committee in 2016-17 compared with the previous year (40 compared with 52), although this is hard to interpret in respect of the overall amount of social care research being undertaken. Also, we have reduced the number of meetings this year from 11 to 10. Continuing the pattern from last year, we gave a relatively small number (6) of 'unfavourable' decisions, this year, compared with 5 the previous year and again there was an increase in the proportion of 'Provisional' outcomes (29, 73%) compared with (34, 65%) in 2015-6 and with (21, 47%) in 2014-15. This does not necessarily suggest a trend, but it is interesting to note as something to consider for future comparisons.

This report will give an overview of the composition and a flavour of the kinds of ethical issues we are responding to in reviewing social care research.

### 1.2 The Mental Capacity Act 2005

While many researchers demonstrate a confident familiarity with the requirements of the Mental Capacity Act (2005) and only minor amendment is needed to their applications. However there continues to be a small minority of researchers, some from other research traditions, who appear to have little knowledge of the requirements of the Act. As last year, some researchers continue to have problems in:

- demonstrating a good enough justification for including people who lack capacity
- devising means of approaching and recruiting people who lack capacity (i.e. in identifying who can be consultees and the roles that consultees have)
- adapting methods to ensure that people who lack capacity can participate meaningfully.

We have had to refuse permission for some studies to involve this group of people on several occasions because of these difficulties. We would advise that research that involves adults who lack capacity can add time and complexity to studies and require researcher/s to have or be able to access the necessary expertise. However it is essential that such research is undertaken. Providing services that meet the needs of people who lack capacity who are likely to have the most severe impairments, requires the generation of good quality research evidence, informed by the participation of the people who need these services. What is of importance for the justification is to stress the different perspectives and experiences that people who lack capacity have

### 1.3 Recruitment of social care research participants

We reviewed 24 substantial amendments (up from 15 in the previous year). Many of the amendments related to problems in recruitment, a trend we have noticed over the past two years. Researchers have obviously continued to have problems engaging local authorities in their studies, to help with recruitment, identifying potential other organisations and in research governance. This is also apparent again from comments in the annual progress reports submitted to the Committee.

### 1.4 Applications based in postgraduate degrees

Again in this year, we have received many applications from postgraduate students, most of whom have submitted excellent applications, and the students themselves have appeared confident and knowledgeable. Attendance of the student, with his or her supervisor is a very important factor, enabling the Committee to make good judgements about the applications. Students attending Committee without their supervisors often struggle, which sometimes has an impact on the eventual opinion of the Committee. Such difficulties are not necessarily related to the quality of students' proposals, their general research knowledge or professional expertise. Supervisors can respond to material questions concerning, for example, institutional policies, about which students may not be informed. In addition, supervisors will invariably have a more advanced understanding of, for example, the technical requirements of research ethics review or relevant legislation. The supervisor can also illustrate the degree of supervision and support available to students, who often are researching sensitive topics, and will usually require debrief and ongoing advice. We strongly advise that supervisors routinely attend Committee either in person or by phone in order to support their student.

### 1.5 Proportionate review

Only two Proportionate reviews were held this year compared with three in 2015-16): one was given a 'Favourable with conditions' opinion and the other was given a 'Provisional' opinion.. This remains an unusual route for applications to the Social Care REC; it appears that most social care research usually presents material ethical issues, making 'Proportionate review' inappropriate.

### 1.6 Standards

The Social Care REC reviews up to 6 studies per meeting, the average this year is 4 reviews per meeting, compared with 4.7 in 2015-16. All of the final opinions were delivered well within HRA prescribed maximum of 60 days and our average time from receipt of a valid application to final opinion is 28 days, down from 32 days last year. Furthermore, the percentage of applications reviewed within 40 days increased from 90% to 97.5% with only one study taking over 40 days.

This improvement in review periods is largely due to the meticulous attention paid to the administration of the REC by our REC Manager, Barbara Cuddon. REC members and applicants alike rely heavily on her extensive knowledge and understanding of ethical and NRES processes and procedures. However, thanks is also due to REC members who have worked hard to consider further information provided after 'Provisional' opinions in good time.

We did not undertake a feedback survey during the period under review, although feedback is invited from applicants, and we meet occasionally with researchers and research funders, including the NIHR School for Social Care Research, to clarify issues and to review mutual perceptions. This kind of informal feedback has been positive. We have also received a number of positive comments from researchers about both the helpfulness of the review and the experience of the meetings. This was a typical comment:

*Thanks to you and the Research Ethics Committee members for your email and for your very helpful comments and requested changes. ... We would also like to say what a very positive and helpful experience we found the meeting to be.*

The NRES complaints procedure is available to applicants to the Social Care REC, but has been invoked neither during the review period nor previously.

The Social Care REC responds, where appropriate, to consultation documents from the HRA/NRES and external organisations. For example, The Committee submitted a response to the second edition of the UK policy framework for health and social care research, which contains the plans to replace the Research Governance Framework.

## 1.7 Conclusion

We believe that the Social Care REC plays a valued and effective role in advising researchers and promoting ethical and worthwhile research in social care, whilst protecting the interests of participants. A measure of its impact may be seen in its extensive referencing within the Scottish Government's 'Navigating Ethical Approval and Access in Social Care Research' consultation document, a consultation to which we have submitted evidence.

On a personal note, I have continued to enjoy my role as Chair; the work has thrown up many interesting and knotty ethical problems, to which other Committee members have usually found elegant and practical solutions. The Committee has an enormous store of specialist knowledge, experience of social care research and ability to use high level ethical reasoning to inform final opinions on applications. I feel sure that the work of the Committee continues to improve the ethical standards and quality of the social care research it reviews,

As always, the success of the Committee's work is down, in huge part to the continuing support of Barbara Cuddon, our REC Manager. Her excellent organizational skills and initiative are an essential part of the system. The Social Care REC also owes continuing thanks to the Health Research Authority, for its strong support for the Committee. Finally, and not at all least, thanks to all members of the Committee who contribute a great deal, all on top of their normal jobs, or on a purely voluntary basis. I have very much enjoyed working with them.



Dr Martin Stevens – April 2017

## Social Care REC Membership

Name	Profession	Expert or Lay	Dates	
			Appointed	Left
Mr Sean Bolton	Lay Public Involvement Advisor	Lay Plus	01/01/2017	
Ms Jeanne Carlin	Self-employed Disability Consultant	Lay	19/11/2012	
Ms Sam Clemens	Research Director	Expert	16/02/2009	
Ms Rachel Dittrich	Research Manager	Lay	16/02/2009	
Mr Robert Droy	Personalisation Expert Panel Chair	Lay Plus	02/01/2015	
Dr Michael Dunn	Lecturer in Health and Social Care Ethics	Lay Plus	04/03/2009	
Ms Sandra Eismann	Demand and Capacity Advisor	Lay Plus	18/08/2016	
Ms Susan Harrison	Health and Social Services Manager	Lay	22/02/2009	
Ms Claire Lambert	Social Researcher	Expert	18/11/2012	
Ms Valerie Lang	Retired	Lay Plus	15/02/2009	01/07/2016
Mrs Irene Linder	Retired	Lay Plus	25/02/2009	
Mr Craig Moss	Research Director	Lay	12/03/2009	
Mrs Elayne Nasr	Retired School Matron	Lay Plus	05/01/2016	05/01/2017
Mrs Bridget Penhale	Reader in Mental Health of Older People	Expert	23/02/2009	
Dr Lindsey Pike	Senior Research and Development Officer	Lay	16/12/2014	
Dr Kathylene F Siska	Social Worker/Academic	Expert	21/11/2012	28/04/2016
Dr Martin Stevens	Senior Research Fellow	Expert	28/11/2013	

## Social Care REC: Deputy Members

Name	Profession	Status	Meeting date attended
------	------------	--------	-----------------------

## Social Care REC: Co-opted Members

Name	Profession	Status	Meeting date attended

## Social Care REC: Members' Declarations of Interest:

Name	Declaration of Interest	Date
Mr Sean Bolton	Carers Hidden Harm Project - University of Birmingham	10/01/2017
Mr Sean Bolton	International Advisory Board Research Registry	10/01/2017
Mr Sean Bolton	National Institute for Health Research Evaluation, Trials and Studies Co-ordinating Centre	10/01/2017
Mr Sean Bolton	National Institute for Health Research Centre Commissioning Facility	10/01/2017
Mr Sean Bolton	Research Institute of Consumer Affairs	10/01/2017
Mr Sean Bolton	Member of Trailblazers Campaign Group (Muscular Dystrophy)	10/01/2017
Ms Jeanne Carlin	None Declared	05/02/2017
Ms Jeanne Carlin	A member of the NIHR School for Social Care Research - User, Carer and Practitioner Reference Group	04/11/2016
Ms Jeanne Carlin	A member of the Social Care Workforce Research Unit - User and Carer Advisory Group	04/11/2016
Ms Jeanne Carlin	A member of the University of Hertfordshire - Advisory Group for the RESPOND Research Study	04/11/2016
Ms Jeanne Carlin	A member of Editorial Board for PMLD Link	04/11/2016
Ms Sam Clemens	Research Director at IPSOS MORI	03/02/2017
Ms Rachel Dittrich	I chair Hampshire County Councils Research Governance panel.	09/03/2017
Mr Robert Droy	No Declared Interests	01/03/2017
Dr Michael Dunn	I am a member of the Executive Advisory Board for the Executive Care Group (private care home provider organisation in the north of England). There is the possibility that this group will, in the future, be a site in which social care research is conducted.	28/02/2017
Dr Michael Dunn	I am an academic at the University of Oxford, involved in conducting research into the ethical aspects of health and social care.	28/02/2017
Ms Sandra Eismann	Position held: Demand and Capacity Programme Advisor at NHS England. The Demand and Capacity Programme is a joint programme between NHS England and NHS Improvement	19/08/2016
Ms Sandra Eismann	Reviewer for the CHRODIS platform assessing best practices on chronic care in Europe <a href="http://platform.chrodis.eu/">http://platform.chrodis.eu/</a>	19/08/2016
Ms Sandra Eismann	Manager at NHS England	03/02/2017
Ms Susan Harrison	Owner and Director of Harrison Consultants Ltd, social care management consultancy, which could be commissioned to deliver or contribute to small scale research.	02/02/2017
Ms Susan Harrison	None other than a nominal £1 shareholder interest in my own company Harrison Consultants Ltd. See above.	02/02/2017
Ms Susan Harrison	As of February 2017 I am a member of the National Research and Ethics Advisors' Panel' (NREAP).	02/02/2017

Ms Susan Harrison	Trustee of Cuba Medical Electives, an educational charity. It is unlikely that any conflict of interest would arise.	02/02/2017
Ms Susan Harrison	Trustee of Changing Faces, a disfigurement charity. From time to time I advise on research projects associated with the charity.	02/02/2017
Ms Susan Harrison	I am the Head of Health and Homelessness for the NHS in London and in that context may from time to time commission small scale research.	02/02/2017
Ms Claire Lambert	Associate Director at IPSOS MORI in the Health and Social Care Team	03/02/2017
Mrs Irene Linder	None declared	03/02/2017
Mr Craig Moss	Research Director of Social Research Partnership (SRP)- A research Consultancy	03/02/2017
Mr Craig Moss	Sole Owner of SRP	03/02/2017
Mr Craig Moss	Trustee of the Little Free Liberty UK Charity	03/02/2017
Mr Craig Moss	I am the sole researcher with SRP responsible for designing, educating, analysing, reporting and management of all research studies since 01/01/17	03/02/2017
Mrs Bridget Penhale	Member Action on Elder Abuse	10/03/2017
Mrs Bridget Penhale	Member Ann Craft Trust	10/03/2017
Mrs Bridget Penhale	Member PASA (Practitioner Alliance for Safeguarding Adults)	10/03/2017
Mrs Bridget Penhale	Researcher working on a number of research projects (details can be provided on request)	10/03/2017
Mrs Bridget Penhale	Member of Faculty of Medicine and Health Sciences Research Ethics Committee, University of East Anglia	10/03/2017
Mrs Bridget Penhale	Peer reviewer for a number of research funding organisations: NIHR, ESRC, Nuffield Foundation, Alzheimer's Society	10/03/2017
Mrs Bridget Penhale	Peer reviewer for a number of journals (Ageing and Society, Age and Ageing, BJSW, Journal of Social Work	10/03/2017
Dr Martin Stevens	Chair of SSRG (Social Services Research Group), which is occasionally involved with primary research	01/03/2017
Dr Martin Stevens	My professional role as Senior Research Fellow at the Social Care Workforce Research Unit mainly involves undertaking research in this field	01/03/2017

### Meetings for Full Ethical Review 01 April 2016 - 31 March 2017:

Month	Date	Number of Members Present at Meeting
April	01/04/2016	9
May	06/05/2016	9



July	01/07/2016	9
September	02/09/2016	11
October	07/10/2016	9
November	04/11/2016	11
December	02/12/2016	9
February	03/02/2017	12
March	03/03/2017	10

9 full committee meetings were held during the reporting period.

**Proportionate Review Sub-Committee Meetings held during 01 April 2016 - 31 March 2017:**

Month	Date	Number of Members Present at Meeting
November	23/11/2016	3
March	24/03/2017	3

2 proportionate review sub-committee meetings were held during the reporting period.

**Sub-Committee Meetings held during 01 April 2016 - 31 March 2017:**

Month	Date	Number of Members Present at Meeting
June	24/06/2016	2
July	15/07/2016	2
August	05/08/2016	2
September	28/09/2016	2
October	14/10/2016	2
October	19/10/2016	2
November	04/11/2016	2
November	30/11/2016	2
December	07/12/2016	3
December	21/12/2016	2
December	31/12/2016	2
February	15/02/2017	2
March	27/03/2017	3
March	31/03/2017	2

14 sub-committee meetings were held during the reporting period.

**Details of inquorate meeting held: 01 April 2016 - 31 March 2017**

None.

### Attendance of Members at full committee meetings:01 April 2016 - 31 March 2017

Name	Number of Meetings Attended
Mr Sean Bolton	2
Ms Jeanne Carlin	4
Ms Sam Clemens	7
Ms Rachel Dittrich	7
Mr Robert Droy	7
Dr Michael Dunn	6
Ms Sandra Eismann	5
Ms Susan Harrison	7
Ms Claire Lambert	7
Valerie Lang	3
Mrs Irene Linder	8
Mr Craig Moss	6
Mrs Bridget Penhale	5
Dr Lindsey Pike	6
Dr Martin Stevens	9

### Attendance of Members at proportionate review sub-committee meetings: 01 April 2016 - 31 March 2017

Name	Number of Meetings Attended
Ms Rachel Dittrich	2
Dr Michael Dunn	1
Ms Susan Harrison	1
Dr Martin Stevens	2

### Attendance of Members at sub-committee meetings: 01 April 2016 - 31 March 2017

Name	Number of Meetings Attended
Ms Sam Clemens	2
Ms Rachel Dittrich	2
Dr Michael Dunn	2
Ms Susan Harrison	5
Ms Claire Lambert	3
Mr Craig Moss	6
Dr Lindsey Pike	2
Dr Martin Stevens	8

**Training 01 April 2016 - 31 March 2017**

<b>Name of Member</b>	<b>Date</b>	<b>Event(s) attended</b>
Mr Sean Bolton	20/01/2017	Exploring the Ethics of Participatory Research
Mr Sean Bolton	24/01/2017	On-line Induction for new RES Committee Members completed
Mr Sean Bolton	30/01/2017	Equality Diversity and Human Rights
Ms Jeanne Carlin	20/01/2017	Exploring the Ethics of Participatory Research
Ms Sam Clemens	20/01/2017	Exploring the Ethics of Participatory Research
Ms Rachel Dittrich	27/03/2017	MRC Research Data and Confidentiality
Ms Rachel Dittrich	27/03/2017	RES language and exclusion document
Ms Rachel Dittrich	27/03/2017	RES Adults lacking capacity
Mr Robert Droy	01/02/2017	Read HRA Guidance in Information Sheets and Consent Forms
Mr Robert Droy	01/02/2017	Read Mental Capacity Act 2005 - Questions and Answers document
Mr Robert Droy	01/03/2017	Read RES Language and Exclusion Document
Dr Michael Dunn	17/06/2016	Masterclass on research & Ethics and the Mental Capacity Act
Dr Michael Dunn	09/02/2017	Medical Students on Health Care Research Ethics
Dr Michael Dunn	27/02/2017	Biochemistry Students on Medical Research ethics
Ms Sandra Eismann	12/09/2016	Equality and Diversity
Ms Sandra Eismann	12/09/2016	Induction for new Research Ethics Service Members online
Ms Sandra Eismann	06/12/2016	Training - Committee Members Induction
Ms Sandra Eismann	20/01/2017	Exploring the Ethics of Participatory Research
Ms Susan Harrison	01/12/2016	National training Day for REC Chairs
Ms Susan Harrison	20/01/2017	Exploring the Ethics of Participatory Research
Ms Claire Lambert	30/03/2017	Equality and Diversity training
Mrs Irene Linder	20/01/2017	Exploring the Ethics of Participatory Research
Mr Craig Moss	09/11/2016	New REC Chairs
Mr Craig Moss	20/01/2017	Exploring the Ethics of Participatory Research
Mrs Bridget Penhale	20/01/2017	Exploring the Ethics of Participatory Research

Dr Lindsey Pike	20/01/2017	Exploring the Ethics of Participatory Research
Dr Martin Stevens	07/11/2016	Attended London Chairs' meeting on 07 November 2016
Dr Martin Stevens	09/03/2017	General Data Protection Regulations

## PART 2: REC WORKLOAD AND ACTIVITY DURING THE REPORTING PERIOD

**Table 1: Applications assigned to a full committee meeting held within the reporting period:**

<b>Applications for full ethical review – Study Type</b>	<b>Number</b>	<b>%</b>
Clinical Trial of Investigational Medicinal Product	0	0.00
Phase 1	0	0.00
Gene Therapy	0	0.00
Research Tissue Bank (including renewals)	0	0.00
Research Database (including renewals)	0	0.00
Others	40	100.00
<b>Total Applications Reviewed</b>	<b>40</b>	<b>100</b>

**Table 2: Breakdown of full applications and other activity during reporting period**

Number of applications made invalid by the REC Manager	1
Number of applications withdrawn prior to the meeting	1
Number of student applications reviewed	14
Number of paediatric applications reviewed	2
Number of device applications reviewed	0
Number of prisoner applications reviewed	1
Number of applications involving adults unable consent reviewed	17
Number of applications reviewed that are funded by the US DHHS	0
Number of qualitative applications reviewed	20

**Table 3: Decisions given at meetings held within the reporting period**

<b>Decisions taken at meetings following review of applications</b>	<b>Number</b>	<b>%</b>
Favourable Opinion with Standard Conditions	1	2.50
Favourable Opinion with Additional Conditions	4	10.00
Unfavourable Opinion	6	15.00
Provisional Opinion	29	72.50
Provisional Opinion Pending Consultation with Referee	0	0.00
<b>Total</b>	<b>40</b>	<b>100</b>
Number of studies sent back to full committee meeting for final opinion	0	

**Table 4: Summary of current status of applications reviewed during the reporting period**

Status of applications at date of generation of report	Number	%
Further Information Favourable Opinion with Standard Conditions	24	60.00
Further Information Favourable Opinion with Additional Conditions	4	10.00
Further Information Unfavourable Opinion	0	0.00
Favourable Opinion with Standard Conditions	1	2.50
Favourable Opinion with Additional Conditions	4	10.00
Unfavourable Opinion	5	12.50
Provisional Opinion	1	2.50
Provisional Opinion Pending Consultation with Referee	0	0.00
Further Information response not complete	0	0.00
No decision entered on system	0	0.00
Number of studies withdrawn after the meeting	1	2.50
<b>Total</b>	<b>40</b>	<b>100</b>

**Table 5: Applications assigned to a proportionate review sub-committee within the reporting period**

<b>Total Applications Reviewed</b>	<b>2</b>
------------------------------------	----------

**Table 6: Breakdown of PRS applications and other activity during reporting period:**

Number of applications made invalid by the REC Manager	0
Number of studies withdrawn prior to the meeting	0
Number of student applications reviewed	0
Number of paediatric applications reviewed	0
Number of device applications reviewed	0
Number of qualitative applications reviewed	0

**Table 7: Decisions given at proportionate review sub-committee meetings held within the reporting period**

Decisions taken at proportionate review sub-committee meetings	Number	%
Favourable Opinion with Standard Conditions	1	50.00
Favourable Opinion with Additional Conditions	0	0.00
No Opinion transfer to full committee for review	0	0.00
Provisional Opinion	1	50.00
Unfavourable Opinion	0	0.00
<b>Total</b>	<b>2</b>	<b>100</b>

**Table 8: Other Management Information based on the number of completed applications for the reporting period:**

<b>Average number of applications reviewed per full meeting</b>	4.44
<b>Number of completed applications for full ethical review</b>	39
<b>Number of completed applications for full ethical review over 60 days</b>	0
<b>Number of completed applications over 60 days as a % of total</b>	0.00%
<b>Number of completed applications for full ethical review over 40 days</b>	1
<b>Number of completed applications over 40 days as a % of total</b>	2.50%
<b>Number of days taken to final decision – average (mean)</b>	28
<b>Number of completed proportionate review applications for ethical review</b>	2
<b>Number of completed proportionate review applications for ethical review over 14 days (Review of PR applications was extended from 14 to 21 calendar days in December 2016)</b>	0
<b>Number of completed proportionate review applications for ethical review over 21 days</b>	0
<b>Number of completed proportionate review applications over 14 days as a % of total</b>	0.00%
<b>Number of SSAs (non-Phase 1) reviewed</b>	0
<b>Number of completed applications for SSA review over 25 days</b>	0
<b>Number of completed applications for SSA review over 25 days as % of all non- Phase 1 SSAs</b>	0.00%
<b>Number of SSAs (Phase 1) reviewed</b>	0
<b>Number of completed applications for SSA review over 14 days</b>	0
<b>Number of completed applications for SSA review over 14 days as % of all Phase 1 SSAs</b>	0.00%
<b>Number of substantial amendments reviewed</b>	23
<b>Number of completed substantial amendments over 35 days</b>	0
<b>Number of completed substantial amendments over 35 days as a % of total substantial amendments</b>	0.00%
<b>Number of completed substantial amendments over 28 days</b>	0
<b>Number of completed substantial amendments over 28 days as a % of total substantial amendments</b>	0.00%
<b>Number of modified amendments reviewed</b>	0
<b>Number of completed modified amendments over 14 days</b>	0
<b>Number of completed modified amendments over 14 days as a % of total modified amendments</b>	0.00%
<b>Number of non substantial amendments received</b>	22
<b>Number of substantial amendments received for information</b>	0

<b>Number of substantial amendments received for new sites/PIs</b>	0
<b>Number of annual progress reports received</b>	22
<b>Number of safety reports received</b>	0
<b>Number of Serious Adverse Events received</b>	0
<b>Number of final reports received</b>	15



**Table 9.1: Breakdown of current status of all full applications reviewed within the reporting period**

<b>Further Information Favourable Opinion with Standard Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
16/IEC08/0016	Living through Landscapes (v1)	30
16/IEC08/0017	Bathing Adaptations in the Homes of Older Adults	30
16/IEC08/0019	Patient satisfaction of eTherapies within a dedicated IAPT service	38
16/IEC08/0021	Mental Health Stories: The Experiences of People Aged 75 and Older.	33
16/IEC08/0024	Socially Oriented Approaches to recovery for African and Caribbean men	23
16/IEC08/0025	Identifying the trauma-related profiles of children in foster care	27
16/IEC08/0027	Support for older carers of older people	23
16/IEC08/0028	Personal Assistants and Personal Budgets	24
16/IEC08/0029	Developing a revised easy-read version of ASCOT	28
16/IEC08/0031	Female genital self-image	24
16/IEC08/0032	Narratives of Home	44
16/IEC08/0037	Promoting Alcohol Reduction In Non-Treatment Seeking parents (PARENTS)	28
16/IEC08/0041	Surgical Care for Female Urinary Incontinence Qualitative Study	27
16/IEC08/0042	Neonatal Palliative Care in Hospice (NePaCH): a qualitative study	23
16/IEC08/0044	Safeguarding adults who lack mental capacity	26
16/IEC08/0045	SUSTAIN: "Over 75 Service" and "Home First: Swale"	33
16/IEC08/0046	Young Adult Carers and Social Care Services	27
16/IEC08/0049	Developing a music assessment tool with people with dementia	23
17/IEC08/0003	Objects, dementia and risk: An ethnographic study in care homes	39
17/IEC08/0006	Outcomes in Social Care Assessments - an exploratory study	38
17/IEC08/0008	Dementia workshop for care home staff: feasibility RCT	30
17/IEC08/0009	People with Intellectual Disabilities: Becoming Less Eligible for Care?	25
17/IEC08/0010	Investigating the role of the private sector in NHS hip replacements	25
17/IEC08/0011	Observation of 'Mobile Me' activities with people with dementia	39

<b>Further Information Favourable Opinion with Additional Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
16/IEC08/0038	Impact of Pre-Trial Therapy on Sexual Violence Survivors	36
16/IEC08/0040	'We're Not the Enemy'	24
17/IEC08/0005	Adult Short Term Services User Survey	35
17/IEC08/0012	Helix ArCH	39

<b>Further Information Unfavourable Opinion</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

<b>Favourable Opinion with Standard Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
16/IEC08/0026	Personal Social Services Survey of Adult Carers in England, 2016-17	19

<b>Favourable Opinion with Additional Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
16/IEC08/0030	Creating a living and inclusive archive of learning disability history	20
16/IEC08/0035	Optimal time study	20
17/IEC08/0004	Older men at the margins, social engagement & loneliness V1	27
17/IEC08/0007	Independent Financial Advice for Social Care (version 1)	28

<b>Unfavourable Opinion</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
16/IEC08/0015	How autism friendly are housing and supports for young autistic people	32
16/IEC08/0018	Evaluation of the Carer's Employment Pilot (CEP)	17
16/IEC08/0034	Elder Abuse and Justice	20
16/IEC08/0047	Investigating the role of the private sector in NHS hip replacements	21
16/IEC08/0048	How do arts activities provide positive engagement for dementia?	18

<b>Provisional Opinion</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
16/IEC08/0036	Exploring Support Work practice in Learning Difficulty Settings-1	n/a

<b>Provisional Opinion Pending Consultation with Referee</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

<b>Further information response not complete</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

<b>Withdrawn after the meeting</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
16/IEC08/0020	Digital Vulnerability V2	24

**Table 9.2: Breakdown of current status of all PRS applications reviewed within the reporting period**

<b>Further Information Favourable Opinion with Standard Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
17/IEC08/0016	Commissioning Home Care for Older People	12

<b>Further Information Favourable Opinion with Additional Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

<b>Further Information Unfavourable Opinion</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

<b>Favourable Opinion with Standard Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
16/IEC08/0043	Personal Social Services Adult Social Care Survey, England, 2016-17	8

<b>Favourable Opinion with Additional Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

**Unfavourable Opinion**

REC Reference	Title	Number of Days on Clock
---------------	-------	-------------------------

**Provisional Opinion**

REC Reference	Title	Number of Days on Clock
---------------	-------	-------------------------

**Further information response not complete**

REC Reference	Title	Number of Days on Clock
---------------	-------	-------------------------

**Withdrawn after the meeting**

REC Reference	Title	Number of Days on Clock
---------------	-------	-------------------------

**Table 10.1: Breakdown of current status of all substantial amendments reviewed within the reporting period**

<b>Favourable opinion</b>				
<b>Amendment REC Reference</b>	<b>Title</b>	<b>Version</b>	<b>Date</b>	<b>Number of Days on Clock</b>
14/IEC08/1018/AM04	CLECC feasibility study	2	25/10/2016	25
15/IEC08/0004/AM02	Multi-professional involvement in ACP within nursing homes v1	1	29/11/2016	25
15/IEC08/0005/AM03	Comprehensive modelling of outcomes for those with memory problems	v4	26/04/2016	16
15/IEC08/0016/AM01	CARING study	1	05/09/2016	19
15/IEC08/0029/AM01	Comprehensive modelling of outcomes for those with memory problems 1.	1, 6 February 2017	14/03/2017	9
15/IEC08/0043/AM01	Defining Quality Home Care for People with Dementia	1	01/09/2016	8
15/IEC08/0049/AM01	Fathers with learning disabilities and their experiences of adult care	1	14/10/2016	5
15/IEC08/0061/AM02	Measuring Outcomes of Care Homes	2	14/06/2016	13
15/IEC08/0061/AM03	Measuring Outcomes of Care Homes	3	09/12/2016	15
15/IEC08/0062/AM01	Transition to adult social care from residential schools V.2	Amendment 1	25/05/2016	6
15/IEC08/0062/AM02	Transition to adult social care from residential schools V.2	2	20/09/2016	10
16/IEC08/0011/AM04	A system-level evaluation of the Better Care Fund	1	22/02/2017	20
16/IEC08/0014/AM01	Forced marriage of people with learning disabilities	1	14/10/2016	14
16/IEC08/0016/AM02	Living through Landscapes (v1)	2	11/10/2016	13
16/IEC08/0017/AM01	Bathing Adaptations in the Homes of Older Adults	1	31/10/2016	2
16/IEC08/0017/AM0	Bathing Adaptations in the Homes of Older Adults	2	01/03/2017	28

2				
16/IEC08/0019/AM01	Patient satisfaction of eTherapies within a dedicated IAPT service	1	13/09/2016	26
16/IEC08/0025/AM01	Identifying the trauma-related profiles of children in foster care	Amendment 1 22.07.2016	26/07/2016	5
16/IEC08/0025/AM03	Identifying the trauma-related profiles of children in foster care	2 [REC AM03]	14/09/2016	17
16/IEC08/0025/AM05	Identifying the trauma-related profiles of children in foster care	3	02/11/2016	6
16/IEC08/0025/AM06	Identifying the trauma-related profiles of children in foster care	4	21/12/2016	25
16/IEC08/0029/AM01	Developing a revised easy-read version of ASCOT	1	01/11/2016	7
16/IEC08/0029/AM02	Developing a revised easy-read version of ASCOT	2	17/11/2016	13

#### Unfavourable opinion

Amendment REC Reference	Title	Version	Date	Number of Days on Clock
-------------------------	-------	---------	------	-------------------------

**Table 10.2: Breakdown of current status of all modified amendments reviewed within the reporting period**

#### Favourable opinion timeline

Amendment REC Reference	Title	Version	Date	Number of Days on Clock
-------------------------	-------	---------	------	-------------------------

#### Unfavourable opinion timeline

Amendment REC Reference	Title	Version	Date	Number of Days on Clock
-------------------------	-------	---------	------	-------------------------

**Table 11: Items exceeding timelines**

**Full applications for ethical review over 60 day timeline**

REC Reference	Title	Number of Days on Clock
---------------	-------	-------------------------

**Proportionate review applications for ethical review over 21 day timeline**

REC Reference	Title	Number of Days on Clock
---------------	-------	-------------------------

**SSAs (non Phase 1) over 25 day timeline**

REC Reference	Title	Number of Days on Clock
---------------	-------	-------------------------

**SSAs (Phase 1) over 14 day timeline**

REC Reference	Title	Number of Days on Clock
---------------	-------	-------------------------

**Substantial Amendments over 35 day timeline**

Amendment REC Reference	Title	Version	Date	Number of Days on Clock
-------------------------	-------	---------	------	-------------------------

**Modified Amendments over 14 day timeline**

Amendment REC Reference	Title	Version	Date	Number of Days on Clock
-------------------------	-------	---------	------	-------------------------