

HEALTH RESEARCH AUTHORITY

Register of FOI requests – from 01 April 2013 to 31 March 2014



Health Research Authority

FOI No. Yr/FOI/#	Nature of FOI request	Date received by HRA	Division	Name of recipient at HRA	Date acknowledged sent to requester	Days taken to issue an acknowledgement (working)	DATE BY WHICH RESPONSE REQ'D	Date forwarded to FOI lead (ST)	Date response sent to FOI requester	# days to taken to respond (working)	Notes
13-FOI-145	Request for the HR and finance structures for the HRA	03/04/2013	Corporate	FOI email	09/04/2013	5	30/04/2013	N/A	09/04/2013	5	Information already available on HRA website. Section 21 exemption
13-FOI-146	Request for any records past or present held on trials conducted involving inhaled beclomethasone, also spelled "beclomethasone" I am conducting a Cochrane systematic review in Beclomethasone and after comprehensive searching of the medical literature, I am concerned that there is publication bias in the literature available. I was also wondering how far back your records would go, and who would have held records before your organisation had this responsibility? This is to the background that inhaled beclomethasone was first published in the literature in 1972, so I would be interested in anything from 1965 onwards	03/04/2013	NRES	FOI email	09/04/2013	5	30/04/2013	N/A	23/04/2013	15	Information provided
13-FOI-147	ESRC-funded project: Managing suffering at the end of life: a study of continuous deep sedation until death. Grant reference: RES-062-23-2078 I am seeking to obtain copies , or have access via an internet link, to correspondence /details of the approval, full details of the amendments that had to be made to comply with NHS protocols and any correspondence with participants taking part in organising this research	15/04/2013	NRES	FOI email	22/04/2013	6	13/05/2013	N/A	10/05/2013	19	Information provided
13-FOI-148	Request for correspondence from Quintiles regarding a complaint between the requester and the HRA	18/04/2013	NRES	JW	22/04/2013	3	16/05/2013	18/04/2013	14/05/2013	16	Information provided with names from Quintiles email removed pending investigation into complaint. Section 21 exemption applies
13-FOI-149	ESRC-funded project: Managing suffering at the end of life: a study of continuous deep sedation until death. Grant reference: RES-062-23-2078 I am seeking to obtain copies , or have access via an internet link, to correspondence /details of the approval, full details of the amendments that had to be made to comply with NHS protocols and any correspondence with participants taking part in organising this research. Can you also provide any guidance on the legality of using 'terminal ' or 'palliative ' sedation in the UK NHS, and whether there is any difference in the legislative framework between NHS England, NI, Scotland and Wales	19/04/2013	NRES	FOI email	22/04/2013	2	17/05/2013	N/A	N/A	2	Information not provided Duplication of 13-FOI-147.
13-FOI-150	Request for information regarding how many healthy volunteers in phase I trials have been registered on the TOPS database for every year since it was launched in 2002. 1. The number of healthy volunteers registered on The Over-volunteering Protection System (TOPS) for every year since 2002 2. The no. of healthy volunteers registered on TOPS by each of the following CROs, for every year since 2002: a. Quintiles b. PAREXEL c. HMR d. Richmond Pharmacology e. University of Surrey Clinical Research Centre f. Covance (Leeds) g. ICON (Manchester) h. GSK i. Simbec (Wales) j. Jamos (Northern Ireland) Information be provided in a tabular format. Further request regarding how volunteers are added to the database.	01/05/2013	TOPS	FOI email	07/05/2013	4	30/05/2013	N/A	30/05/2013	20	Part 1 - information provided. Part 2 - not released - as potentially commercial sensitive information (section 43 FOIA)
13-FOI-151	Request for the minutes of the Warwickshire REC meeting relating to 07/H1211/96 which was approved on 30 October 2007, with particular interest in the RECs decision to allow the use of the EUS and ERCP procedures.	09/05/2013	NRES	FOI email	09/05/2013	1	06/06/2013	N/A	20/05/2013	9	Information provided

13-FOI-152	Request for a copy of the following research protocols 10/H0713/79 - An evaluation of early pubertal suppression in a carefully selected group of adolescents with Gender Identity Disorder 11/LO/1512 - Investigating the burden of gender identity disorder (GID) in children and adolescents: a surveillance study of incidence, clinical presentation, co-morbidities and natural history Ideally, this would be sent to me electronically but if that is not possible, please would you post this to the address above?	22/05/2013	NRES	FOI email	23/05/2013	1	19/06/2013	N/A	13/06/2013	16	Approval for release of documentation sought and received from CI/sponsor. Information provided
13-FOI-153	Please answer for all the NHS trusts which are part of the group which received this request, broken down by each trust Please also provide information which is held relating to Primary Care Trusts Request for job titles of trust employees which contain any of the following word or phrases: Affairs, Art, Arts, Awareness, Brand, Branding, Carbon, Change, Climate, Communication(s), Culture, Decarbonisation, Diversity, Ecological, Ecology, Energy, Environment, Environmental, Equalities, Equality, Europe, European, EU, External, Government, Governmental, Green, International, Insight, Leadership, Liaison, Media, Political, Press, PR, Public Affairs, Public Relations, Recycling, Reputation, Rights, Shape, Stakeholder, Sustainable, Sustainability, Transformation, Transformational b) For each employee returned under this question, request to provide their: 1. Job description for each job title 2. Total annual remuneration in financial year 2012-13. This should include, but not be limited to, salaries, expenses, benefits in kind, employer pension contributions and bonuses. Please note I am not requesting the name of any employee, or any other information that may identify them individually. If you can only provide salary bandings, please continue to do so. If you cannot answer this question, please continue to answer questions 1a) and 1b) as requested.	11/06/2013	Corporate	FOI email	11/06/2013	1	08/07/2013	11/06/013	20/06/2013	8	Relevant information provided. Salary details refused as section 21 applies
13-FOI-154	I would like to request the following information: - Incidences of different types of cancer by local authority across the UK to include how this has changed over time and age and sex of patients either receiving treatment of palliative care. I want to know the number of people who have received treatment for each different type of known cancer in each local authority every year going back to when these records started. The purpose of this request is to establish whether there is a correlation between where a person lives and the type of cancer they might get, if they do get cancer. It is also to establish whether there is a higher risk of getting any type of cancer in certain areas than in others.	11/06/2013	Other	QA	11/06/2013	1				1	Information not held by HRA
13-FOI-155	A copy of the research protocol for 04/Q0401/41 - Transsexual Identities: Constructions of gender in an NHS Gender Identity Clinic	13/06/2013	NRES	J Wisely	14/06/2013	2	10/07/2013	13/06/2013	10/07/2013	20	Information not provided. File not held.IG investigation undertaken with conclusion file misplaced during transfer between offices.
13-FOI-156	When was the RTB status introduced and a request for a list of all the approved banks	19/06/2013	NRES	Haydock Nortf	20/06/2013	2	16/07/2013	19/06/2013	21/06/2013	3	Information provided

13-FOI-157	<p>Request for information re Mobile Phone contract(s):</p> <ol style="list-style-type: none"> Existing Supplier(s) - If there is more than one supplier please split the contract up including the contract value, number of users, duration, contract dates and internal contact details. Total contract value- If there isn't a total contract value please can you provide me with the latest annual spend on mobile phone for each network provider. Number of Users- Number of connections for each network provider. Duration of the contract- please state if the contract also include contract extensions for each provider. Call off Contract/Framework Agreement Start Date- please provide me with information on when the framework commenced and include the month and year and day if possible for each network provider. Call off Contract/Framework Agreement Expiry Date- please provide me with information on when the framework expires and include the month and year and day if possible for each network provider. Contract/Framework Agreement Review Date- please provide me with the month and year and day if possible of when the organisation plans to review it mobile phone contracts for each network provider. The person within the organisation responsible for this particular contract. Can you send me the full contact details Contact Name, Job Title, Contact Number and direct email address for each network provider. If the has more than one supplier can you please split the contract into to so they show information for both supplier including, total contract value, users, duration, and the other dates. <p>Can you also send me the organisation's telephone maintenance contracts for both PBX and VOIP maintenance:</p> <ol style="list-style-type: none"> Supplier(s) Name(s) Total Contract Value Hardware Brand Application(s) running on PBX/VOIP Duration- including any extension periods. Expiry Date Number of Users Contract Review Date Contact/job title responsible for this contract (Full Contact Details contact name, actual job title, direct contact number and direct email address. If there is more than one provider for telephone maintenance can you please provide me all the individual contract information for each supplier. 	24/06/2013	Corporate	FOI email	26/06/2013	3	19/07/2013	N/A	19/07/2013	20	Some information not held. Relevant information provided
13-FOI-158	<p>REC Reference number: 07/Q2501/3</p> <p>Full title of study: Clinical evaluation of percutaneous implantation of the Corevalve aortic valve prosthesis, safety and performance study on patients at high risk of surgery</p> <p>You requested the following:</p> <ul style="list-style-type: none"> All versions of the protocol All versions of the investigator brochure All amendments to the study All annual progress reports for 2007 onwards All Corevalve instructions for use (of the prosthesis) All CE marks for each of the Corevalve prosthesis All serious adverse incident reports where patient anonymity is maintained A list of all available documents available for the study if not expressly included in the list above A copy of the Glenfield hospital study application dated 15th December 2006 and the supporting documents which made up this 	01/07/2013	NRES	Linda Ellis	08/07/2013	6	26/07/2013	02/07/2013	26/07/2013	20	Some information not provided - Section 41 exemption applies.
13-FOI-159	<p>Could you please let us know if it is a must to publish the summary of a Phase I study or if we can leave A6-1 blank?</p> <p>If we have to complete A6-1: is the name of the Sponsor mentioned in the publication?</p> <p>Has everybody access to the study information on the website?</p>	08/07/2013	NRES	FOI email	09/07/2013	2	N/A	08/07/2013	09/07/2013	2	Not an FOI request but response provided
13-FOI-160	<p>I am currently looking for information (if you have any) on the following and would like to make a FOI request on the data requested below.</p> <ul style="list-style-type: none"> The number of Labour and Delivery Rooms in the UK The number of Sub acute & Intermediate Care beds in the uk The Number of recovery beds in UK hospitals (Including wake up beds if possible) The number of Emergency Beds in the UK (more than 12 hours of stay) 	17/07/2013	Other	FOI email	17/07/2013	1	N/A	N/A	17/07/2013	1	Information not held by the HRA
13-FOI-161	<p>Request for all current information about the powers and responsibilities of the HRA Board including the Board's standing orders, its policies and procedures, mission statement and statement of values; with a description of any constraints imposed on the Board by any regulation coming from the National Health Service</p>	29/07/2013	Corporate	S Tebbutt	05/08/2013	6	23/08/2013	N/A	09/08/2013	10	Section 21 exemption applied

13-FOI-162	"I would like to know whether an ethical review for any such proving would be required and whether any has ever been granted and, if so, to whom and for what homeopathic medicines" "Can you tell me if you are aware of any current or past applications or opinions given for any homeopathic proving". Follow up request for application forms relating to the identified studies.	12/07/2013	NRES	Queries line	16/07/2013 Dealt with via queries line	3	08/08/2013	02/08/2013	06/08/2013	18	Approval for release of documentation sought from CI/sponsor. Certain exemptions applied. Partially information provided (Section 43 exemption)
13-FOI-163	Request for standing orders of NREAP and full documentation determining various policies and procedures governing the Panel	16/08/2013	NREAP	Clive Collett	N/A final response sent	5	16/09/2013		22/08/2013	5	Information provided / section 21 exemption applied
13-FOI-164	Request for disclosure of documentation indicating the legal and temporal parameters which would guide the HRA in making the distinction between what a complainant can legitimately raise with the HRA and what they may not	16/08/2013	Corporate	G Habicht	N/A final response sent	5	16/09/2013		22/08/2013	5	Information not held
13-FOI-165	• An up to date list of Phase 1 units in the UK from The Over-Volunteering Prevention System (TOPS) with or without contact names.	05/09/2013	TOPS	S Tebbutt	09/09/2013	3	02/10/2013	N/A	30/09/2013	18	Information not held
13-FOI-166	Please send me any information that you hold relating to previous Section 251 approvals for Primary Care Trusts receiving personal identifiable data re patients, for commissioning purposes. If you do not hold this yourselves, please refer me to where I might find this. I am happy to receive any information that you hold electronically, at this e-mail address.	23/09/2013	CAG	N Dunkley	24/09/2013	2	18/10/2013	N/A	09/10/2013	13	Section 41 exemption applied
13-FOI-167	I would like to request the following pieces of information under the FOI request regarding Section 251 of the NHS Act (2006) on access to identifiable patient records: 1. the number of applications since 2006, per year, for permission to access patient information without consent under Section 251 of the NHS Act (2006). 2. the number of individual patient records involved in those applications since 2006, per year. 3. the total number of individuals / institutions or organisations who made requests, 4. the company/institution names of the NHS Trust or other Institution / Organizations making those applications 5. The numbers in both questions 1 and 2 above, which were approved vs rejected: These for the geographical split: a) Nationally (please confirm where excluding Scotland) b) in West Sussex NHS Trusts up to 2012, and since then from the Coastal West Sussex Commissioning Group, Crawley Clinical Commissioning Group, Horsham and Mid Sussex Clinical Commissioning Group, and NHS England. 6) The time frame under which the 251 applications' granted expire - or confirm whether they are granted indefinitely. And finally 7) please clarify what is: DEID4LA - mentioned in the Minutes of the meeting of the Confidentiality Advisory Group, 13 June 2013 I note the website states "Queries over the scope of approval should be directed to the applicant in the first instance" - but I assume the HRA / CAG can provide a summary overview of total populations, number of requests and records, that you have for your statistical analysis, which are used in making a thorough and accurate assessment of the applications and to keep track of their ongoing approvals.	17/10/2013	CAG	N Dunkley	17/10/2013	1	13/11/2013	17/10/2013	11/11/2013	18	Information provided
13-FOI-168	• The list of research misconduct violations for Health Research Authority (HRA) led research by PhD researchers to Professors for the periods 2011/12, 2012/13 and 2013/present. • For any instances were research misconduct cases were identified; how these were handled by the HRA and what the outcome of any investigation was.	28/10/2013	NRES	FOI email	31/10/2013	4	22/11/2013	N/A	31/10/2013	4	Nil return
13-FOI-169	Please provide information on the vendor used, licence expiry and licence cost for each of the following IT security areas within the organisation: 1. Desktop anti-virus 2. Protection of Microsoft Exchange environment 3. Email gateway 4. Web gateway 5. Mobile device management 6. Hard disk 7. Removable media encryption 8. Firewall 9. VPN Please also provide the total number of computers within the organisation.	29/10/2013	Corporate	FOI email	30/10/2013	2	25/11/2013	N/A	31/10/2013	2	Information provided
13-FOI-170	How many studies relating to Obstetrics and Gynaecology have been given ethical approval over the last 10 years (2003 to present), and of those how many were related to urogynaecology.	01/11/2013	NRES	FOI email	01/11/2013	1	28/11/2013	N/A	05/11/2013	5	Information provided

13-FOI-171	<p>1. Did any of your employees receive private medical insurance as a benefit as part of their terms of employment by the Health Research Authority during the last three financial years?</p> <p>2. If so, how many employees received such a benefit during the last three financial years?</p> <p>3. Did any Board members receive such a benefit in this time frame? i. If so, how many during each of the three years?</p> <p>4. Did the Chief Executive receive such a benefit in this time frame?</p> <p>5. Please provide a breakdown of how much the organisation spent in the last three financial years on such benefits for: i. the Chief Executive ii. Board members iii. other employees iv. all employees If this request is denied in whole or in part, I ask that you justify all deletions and exemptions by reference to specific exemptions</p>	19/11/2013	Corporat	FOI email	19/11/2013	1	16/12/2013	N/A	19/11/2013	1	Nil return
13-FOI-172	Please can you provide the structure chart for your organisations IT / ICT / Information Systems Department. I would also be grateful if you are able to provide the names of managers and any direct lines and email addresses if applicable.	25/11/2013	Corporat	FOI email	26/11/2013	2	20/12/2013	N/A	26/11/2013	1	Information not held - provided through DH Open Service
13-FOI-173	The number of studies given ethical approval per year from 2004 until present which related to obstetrics and gynaecology and those which related to urngynacology.	26/11/2013	REC	FOI email	02/12/2013	5	23/12/2013	N/A	02/12/2013	5	Information provided
13-FOI-174	Request for a copy of the pay scale used which determines what each volunteer in a clinical trial is entitled to receive	16/12/2013	REC	FOI email	16/12/2013	1	15/01/2014	N/A	16/12/2013	1	No pay scale held. Directed to PIS annex 18 guidance
13-FOI-175	Request for list of current REC details and query if each REC office has a special code	17/12/2013	REC	FOI email	19/12/2013	3	16/01/2014	N/A	19/12/2013	3	Information already available on HRA website. Section 21 exemption
14-FOI-176	<p>Request from coroner - Mr Ellery, Senior Coroner for Shropshire, Telford & Wrekin Area is looking for evidence in writing that all the correct procedures were followed when authorising the trial of the below drugs on the deceased:</p> <p>Clinical Trial MK8457 and Methotrexate Clinical Trial at the Robert Jones and Agnes Hunt Orthopaedic Hospital, Gobowen, Shropshire Provisional Inquest 8th and 9th April 2014 at the Guildhall, Shrewsbury</p> <p>The study was given clinical trial authorisation by NHRA on 28th May 2012. The study was approved by the Research and Ethics Committee (NRES Committee West Midlands – Edgbaston) on 17th July 2013 with reference 12/WM/0142. The study was given RJAHS NHS Trust approval on 12th October 2012, following REC approval of amendment 1 dated 28th August</p>	16/01/2014	REC	Noel Graham	20/01/2014	3	12/02/2014	16/01/2014	20/01/2014	3	Information provided
14-FOI-177	<ul style="list-style-type: none"> The version of the Standard Operating Procedures for RECs in use in 2007 The version of the Approval of Medical Devices Research document in use in 2007 A copy of the summary of the lay explanation of a clinical study and also a summary of the favourable opinion, if available, for REC ref 07/Q2501/3 	20/01/2014	REC	FOI email	22/01/2014	3	14/02/2014	N/A	13/02/2014	19	Certain information provided. Some information not held.
14-FOI-178	Request for the approval letter and copy of the original application for any section 251 requests made by QResearch and/or Julia Hippisley-Cox	20/01/2014	CAG	FOI email	22/01/2014	3	14/02/2014	N/A	13/02/2014	19	Certain information provided. Section 41 exemption applied.
14-FOI-179	Request to provide a copy of the "Draft Labels" Annex B for the study 07/Q2501/3	22/01/2014	REC	FOI email	24/01/2014	3	18/02/2014	N/A	06/02/2014	12	Link to FOI request 13/FOI/158 Information not held
14-FOI-180	Request for all documents containing or recording communications between named HRA staff in the period from 1 January 2010 to 31 July 2012. For the avoidance of doubt this includes, but is not limited to, all letters, emails, texts, transcripts, etc including any deleted items stored on your systems.	27/01/2014	Corporat	Shevon Oxford	29/01/2014	3	21/02/2014	27/01/2014	20/02/2014	19	Information provided

14-FOI-181	<ul style="list-style-type: none"> Disaggregated data on the number of female and male researchers employed by the HRA as defined by http://en.wikipedia.org/wiki/List_of_academic_disciplines_and_sub-disciplines <ul style="list-style-type: none"> The number of female researchers in each discipline. Please indicate how many are teaching staff and how many are academics. The number of male researchers employed in each discipline. Please indicate how many are teaching staff and how many are academics. The number of women in top or managerial positions including the posts held by the women, for example, dean of faculty, principal investigator, etc. Top managerial positions include principal investigators, chief scientists, managers or directors. I am not looking for figures of those involved in departments such as finance or human resources or anyone not involved in teaching and or research. I only want figures for permanent staff. I would like this data in delimited text format as is the standard for most database software. Please provide a full copy of any databases/spreadsheets from which information was extracted in response to this request. Please note that section 102 of the FOI act obligates institutions to submit the data in the format that requesters require it in. In the event that these records contain columns that hold personal information covered by data protection provisions, please simply delete the offending columns and send the rest of the data without them. 	07/02/2014	N/A	FOI email	11/02/2014	3	06/03/2014	N/A	11/02/2014	3	Information not held
14-FOI-182	Request for all emails and correspondence between NRES and Imperial College regarding the REACT Trial (Celecoxib v placebo, NEC reference number 04/06/004)	17/02/2014	REC	FOI email	18/02/2014	2	14/03/2014	N/A	13/03/2014	19	Information provided
14-FOI-183	<p>Request for the following information concerning research studies at the University Hospital in Birmingham.</p> <p>I am interested in the research into the AAT (Alpha-1 Antitrypsin) deficiency carried out by the hospital specialist Professor Robert Stockley between 1996 and the present (especially the long-term "ADAPT" study). This is important research that has affected many people's lives.</p> <ol style="list-style-type: none"> I request copies of all documents that specify the pecuniary relationship between Professor Stockley (and his colleagues) and any sponsoring companies in relation to the AAT deficiency research, including details of the annual amount and nature (money, gifts, travel etc) of payments to investigators (including all staff involved in the research and also Professor Stockley himself). I also request copies of all documents that specify payments to the hospital (notably grant money) in relation to the AAT deficiency research from pharmaceutical companies, including the annual amount of payments. If these are not covered by the earlier questions, I also request copies of the Research Ethics Committee applications and associated papers submitted by Professor Stockley between 1996 and the present, including those covering his research into AAT deficiency and the ADAPT study at the hospital. According to an important university thesis on these issues, this will include South Birmingham Local Ethics Committee reference numbers 3359 and 3359(a). 	19/02/2014	REC	FOI email	20/02/2014	2	18/03/2014	N/A	12/03/2014	15	Information not held. File destroyed.
14-FOI-184	<p>I require the organisation to provide me with contract information relating to insurance services which include the following:</p> <ol style="list-style-type: none"> Motor Property Accident and Liability 	05/03/2014	Corporate	QA HRA	07/03/2014	3	01/04/2014	05/03/2014	26/03/2014	16	Information provided
14-FOI-185	<ul style="list-style-type: none"> Details of any contract including its value, purpose, dates and duration between the HRA and Jacquie Forde (or any of her business ventures). Any correspondence between the HRA and Jacquie Forde (or any of her business ventures) and any internal evaluations of the contract or conclusions reached as a result. 	10/03/2014	Corporate	FOI email	12/03/2014	2	07/04/2014	N/A	12/03/2014	2	Information not held.
14-FOI-186	<p>I am writing in regards to the "Financial Transparency" that is currently published on the following website: http://www.hra.nhs.uk/about-the-hra/governance/financial-transparency/</p> <p>There are no files available for March 2012, December 2012, February 2013 and December 2013. Can you advise if these are available?</p> <p>I would be grateful if you could email me a copy if these are available.</p>	13/03/2014	Corporate	FOI email	13/03/2014	0	10/04/2014	N/A	20/03/2014	5	Information provided and website updated