

National Research Ethics  
Service

  
*Health Research Authority*

# NRES Committee South East Coast - Surrey

## Annual Report

**01 April 2013 – 31 March 2014**

## Part 1 – Committee Membership and Training

<b>Name of REC:</b>	NRES Committee South East Coast - Surrey
<b>Type of REC:</b>	Authorised REC
<b>Type of Flag:</b>	None
<b>Chair:</b>	Prof David Russell-Jones
<b>Vice-Chair:</b>	Dr JHP Powell
<b>Alternate Vice-Chair:</b>	Miss Elizabeth Cheshire
<b>PRSC REC Manager:</b>	Miss Stephanie Macpherson
<b>REC Manager:</b>	Miss Stephanie Macpherson
<b>REC Assistant:</b>	Miss Gemma Oakes
<b>Committee Address:</b>	HRA Bristol Research Ethics Committee Centre Whitefriars Level 3, Block B Lewins Mead Bristol BS1 2NT
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### Chair's overview of the past year:

The Committee has functioned well and the committee members have played a vibrant role in examining all applications in a thorough and professional manner. After several years of upheaval and changing administrators everything has settled down. Much to the committee members surprise the current system being run from afar in Bristol is not causing any problems and is working well. Getting all members to find the time for recognised and tick box accredited training has been a challenge but ultimately successful. The plan in the next year is to organise our own training to comply with the regulations. I am grateful to the support given by the vice-chair and alternative chairs in addition to the committee members and administration staff. The motto and ethos of the Surrey Committee is "To facilitate and not hinder good research". We all look forward to the challenges of next year. Special thanks are due to Stephanie Macpherson who provided excellent support and administration.

## NRES Committee South East Coast - Surrey Membership:

Name	Occupation	Expert or Lay	Dates	
			Appointed	Resigned
Miss Mary Ayton	Infection Control Adviser	Expert	01/05/2005	
Dr Julia Boyle	Director Surrey CRC/Pharmacologist	Expert	12/01/2011	
Miss Elizabeth Cheshire	Consultant in Emergency Medicine/ Alternative Vice - Chair	Expert	01/06/2010	
Dr Matthew Dickinson	Consultant Anaesthetist	Expert	01/03/2011	13/08/2013
Mrs Margaret Handyside	Lay Member	Lay Plus	18/01/2011	
Rev D Hobden (Break in Service til Nov 2013)	Senior Chaplain	Lay Plus	01/07/2005	09/01/2014
Dr Stephen Houston	Consultant Medical Oncologist	Expert	01/05/2005	
Ms Wendy Joy	Lay Member	Lay Plus	12/01/2011	
Mrs Chrissie Lawson	Nurse Specialist	Expert	01/05/2006	
Dr Charles Li	Consultant Physician	Expert	12/01/2011	
Mr Michael Morris	Lay Member	Lay Plus	04/10/2007	
Dr JHP Powell	Consultant Physician / Committee Vice-Chair	Expert	01/05/2005	
Prof David Russell-Jones	Professor of Diabetes and Endocrinology / Committee Chair	Expert	01/04/2005	
Dr Jane Stuart	Public Health Researcher	Lay	01/10/2011	
Mr Robin Walsh	Lay Member	Lay	29/05/2013	

### Co-opted Members

Name	Occupation	Expert or Lay	Meeting date attended
Miss Stephanie Ellis	Former Civil Servant	Lay Plus	02 April 2013, 10 September 2013
Mrs Lyndsay Hills	Retired Registered Nurse	Lay	10 September 2013
Dr Alan Ruben	Retired GP	Expert	10 September 2013
Dr John Keen	Retired GP	Expert	02 April 2013
Dr Mark Atkins	Microbiologist	Expert	04 June 2013

**NRES Committee South East Coast - Surrey: Members' Declarations of Interest:**

<b>Name</b>	<b>Declaration of Interest</b>	<b>Date</b>
Rev D Hobden (Break in Service til Nov 2013)	Shares in GSK	24/09/2013
Mrs Chrissie Lawson	There are no Declarations of Interest.	07/01/2014
Ms Wendy Joy	There are no Declarations of Interest.	07/01/2014
Mrs Margaret Handyside	There are no Declarations of Interest	07/01/2014
Mr Robin Walsh	There are no Declarations of Interest	07/01/2014
Dr Jane Stuart	Trial Manager for an RCT 'First Steps' Study by Birkbeck University of London - NIHR Portfolio Study.	06/02/2014
Mr Michael Morris	Two Saints Limited Charity - Housing Association Joint LLP partner - law firm. Shareholder in public company	04/03/2014
Dr Charles Li	There are no Declarations of Interest.	06/03/2014
Prof David Russell-Jones	There are no Declarations of Interest.	06/03/2014
Miss Mary Ayton	Infection Control Specialist Advice to Mt Alvernia Hospital (BMI), Guildford, Surrey	04/03/2014
Dr Julia Boyle	Member of University of Surrey Ethics Committee Observer on the Council of Governors of Frimley Park Hospital Trust	06/03/2014
Dr JHP Powell	There are no Declarations of Interest	06/03/2014
Miss Elizabeth Cheshire	Vice President of Leonard Cheshire Disability.	17/03/2014
Stephen Houston	No Declarations of interest.	31/03/2014

**Meetings for Full Ethical Review 01 April 2013 - 31 March 2014:**

<b>Month</b>	<b>Date</b>	<b>Number of Members Present at Meeting</b>
April	02/04/2013	7
May	07/05/2013	9
June	18/06/2013	8
July	02/07/2013	8
September	10/09/2013	10
October	01/10/2013	9
November	05/11/2013	9
December	03/12/2013	10
January	07/01/2014	11
February	04/02/2014	10
March	04/03/2014	11

11 full committee meetings were held during the reporting period.

**Proportionate Review Sub Committee Meetings held during 01 April 2013 - 31 March 2014:**

<b>Month</b>	<b>Date</b>	<b>Number of Members Present at Meeting</b>
November	05/11/2013	3
December	03/12/2013	3
February	04/02/2014	3
March	04/03/2014	3

4 proportionate review sub-committee meetings were held during the reporting period.

**Attendance of Members: 01 April 2013 – 31 March 2014:**

<b>Name</b>	<b>Number of Meetings Attended</b>
Prof David Russell-Jones	10
Mr Michael Morris	9
Dr JHP Powell	9
Dr Julia Boyle	9
Miss Elizabeth Cheshire	9
Mr Robin Walsh	8
Mrs Chrissie Lawson	8
Mrs Margaret Handyside	7
Ms Wendy Joy	7
Miss Mary Ayton	6
Dr Charles Li	5
Dr Stephen Houston	5
Dr Jane Stuart	4
Dr Matthew Dickinson	1

**Number of inquorate meetings held: 0**

**Attendance of Members at proportionate review sub-committee meetings:  
01 April 2013 – 31 March 2014:**

<b>Name</b>	<b>Number of Meetings Attended</b>
Dr Julia Boyle	2
Mrs Chrissie Lawson	2
Prof David Russell-Jones	2
Ms Wendy Joy	1
Dr Jane Stuart	1
Dr JHP Powell	1
Miss Elizabeth Cheshire	1
Mr Michael Morris	1
Mr Robin Walsh	1

**Training 01 April 2013 – 31 March 2014:**

<b>Name of Member</b>	<b>Date</b>	<b>Event(s) attended</b>
Dr Julia Boyle	06/05/2013 - 06/05/2013	Online Equality and Diversity Training (30min), HRA
Mr Michael Morris	13/11/2013 - 13/11/2013	Personal Data in Research, NRES
Miss Mary Ayton	05/11/2013 - 05/11/2013	Human Tissue Act Training, NRES
Ms Wendy Joy	13/11/2013 - 13/11/2013	Personal Data in Research, NRES
Prof David Russell-Jones	11/12/2013 - 11/12/2013	Chairing Skills, HRA
Dr Julia Boyle	06/05/2013 - 06/05/2013	Equality & Diversity, HRA
Mr Robin Walsh	10/07/2013 - 10/07/2013	Induction, HRA
Miss Elizabeth Cheshire	18/09/2013 - 18/09/2013	Medical Defence Union in London- Supporting SDL for Medico-legal training
Miss Elizabeth Cheshire	20/09/2013 - 20/09/2013	Medical Defence Union in London - Supporting SDL SDL for Medico-legal training
Mrs Chrissie Lawson	04/11/2013 - 04/11/2013	Ethics & GCP Forum. Adapting to structural and regulatory changes
Dr Charles Li	10/03/2014 - 10/03/2014	Improving Informed Consent & Participant Information Sheets: a collaborative HRA, NIHR and MRC work, HRA
Dr JHP Powell	10/03/2014 - 10/03/2014	Improving Informed Consent & Participant Information Sheets: a collaborative HRA, NIHR and MRC work, HRA
Dr Stephen Houston	10/01/2014 - 10/01/2014	Self Directed Learning – reading Bad Pharma and Bad Science – Ben Goldacre, Manual for Research Ethics Committees – Sue Eckstein Section II(B) Tissues and genes p281-343
Dr Stephen Houston	31/10/2013 – 31/10/2013	Equality & Diversity

**Part 2: REC workload and activity during the reporting period.**

**Table 1: Applications assigned to a full committee meeting held within the reporting period:**

<b>Applications for full ethical review – Study Type</b>	<b>Number</b>	<b>%</b>
Clinical Trial of Investigational Medicinal Product	0	0.00
Phase 1	0	0.00
Gene Therapy	0	0.00
Research Tissue Bank	0	0.00
Research Database	0	0.00
Others	52	100.00
<b>Total Applications Reviewed</b>	<b>52</b>	<b>100</b>

**Table 2: Other REC activity during the reporting period:**

Number of applications made invalid by co-ordinator	10
Number of studies withdrawn prior to the meeting	10
Number of student applications reviewed	15
Number of paediatric applications reviewed	6
Number of device applications reviewed	1
Number of prisoner applications reviewed	0
Number of applications involving adults unable consent reviewed	0
Number of applications reviewed funded by the US DHHS	0

**Table 3: Decisions given at meetings held within the reporting period:**

<b>Decisions taken at meetings following review of applications</b>	<b>Number</b>	<b>%</b>
Favourable Opinion with Standard Conditions	1	1.92
Favourable Opinion with Additional Conditions	21	40.38
Unfavourable Opinion	3	5.77
Provisional Opinion	25	48.08
Invalid	0	0.00
No Opinion Pending Consultation with Referee	0	0.00
Provisional Opinion Pending Consultation with Referee	2	3.85
Not Requiring Review by NHS REC	0	0.00
<b>Total</b>	<b>52</b>	<b>100</b>
Number of studies sent back to full committee meeting for final opinion	0	



**Table 4: Summary of current status of applications reviewed during the reporting period:**

<b>Status of applications at date of generation of report</b>	<b>Number</b>	<b>%</b>
Further Information Favourable Opinion with Standard Conditions	25	48.08
Further Information Favourable Opinion with Additional Conditions	0	0.00
Further Information Unfavourable Opinion	0	0.00
Favourable Opinion with Standard Conditions	1	1.92
Favourable Opinion with Additional Conditions	21	40.38
Unfavourable Opinion	3	5.77
Provisional Opinion	0	0.00
Provisional Opinion Pending Consultation with Referee	0	0.00
Invalid	0	0.00
No Opinion Pending Consultation with Referee	0	0.00
Further Information response not complete	0	0.00
Not Requiring Review by NHS REC	0	0.00
No decision entered on RED	0	0.00
Number of studies withdrawn after the meeting	0	0.00
<b>Total</b>	<b>52</b>	<b>100</b>

**Table 5: Applications assigned to a proportionate review sub-committee within the reporting period:**

<b>Total Applications Reviewed</b>	<b>6</b>
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**Table 6: Decisions given at proportionate review sub-committee meetings held within the reporting period:**

<b>Decisions taken at proportionate review sub-committee meetings</b>	<b>Number</b>	<b>%</b>
Favourable Opinion with Standard Conditions	1	16.67
Favourable Opinion with Additional Conditions	2	33.33
No Opinion transfer to full committee for review	0	0.00
Provisional Opinion	3	50.00
Unfavourable Opinion	0	0.00
<b>Total</b>	<b>6</b>	<b>100</b>

**Table 7: Other Management Information for the reporting period:**

<b>Average number of applications reviewed per full meeting</b>	4.73
<b>Number of applications for full ethical review over 60 days</b>	0
<b>Number of applications over 60 days as a % of total</b>	0.00%
<b>Number of days taken to final decision - average</b>	33
<b>Number of days taken to final decision - mode</b>	22
<b>Number of SSAs (non-Phase 1) reviewed</b>	4
<b>Number of applications for SSA review over 25 days</b>	0
<b>Number of applications for SSA review over 25 days as % of all non-Phase 1 (SSAs)</b>	0.0%
<b>Number of SSAs (Phase 1) reviewed</b>	0
<b>Number of applications for SSA review over 14 days</b>	0
<b>Number of applications for SSA review over 14 days as % of all Phase 1 (SSAs)</b>	0%
<b>Number of substantial amendments reviewed</b>	58
<b>Number of substantial amendments over 35 days</b>	0
<b>Number of substantial amendments over 35 days as a % of total substantial amendments</b>	0.0%
<b>Number of Section 30 applications reviewed</b>	0
<b>Number of Section 30 applications over 60 days</b>	0
<b>Number of Section 30 applications over 60 days as a % of total Section 30 applications</b>	0%
<b>Number of modified amendments reviewed</b>	2
<b>Number of modified amendments over 14 days</b>	0
<b>Number of modified amendments over 14 days as a % of total modified amendments</b>	0.0%
<b>Number of proportionate review applications for ethical review over 14 days</b>	1
<b>Number of proportionate review applications over 14 days as a % of total</b>	20.0%

**Table 8: Breakdown of current status of all applications reviewed within the reporting period:**

<b>Further Information Favourable Opinion with Standard Conditions</b>		
<b>REC Reference</b>	<b>Application Short Title</b>	<b>Number of Days on Clock</b>
13/LO/0314	Predictors of progression from MCI to AD	31
13/LO/0601	Mannose Binding Lectin Deficiency & Recurrent Infection	56
13/LO/0613	Multimodal imaging of the "frontal lobe dementias"	42
13/LO/0621	Specificity of "4 Mountains" spatial memory test for Alzheimer's	36
13/LO/0714	Tear Osmolarity Prevalence	26
13/LO/0885	First-trimester cfDNA testing Version 1	52
13/LO/0942	Emotional and Social communication difficulties in Cerebral Palsy	46
13/LO/0971	Immune responses in blood samples from patients with uveitis (V1.0)	37
13/LO/1001	Can text messages increase safer sex behaviours in young people? Pilot	35
13/LO/1344	Forefoot complications in patients with RA	59
13/LO/1373	PTSD in the Relatives of Intensive Care Unit Survivors (Version 1)	46
13/LO/1400	Memory deficits in OCD whilst controlling for state/trait anxiety	33
13/LO/1404	Safeguarding strategies for bullying in PWLD.	45
13/LO/1586	Natural history, treatment and phenomenology of musical hallucinations	36
13/LO/1627	Pilot Study: Comparing the effectiveness of individual and group CBT	35
13/LO/1693	A measure of upper motor neuron involvement in motor neuron disease.	24
13/LO/1740	Young People's Experience of Taking Medication for ADHD	42
13/LO/1743	The experience of stroke during the first 2 weeks	25
13/LO/1871	RCT - Does Treating Incompetent Perforators Reduce Recurrence?	23
14/LO/0027	Parents with MS and their children; coping, parenting & attachment V1	49
14/LO/0030	Neurobiology of emotional dysfunction in prodromal psychosis	56
14/LO/0131	A new model of palliative care for people with chronic heart failure	22
14/LO/0254	Resilience in children who stammer	10
14/LO/0346	Novel methods for secure, non--invasive drugs of abuse testing	22
14/LO/0368	Patient perceptions after obstetric anal sphincter injury	21
14/LO/0426	MMC in visual pathway lesions. Version 1a.	15
14/LO/0429	Can genomics predict dysphagia after head and neck radiotherapy? - v1	8

**Further Information Favourable Opinion with Additional Conditions**

REC Reference	Application Short Title	Number of Days on Clock
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**Further Information Unfavourable Opinion**

REC Reference	Application Short Title	Number of Days on Clock
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**Favourable Opinion with Standard Conditions**

REC Reference	Application Short Title	Number of Days on Clock
13/LO/1913	Sexual behaviour before and after Chlamydia testing prior to treatment	7
14/LO/0155	Causes and predictors of outcome in IgA nephropathy	28

**Favourable Opinion with Additional Conditions**

REC Reference	Application Short Title	Number of Days on Clock
13/LO/0526	Impact of Contextual factors on Developmental function in CHD	10
13/LO/0531	Inpatient and clinician perspectives on constant observation	13
13/LO/0541	Bedside screening for post-partum iron deficiency anaemia V1	13
13/LO/0605	Value of PFS to patients: pilot phase	34
13/LO/0802	Vitamin D deficiency in Orthopaedic Trauma Patients	22
13/LO/0878	Is the recovery approach associated with treatment engagement?	33
13/LO/0889	Approaches to earlier detection & intervention in lung cancer-Study 2	23
13/LO/0951	Distance of Choroid Study-DOCS	38
13/LO/0963	GMK Sphere Fluoroscopy Study	35
13/LO/1349	eGFR-C	47
13/LO/1351	PROcedure Rehearsal for EVAR	44
13/LO/1396	Childhood Maltreatment, Adult attachment Style and Treatment outcomes	48
13/LO/1398	Exercise CMR in Pulmonary Arterial Hypertension	49
13/LO/1415	The Process of Engagement with Culture in Family Therapy	34
13/LO/1731	Parents experience of the autism assessment process for their child	21
13/LO/1767	C-MAC video-laryngoscope, comparison of direct versus indirect views.	7
13/LO/1768	Mindfulness-based behaviour therapy for OCD: A pilot RCT	16
13/LO/1769	What kind of self-help cognitive therapy is helpful for depression?	16
13/LO/1771	TOPS System Post Marketing Evaluation	8
13/LO/1820	Deprivation and non-progression of potential living kidney donors	24

14/LO/0149	HIIT in PR for ILD; a feasibility study	28
14/LO/0158	Experiences and outcomes of psychotherapy in personality disorder	27
14/LO/0358	PK	26

#### Unfavourable Opinion

REC Reference	Application Short Title	Number of Days on Clock
13/LO/1846	Stroke Novel Oral Anticoagulant (NOAC) project	50
13/LO/1921	How common is behavioural disturbance in epilepsy?	58
14/LO/0349	Nutritional therapy compared to usual care in schizophrenia	26

#### Provisional Opinion

REC Reference	Application Short Title	Number of Days on Clock
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#### Provisional Opinion Pending Consultation with Referee

REC Reference	Application Short Title	Number of Days on Clock
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#### Further information response not complete

REC Reference	Application Short Title	Number of Days on Clock
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#### Withdrawn after the meeting

REC Reference	Application Short Title	Number of Days on Clock
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#### Invalid Application

REC Reference	Application Short Title	Number of Days on Clock
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#### No Opinion Pending Consultation with Referee

REC Reference	Application Short Title	Number of Days on Clock
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#### Not Requiring Review by NHS REC

REC Reference	Application Short Title	Number of Days on Clock
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**Table 9: Items exceeding timelines:**

<b>Full applications for ethical review over 60 day timeline</b>			
<b>REC Reference</b>	<b>Application Short Title</b>	<b>Number of Days on Clock</b>	
<b>Proportionate review applications for ethical review over 14 days timeline</b>			
<b>REC Reference</b>	<b>Application Short Title</b>	<b>Number of Days on Clock</b>	
14/LO/0426	MMC in visual pathway lesions. Version 1a.	15	
<b>SSAs (non Phase 1) over 25 days timeline</b>			
<b>REC Reference</b>	<b>Application Short Title</b>	<b>Number of Days on Clock</b>	
<b>SSAs (Phase 1) over 14 days timeline</b>			
<b>REC Reference</b>	<b>Application Short Title</b>	<b>Number of Days on Clock</b>	
<b>Substantial Amendments over 35 day timeline</b>			
<b>Amendment Reference</b>	<b>Application Short Title</b>	<b>Amendment Title</b>	<b>Number of Days on Clock</b>
<b>Modified Amendments over 14 day timeline</b>			
<b>Amendment Reference</b>	<b>Application Short Title</b>	<b>Amendment Title</b>	<b>Number of Days on Clock</b>
<b>Section 30 application over 60 day timeline</b>			
<b>Amendment Reference</b>	<b>Application Short Title</b>	<b>Amendment Title</b>	<b>Number of Days on Clock</b>