

Research Ethics Service

RES Committee South West - Exeter

Annual Report

01 April 2014 - 31 March 2015



Part 1 – Committee Membership and Training

| Name of REC: | RES Committee South West - Exeter |
|-----------------------|--|
| Type of REC: | Authorised |
| Type of Flag: | Medical Devices Research Involving Children |
| Chair: | Dr Denise Sheehan |
| Vice-Chair: | Mrs Joan Ramsay |
| Alternate Vice-Chair: | Emeritus Professor Malcolm Cowburn |
| REC Manager: | Mrs Kirsten Peck |
| REC Assistant: | Miss Georgina Castledine |
| Committee Address: | Whitefriars Level 3 Block B Lewins Mead Bristol BS1 2NT |
| Telephone: | 0117 342 1332 |
| Email: | nrescommittee.southwest-exeter@nhs.net |

Chair's overview of the past year:

The Committee continues to work well together to review a wide variety of studies. We have welcomed new members to the committee in this past year, and would be keen to welcome new individuals to widen our pool of expertise.

We enjoyed a stimulating, wide-ranging training day in December.

I would like to thank all the members for their ongoing commitment and enthusiasm, and the team at the Bristol REC Centre for their support, and for all the work they do in the background to ensure that meetings are efficient and effective.

RES Committee South West - Exeter Membership

| Name | Profession | Expert or | Da | tes |
|---------------------------------------|--|-----------|------------|------------|
| | | Lay | Appointed | Left |
| Mrs Rosamund Bagnald | Retired Store Manager | Lay Plus | 01/11/2014 | |
| Mrs Isobel Brooks | Retired Social Care Manager | Lay Plus | 01/04/2010 | |
| Dr Lee Burton | GP | Expert | 01/04/2010 | 30/04/2014 |
| Emeritus Professor Malcolm Cowburn | Emeritus Professor of Applied Social Science | Lay Plus | 16/06/2014 | |
| Dr Nicole Dorey | Consultant Clinical Oncologist | Expert | 05/02/2009 | |
| Mr Christoph Lohan | Pharmacist | Expert | 15/07/2011 | 04/07/2014 |
| Dr. Roy J. Powell | Research Design Consultant | Expert | 01/04/2010 | |
| Mrs Joan Ramsay | Retired Associate Director of Nursing (Women and Children) | Lay | 01/03/2009 | |
| Mr Phil Regan | Minister of Religion | Lay Plus | 05/06/2009 | |
| Mrs Carol Richardson | Senior Nurse (Retired) | Lay | 01/05/2009 | |
| Dr Denise Sheehan | Consultant Oncologist | Expert | 01/04/2010 | |
| Dr Jo Thompson Coon | Senior Research Fellow in Health Services Research | Lay | 01/11/2014 | |
| Dr Chris Vallance | Retired chemist (non- research) | Lay Plus | 01/04/2010 | |
| Mr Royston Van Tromp | Retired Head Teacher | Lay Plus | 01/07/2011 | |

RES Committee South West - Exeter: Deputy Members

| Name | Profession | Status | Meeting date attended |
|------|------------|--------|-----------------------|
| | | | meeting date attended |

RES Committee South West - Exeter: Co-opted Members

| Name | Profession | Status | Meeting date attended |
|--------------------|-------------------------|----------|------------------------|
| Mr Brian Pixton | Retired Solicitor | Lay Plus | 03/04/2014, 04/09/2014 |
| Dr Sheila Dziobhan | Retired lecturer in Law | Lay Plus | 04/09/2014 |

RES Committee South West - Exeter: Members' Declarations of Interest:

| Name | Declaration of Interest | Date |
|---------------------------------------|--|------------|
| Mrs Rosamund Bagnald | Joint ownership of 26 Astrazenica shares with husband Anthony Bagnald | 30/10/2014 |
| Mrs Isobel Brooks | None | 04/12/2014 |
| Emeritus Professor Malcolm Cowburn | Emeritus Professor of Applied Social Science, Sheffield Hallam University. Honorary Professor of Criminology, University of Exeter. Membership of ESRC-3 Prisons Research Ethics Committee | 04/12/2014 |
| Dr Nicole Dorey | Consultant Clinical Oncologist. Involved in R&D as part of clinical work. | 31/03/2015 |
| Dr. Roy J. Powell | None | 03/07/2014 |
| Mrs Joan Ramsay | None | 04/12/2014 |
| Mr Phil Regan | None | 04/12/2014 |
| Mrs Carol Richardson | Preparing to pursue a PhD in Medical Law incorporating a medically focussed empirical study which will require REC approval. | 04/12/2014 |
| Dr Denise Sheehan | Consultant Oncologist entering patients into clinical trials | 04/12/2014 |
| Mr Royston Van Tromp | None | 03/07/2014 |
| Dr Jo Thompson Coon | Senior research fellow and deputy director of ESMI at university of Exeter medical school. (Not a charity but involved in research everyday as part of employment).Member of the NIHR RFPB committee in the southwest. | 07/11/2014 |
| Dr Chris Vallance | Shareholder in: Astra ZenecaGlaxoSmithKleineDechra | 04/12/2014 |

Meetings for Full Ethical Review 01 April 2014 - 31 March 2015:

| Month | Date | Number of Members Present at Meeting |
|-----------|------------|---|
| April | 03/04/2014 | 8 |
| Мау | 01/05/2014 | 9 |
| June | 05/06/2014 | 7 |
| July | 03/07/2014 | 8 |
| September | 04/09/2014 | 9 |
| October | 02/10/2014 | 9 |
| November | 06/11/2014 | 9 |
| February | 05/02/2015 | 10 |
| March | 05/03/2015 | 10 |

9 full committee meetings were held during the reporting period.

Proportionate Review Sub-Committee Meetings held during 01 April 2014 - 31 March 2015:

| Month | Date | Number of Members Present at Meeting |
|-----------|------------|---|
| April | 16/04/2014 | 3 |
| Мау | 12/05/2014 | 3 |
| June | 16/06/2014 | 3 |
| September | 15/09/2014 | 3 |
| October | 13/10/2014 | 3 |
| November | 06/11/2014 | 8 |
| December | 15/12/2014 | 3 |
| January | 20/01/2015 | 3 |
| February | 17/02/2015 | 3 |
| March | 17/03/2015 | 3 |

10 proportionate review sub-committee meetings were held during the reporting period.

Sub-Committee Meetings held during 01 April 2014 - 31 March 2015:

| Month | Date | Number of Members Present at Meeting |
|-------|------------|---|
| April | 09/04/2014 | 2 |
| April | 16/04/2014 | 3 |
| April | 17/04/2014 | 2 |
| April | 29/04/2014 | 5 |
| Мау | 09/05/2014 | 2 |
| Мау | 20/05/2014 | 2 |
| Мау | 30/05/2014 | 2 |
| June | 23/06/2014 | 3 |
| July | 01/07/2014 | 2 |
| July | 16/07/2014 | 2 |
| July | 24/07/2014 | 2 |
| July | 25/07/2014 | 2 |

| August | 08/08/2014 | 2 |
|-----------|------------|---|
| August | 15/08/2014 | 2 |
| August | 29/08/2014 | 2 |
| September | 10/09/2014 | 2 |
| September | 18/09/2014 | 2 |
| September | 26/09/2014 | 2 |
| October | 03/10/2014 | 2 |
| October | 15/10/2014 | 2 |
| October | 30/10/2014 | 2 |
| November | 12/11/2014 | 2 |
| November | 21/11/2014 | 2 |
| December | 19/12/2014 | 2 |
| January | 05/01/2015 | 3 |
| January | 23/01/2015 | 2 |
| January | 30/01/2015 | 2 |
| February | 20/02/2015 | 2 |
| February | 27/02/2015 | 2 |
| March | 05/03/2015 | 3 |
| March | 13/03/2015 | 2 |
| March | 20/03/2015 | 3 |
| March | 26/03/2015 | 3 |
| March | 27/03/2015 | 3 |

34 sub-committee meetings were held during the reporting period.

Details of inquorate meeting held:01 April 2014 - 31 March 2015

0

Attendance of Members at full committee meetings: 01 April 2014 - 31 March 2015

| Name | Number of Meetings Attended |
|------------------------------------|-----------------------------------|
| Mrs Rosamund Bagnald | 3 |
| Mrs Isobel Brooks | 8 |
| Dr Lee Burton | 1 |
| Emeritus Professor Malcolm Cowburn | 3 |
| Dr Nicole Dorey | 6 |
| Mr Christoph Lohan | 2 |
| Dr Roy J. Powell | 8 |
| Joan Ramsay | 8 |
| Mr Phil Regan | 8 |
| Mrs Carol Richardson | 8 |
| Dr Denise Sheehan | 6 |
| Dr Jo Thompson Coon | 1 |
| Dr Chris Vallance | 8 |
| Mr Royston Van Tromp | 6 |

Attendance of Members at proportionate review sub-committee meetings: 01 April 2014 - 31 March 2015

| Name | Number of Meetings Attended |
|----------------------|-----------------------------------|
| Mrs Rosamund Bagnald | 1 |
| Mrs Isobel Brooks | 8 |
| Dr Nicole Dorey | 4 |
| Mrs Joan Ramsay | 10 |
| Mrs Carol Richardson | 3 |
| Dr Denise Sheehan | 7 |
| Dr Chris Vallance | 1 |
| Mr Royston Van Tromp | 1 |

Attendance of Members at sub-committee meetings: 01 April 2014 - 31 March 2015

| Name | Number of Meetings Attended |
|----------------------|-----------------------------------|
| Mrs Isobel Brooks | 1 |
| Dr Lee Burton | 1 |
| Dr Nicole Dorey | 3 |
| Dr Roy J. Powell | 1 |
| Mrs Joan Ramsay | 32 |
| Mrs Carol Richardson | 3 |
| Dr Denise Sheehan | 33 |
| Dr Jo Thompson Coon | 1 |

| Dr Chris Vallance | 2 |
|----------------------|---|
| Mr Royston Van Tromp | 1 |

Training 01 April 2014 - 31 March 2015

| Name of Member | Date | Event(s) attended |
|---------------------------------------|------------|-----------------------------|
| Mrs Isobel Brooks | 17/11/2014 | Equality and Diversity |
| Mrs Isobel Brooks | 04/12/2014 | Local REC Training Day |
| Emeritus Professor Malcolm Cowburn | 04/12/2014 | Local REC Training Day |
| Dr Nicole Dorey | 04/12/2014 | Local REC Training Day |
| Dr Roy J. Powell | 04/12/2014 | Local REC Training Day |
| Mrs Joan Ramsay | 03/07/2014 | Training for New REC Chairs |
| Mrs Joan Ramsay | 04/12/2014 | Local REC Training Day |
| Mrs Joan Ramsay | 08/02/2015 | Equality and Diversity |
| Mr Phil Regan | 31/07/2014 | Equality and Diversity |
| Mr Phil Regan | 04/12/2014 | Local REC Training Day |
| Mrs Carol Richardson | 04/12/2014 | Local REC Training Day |
| Dr Denise Sheehan | 29/05/2014 | NREAP Meeting |
| Dr Denise Sheehan | 04/12/2014 | Local REC Training Day |
| Dr Chris Vallance | 04/12/2014 | Local REC Training Day |

PART 2: REC WORKLOAD AND ACTIVITY DURING THE REPORTING PERIOD

Table 1: Applications assigned to a full committee meeting held within the reporting period:

| Applications for full ethical review – Study Type | Number | % |
|---|--------|--------|
| Clinical Trial of Investigational Medicinal Product | 0 | 0.00 |
| Phase 1 | 0 | 0.00 |
| Gene Therapy | 0 | 0.00 |
| Research Tissue Bank (including renewals) | 0 | 0.00 |
| Research Database (including renewals) | 0 | 0.00 |
| Others | 44 | 100.00 |
| Total Applications Reviewed | 44 | 100 |

Table 2: Breakdown of full applications and other activity during reporting period

| Number of applications made invalid by the REC Manager | 1 |
|---|----|
| Number of applications withdrawn prior to the meeting | 1 |
| Number of student applications reviewed | 20 |
| Number of paediatric applications reviewed | 7 |
| Number of device applications reviewed | 7 |
| Number of prisoner applications reviewed | 1 |
| Number of applications involving adults unable consent reviewed | 0 |
| Number of applications reviewed that are funded by the US DHHS | 0 |
| Number of qualitative applications reviewed | 2 |

Table 3: Decisions given at meetings held within the reporting period

| Decisions taken at meetings following review of applications | Number | % |
|---|--------|-------|
| Favourable Opinion with Standard Conditions | 1 | 2.27 |
| Favourable Opinion with Additional Conditions | 2 | 4.55 |
| Unfavourable Opinion | 1 | 2.27 |
| Provisional Opinion | 40 | 90.91 |
| Provisional Opinion Pending Consultation with Referee | 0 | 0.00 |
| Total | 44 | 100 |
| Number of studies sent back to full committee meeting for final opinion | 1 | |

Table 4: Summary of current status of applications reviewed during the reporting period

| Status of applications at date of generation of report | Number | % |
|--|--------|-------|
| Further Information Favourable Opinion with Standard | 37 | 84.09 |
| Conditions | | |
| Further Information Favourable Opinion with Additional | 2 | 4.55 |
| Conditions | | |
| Further Information Unfavourable Opinion | 0 | 0.00 |
| Favourable Opinion with Standard Conditions | 1 | 2.27 |
| Favourable Opinion with Additional Conditions | 2 | 4.55 |
| Unfavourable Opinion | 1 | 2.27 |
| Provisional Opinion | 1 | 2.27 |
| Provisional Opinion Pending Consultation with Referee | 0 | 0.00 |
| Further Information response not complete | 0 | 0.00 |
| No decision entered on system | 0 | 0.00 |
| Number of studies withdrawn after the meeting | 0 | 0.00 |
| Total | 44 | 100 |

Table 5: Applications assigned to a proportionate review sub-committee within the reporting period

| Total Applications Reviewed | 23 |
|-----------------------------|----|

Table 6: Breakdown of PRS applications and other activity during reporting period:

| Number of applications made invalid by the REC Manager | 4 |
|--|---|
| Number of studies withdrawn prior to the meeting | 1 |
| Number of student applications reviewed | 9 |
| Number of paediatric applications reviewed | 3 |
| Number of device applications reviewed | 0 |
| Number of qualitative applications reviewed | 5 |

Table 7: Decisions given at proportionate review sub-committee meetings held within the reporting period

| Decisions taken at proportionate review sub- committee meetings | Number | % |
|--|--------|-------|
| Favourable Opinion with Standard Conditions | 2 | 8.70 |
| Favourable Opinion with Additional Conditions | 0 | 0.00 |
| No Opinion transfer to full committee for review | 0 | 0.00 |
| Provisional Opinion | 19 | 82.61 |
| Unfavourable Opinion | 2 | 8.70 |
| Total | 23 | 100 |

 Table 8: Other Management Information for the reporting period:

| Average number of applications reviewed per full meeting | 4.89 |
|---|--------|
| Number of applications for full ethical review | 44 |
| Number of applications for full ethical review over 60 days | 0 |
| Number of applications over 60 days as a % of total | 0.00% |
| Number of applications for full ethical review over 40 days | 17 |
| Number of applications over 40 days as a % of total | 38.64% |
| Number of days taken to final decision – average (mean) | 40 |

| Number of proportionate review applications for ethical review | 23 |
|---|--------|
| Number of proportionate review applications for ethical review over 14 days | 5 |
| Number of proportionate review applications over 14 days as a % of total | 21.74% |

| Number of SSAs (non-Phase 1) reviewed | 10 |
|--|-------|
| Number of applications for SSA review over 25 days | 0 |
| Number of applications for SSA review over 25 days as % of | 0.00% |
| all non- Phase 1 SSAs | |

| Number of SSAs (Phase 1) reviewed | 0 |
|--|-------|
| Number of applications for SSA review over 14 days | 0 |
| Number of applications for SSA review over 14 days as % of | 0.00% |
| all Phase 1 SSAs | |

| Number of substantial amendments reviewed | 71 |
|---|-------|
| Number of substantial amendments over 35 days | 3 |
| Number of substantial amendments over 35 days as a % of | 4.23% |
| total substantial amendments | |
| Number of substantial amendments over 28 days | 6 |
| Number of substantial amendments over 28 days as a % of | 8.45% |
| total substantial amendments | |

| Number of modified amendments reviewed | 4 |
|--|--------|
| Number of modified amendments over 14 days | 1 |
| Number of modified amendments over 14 days as a % of | 25.00% |
| total modified amendments | |

| Number of minor amendments received | 42 |
|---|----|
| Number of substantial amendments received for information | 1 |
| Number of substantial amendments received for new sites/PIs | 5 |
| Number of annual progress reports received | 50 |
| Number of safety reports received | 8 |
| Number of Serious Adverse Events received | 4 |
| Number of final reports received | 19 |

| Further Information Favourable Opinion with Standard Conditions | | |
|---|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 14/SW/0061 | Muscle metabolic, pH and blood oxygenation exercise response in CF | 30 |
| 14/SW/0068 | Adjustment and Psychological Flexibility in T2 Diabetes V1.0 | 59 |
| 14/SW/0072 | Understanding Treatment of Infection after Joint Replacement(v1.0) | 31 |
| 14/SW/0079 | DEFLECT III | 19 |
| 14/SW/0080 | Study of Pseudomonal Strains in Bronchiectasis | 30 |
| 14/SW/0081 | A PILOT STUDY OF DIETARY NITRATE SUPPLEMENTATION IN ANAEMIC PATIENTS | 53 |
| 14/SW/0092 | dietary nitrate, effects on hepatic perfusion | 33 |
| 14/SW/0093 | GLP-1 receptor blocker study | 41 |
| 14/SW/0101 | Yo-Yo dieting and adipose tissue dysfunction | 51 |
| 14/SW/0106 | Health benefits of a home-based exercise intervention in MWU_V1 | 30 |
| 14/SW/0108 | Measuring visual structure and function after optic nerve compression | 33 |
| 14/SW/0115 | VIRTUS – OUS | 37 |
| 14/SW/0139 | CADENCE Study version 1 | 59 |
| 14/SW/1004 | The psychological adjustment of family members of children with ABI | 58 |
| 14/SW/1016 | Validity of the Children's Cognitive Screening Instrument (CCoSI) | 53 |
| 14/SW/1026 | Exercise as a intervention in endometrial cancer survivors (1.0) | 34 |
| 14/SW/1052 | Unity Total Knee Replacement: prospective single centre clinical study | 45 |
| 14/SW/1066 | Fathers experience of their child's life limiting condition. Version 1 | 39 |
| 14/SW/1076 | Observational Study Utilizing Harmonic ACE®+7 Shears (ENG-14-001) | 56 |
| 14/SW/1077 | Raman spectroscopy in premalignant and malignant disease of the vulva | 32 |
| 14/SW/1079 | Pilot study into the use of Needle arthroscopy for joint assessment. | 44 |
| 14/SW/1090 | coronary assessment for mortality prediction v4 | 50 |
| 14/SW/1096 | Use of DGEMRIC to assess shoulder cartilage - pilot study. | 44 |
| 14/SW/1101 | The effect of plasma proteins on dry socket healing | 38 |
| 14/SW/1104 | Cystatin C as renal marker in patients receiving fenofibrate | 25 |
| 14/SW/1110 | "PremieStart" maternal & infant programme: Feasibility study Version 1 | 46 |
| 14/SW/1122 | Oculomotor training in Multiple Sclerosis | 33 |
| 14/SW/1139 | A study of the effectiveness of different kinds of hip replacements | 36 |
| 15/SW/0003 | The Association between Adiposity and Crohn's Disease | 36 |
| 15/SW/0017 | Study of Immune Drug Side Effects-SIDEFX. Version 1.0 | 39 |
| 15/SW/0021 | fATDIVA-Exeter (for Adipose Tissue Dlabetes VAriants) | 31 |
| 15/SW/0022 | Reward Processing and Childhood Abuse - V1 | 33 |

| 15/SW/0025 | A Randomised intra-patient Comparision of toric Intraocular lenses | 29 |
|------------|--|----|
| 15/SW/0026 | Evaluation & Development of Peninsula Alcohol and Violence Programme | 29 |
| 15/SW/0044 | Association of anxiety and depression with Oral Epithelial Dysplasia | 41 |
| 15/SW/0054 | Ageing and Oral Health in Subjects with Cleft Lip and Palate | 56 |
| 15/SW/0068 | CTCA feasibility in routine CTTAP version 1, 25/08/2014 | 59 |

| Further Information Favourable Opinion with Additional Conditions | | |
|---|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 14/SW/0109 | Investigating a role for IGFII in adipocyte regulation | 57 |
| 14/SW/1018 | Assessing the effect of caffeine on attention in Dementia. Version 2 | 36 |

| Further Information | on Unfavourable Opinion | |
|----------------------------|-------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Favourable Opinion with Standard Conditions | | |
|---|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 14/SW/0064 | The anti-erosion effects of 2 toothpastes on enamel | 21 |

| Favourable Opinion with Additional Conditions | | |
|---|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 14/SW/0125 | IEU Metabolomics Facility | 40 |
| 15/SW/0028 | The anti-erosion effects of toothpaste on tooth enamel | 27 |

| Unfavourable Opinion | | |
|----------------------|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 14/LO/0856 | An Investigation of Beliefs in Compulsive Hoarding | 31 |

| Provisional Opinion | | |
|----------------------|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 14/SW/1103 | Profiling Mantle cell Lymphoma version SRPLYM001 | n/a |

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| Provisional Opinion Pending Consultation with Referee | | |
|---|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Further information response not complete | | |
|---|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Withdrawn after the meeting | | |
|-----------------------------|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

Table 9.2: Breakdown of current status of all PRS applications reviewed within the reporting period

| REC Reference | Title | Number of Days on Clock |
|----------------------|---|-------------------------|
| 14/SW/0105 | Prolongation PREFER in AF Registry | 24 |
| 14/SW/0107 | Neutrophil-to-lymphopcyte ratio in cancer of the larynx and pharynx | 14 |
| 14/SW/0119 | Identifying + validating molecular targets in low grade brain tumours | 13 |
| 14/SW/0120 | School-based Asthma Project (SAP) | 20 |
| 14/SW/1011 | VOLUME4b | 12 |
| 14/SW/1013 | Arabin Pessary in women with twin pregnancy, Pilot | 11 |
| 14/SW/1015 | Screening for pre-eclampsia; exploring women's views | 13 |
| 14/SW/1095 | Validating the 4AT: A triage tool for delirium | 12 |
| 14/SW/1100 | The Role of circulating and disseminated cells in metastases | 7 |
| 14/SW/1102 | Social Interaction in Autism Spectrum Presentation | 10 |
| 14/SW/1129 | Lifestyle in Later Life | 20 |
| 14/SW/1130 | Text messages to improve adherence to nebulised medication in CF | 7 |
| 14/SW/1183 | Sustaining physical activity levels in inflammatory joint disease | 19 |
| 15/SW/0023 | Measuring Reticulocyte Parameters in Haemodialysis Patients | 11 |
| 15/SW/0024 | The AVVQ study | 10 |
| 15/SW/0036 | Increasing physical activity for people with schizophrenia | 11 |

| Further Information Favourable Opinion with Additional Conditions | | |
|---|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 14/SW/1145 | Q Sense Acceptability Study | 15 |
| 15/SW/0078 | Experiences_and_Expectations_of_a_Psychodermatology_Service_Version 1 | 14 |

| Further Information Unfavourable Opinion | | |
|--|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Favourable Opinion with Standard Conditions | | |
|---|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 14/SW/1014 | Emergency and Urgent Care Demand:Retrospective data analysis:Version 1 | 13 |
| 15/SW/0081 | Clinical outcomes in cardiac rehabilitation patients | 10 |

| Favourable Opinion with Additional Conditions | | |
|---|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Unfavourable Opinion | | |
|----------------------|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 14/SW/1185 | Intensive Community Care for Anorexia Nervosa (ICCAN study) | 9 |
| 15/SW/0037 | Salivary cortisol in sedated children version 1 | 14 |

| Provisional Opinion | | |
|----------------------|---------|-------------------------|
| REC Reference | Title M | Number of Days on Clock |

| Further information response not complete | | |
|---|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Withdrawn after t | he meeting | |
|----------------------|------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

Table 10.1: Breakdown of current status of all substantial amendments reviewed within the reporting period

| Favourable opinion | | | | |
|----------------------------|--|---------|------------|----------------------------|
| Amendment REC Reference | Title | Version | Date | Number of Days on Clock |
| 04/6/004/AM28 | Trial of Celecoxib vs Placebo in Patients with Primary Breast Cancer | 22 | 01/04/2014 | 7 |
| 04/6/004/AM29 | Trial of Celecoxib vs Placebo in Patients with Primary Breast Cancer | | 04/06/2014 | 20 |
| 04/6/004/AM29 | Trial of Celecoxib vs Placebo in Patients with Primary Breast Cancer | 3.5 | 04/06/2014 | 20 |
| 04/6/004/AM30 | Trial of Celecoxib vs Placebo in Patients with Primary Breast Cancer | 24 | 03/09/2014 | 14 |
| 04/6/005/AM12 | OE05 | 12 | 16/07/2014 | 7 |
| 04/MRE06/4/AM06 | TEXT Tamoxifen and Exemestane Trial, Version 1.1, 17 April 2003 | | 13/05/2014 | 17 |
| 05/MRE06/32/AM07 | NET 01 Version 1 | 9 | 17/06/2014 | 13 |
| 05/Q2102/22/AM04 | The Encephalitis Study | 4 | 30/05/2014 | 34 |
| 06/MRE06/41/AM12 | UK Genetics of Testicular Cancer Study | 3.1 | 06/04/2014 | 6 |
| 06/MRE06/41/AM13 | UK Genetics of Testicular Cancer Study | 10 | 01/08/2014 | 19 |
| 06/MRE06/65/AM31 | New EPOC Version 1.0 | 32 | 11/02/2015 | 13 |
| 07/H0206/60/AM13 | CA180-188: Long Term Safety and Effectiveness of Dasatinib | 13 | 22/09/2014 | 17 |
| 08/H0206/4/AM22 | Adolescent Diabetes Intervention Trial (AdDIT) | 20 | 06/11/2014 | 32 |
| 08/H0206/4/AM24 | Adolescent Diabetes Intervention Trial (AdDIT) | 21 | 22/02/2015 | 5 |
| 10/H0206/43/AM13 | A UK immunogenicity & safety study comparing 2 dTpa-IPV vaccines | | 14/05/2014 | 25 |
| 11/SW/0199/AM02 | Does the structure of small blood vessels change in diabetes? | 1 | 12/05/2014 | 35 |
| 12/SW/0143/AM02 | Observational study of patients prescribed pazopanib for renal cancer | 1 | 16/04/2014 | 6 |
| 12/SW/0206/AM13 | SPUtNIk | 9 | 13/06/2014 | 14 |
| 12/SW/0240/AM01 | Exome Sequencing in Families with Primary Ovarian Insufficiency (POI) | | 19/09/2014 | 15 |
| 12/SW/0254/AM07 | Oxidative stress and obstructive sleep apnoea. | 5.0 | 25/04/2015 | 6 |
| 12/SW/0265/AM01 | Assessing renal denervation success(pilot) | | 25/03/2014 | 17 |
| 12/SW/0281/AM01 | REVERT study version 1 | 1 | 17/06/2014 | 6 |
| 12/SW/0302/AM01 | Use of Machine Perfusion for Improving Allograft Viability | 1 | 12/06/2014 | 22 |

| 12/SW/0317/AM01 | Kneease; a new vibration therapy for osteoarthritis of the knee. | 1 | 26/09/2014 | 15 |
|-----------------|---|----------------------------|------------|----|
| 12/SW/0323/AM05 | Personalized Anti-TNF Therapy in Crohn's Disease (PANTS) | 5 | 05/08/2014 | 14 |
| 13/SW/0001/AM01 | RAPID version 2 | 1 | 22/09/2014 | 19 |
| 13/SW/0012/AM02 | Themis: A Multi-site evaluation of hospital-based IDVA services | 2 | 18/07/2014 | 12 |
| 13/SW/0037/AM04 | PresVIEWâ,,¢ SCLERAL IMPLANT TO IMPROVE NEAR VISUAL ACUITY IN PRESBYOPIA | 4 | 18/12/2014 | 7 |
| 13/SW/0048/AM02 | ML28642 - ACT OBSERVE - Retrospective chart review | 1 | 28/04/2014 | 15 |
| 13/SW/0083/AM02 | Physiotherapy for Hypermobility Trial (PHyT) | Substantial Amendment 2 | 11/06/2014 | 19 |
| 13/SW/0105/AM01 | Men and Chronic Fatigue Syndrome (Version 1) | 1 | 20/06/2014 | 5 |
| 13/SW/0105/AM02 | Men and Chronic Fatigue Syndrome (Version 1) | 2 | 22/09/2014 | 21 |
| 13/SW/0136/AM02 | HeART of Stroke project V1 | 1 | 06/08/2014 | 24 |
| 13/SW/0136/AM03 | HeART of Stroke project V1 | 2 | 26/09/2014 | 16 |
| 13/SW/0136/AM04 | HeART of Stroke project V1 | 3 | 11/11/2014 | 13 |
| 13/SW/0162/AM01 | Young people with ADHD in transition from CAMHS - v1 | | 14/06/2014 | 25 |
| 13/SW/0162/AM02 | Young people with ADHD in transition from CAMHS - v1 | 2 | 26/08/2014 | 12 |
| 13/SW/0186/AM04 | EFFECT - Efficacy of Fluticasone/Formoterol in COPD Treatment | 4 | 30/07/2014 | 43 |
| 13/SW/0186/AM05 | EFFECT - Efficacy of Fluticasone/Formoterol in COPD Treatment | 5 | 12/08/2014 | 18 |
| 13/SW/0186/AM06 | EFFECT - Efficacy of Fluticasone/Formoterol in COPD Treatment | 6 | 18/09/2014 | 6 |
| 13/SW/0186/AM07 | EFFECT - Efficacy of Fluticasone/Formoterol in COPD Treatment | 7 | 16/12/2014 | 20 |
| 13/SW/0186/AM08 | EFFECT - Efficacy of Fluticasone/Formoterol in COPD Treatment | 8 | 05/01/2015 | 9 |
| 13/SW/0186/AM09 | EFFECT - Efficacy of Fluticasone/Formoterol in COPD Treatment | 9 | 26/01/2015 | 9 |
| 13/SW/0186/AM11 | EFFECT - Efficacy of Fluticasone/Formoterol in COPD Treatment | 10 | 03/02/2015 | 12 |
| 13/SW/0219/AM01 | Biomarkers of alcohol induced liver toxicity | 1 | 03/11/2014 | 6 |
| 13/SW/0246/AM02 | Evaluation of group walking in an exercise referral scheme | Substantial Amendment 2 | 13/06/2014 | 13 |
| 13/SW/0261/AM02 | Effect of GSK1120212, GSK2118436 + Panitumumab in | 3 | 30/06/2014 | 28 |

| | colorectal cancer | | | |
|-----------------|--|-----|------------|----|
| 13/SW/0289/AM01 | Imaging for reconstructive plastic surgery | 1 | 09/01/2015 | 9 |
| 13/SW/0296/AM02 | Measuring Abnormal movement Patterns in Parkinson's | | 04/07/2014 | 27 |
| 13/SW/0332/AM01 | Patient Perceptions on Asthma and the Environment | 1 | 06/10/2014 | 13 |
| 14/SW/0015/AM01 | EQUAL-IOHEXOL study | 1 | 13/11/2014 | 7 |
| 14/SW/0019/AM04 | RELIEF v2.5 | 1 | 24/12/2014 | 37 |
| 14/SW/0034/AM01 | Light Box study | 1 | 02/02/2015 | 25 |
| 14/SW/0047/AM01 | HRQOL in IPF | 1 | 10/07/2014 | 1 |
| 14/SW/0061/AM01 | Muscle metabolic, pH and blood oxygenation exercise | 1 | 08/07/2014 | 18 |
| | response in CF | | | |
| 14/SW/0068/AM01 | Adjustment and Psychological Flexibility in T2 Diabetes V1.0 | 1 | 12/11/2014 | 27 |
| 14/SW/0081/AM01 | A PILOT STUDY OF DIETARY NITRATE | 3.5 | 22/01/2015 | 6 |
| | SUPPLEMENTATION IN ANAEMIC PATIENTS | | | |
| 14/SW/0106/AM01 | Health benefits of a home-based exercise intervention in | 1 | 07/07/2014 | 20 |
| | MWU_V1 | | | |
| 14/SW/0115/AM03 | VIRTUS – OUS | 2 | 02/10/2014 | 12 |
| 14/SW/0115/AM04 | VIRTUS – OUS | 3 | 27/10/2014 | 7 |
| 14/SW/0120/AM01 | School-based Asthma Project (SAP) | 1.7 | 23/05/2014 | 24 |
| 14/SW/0120/AM02 | School-based Asthma Project (SAP) | 3.5 | 11/07/2014 | 10 |
| 14/SW/0120/AM03 | School-based Asthma Project (SAP) | 4 | 14/07/2014 | 20 |
| 14/SW/0120/AM04 | School-based Asthma Project (SAP) | 5 | 25/11/2014 | 27 |
| 14/SW/0125/AM01 | IEU Metabolomics Facility | | 17/07/2014 | 7 |
| 14/SW/0139/AM01 | CADENCE Study version 1 | 1 | 27/10/2014 | 3 |
| 14/SW/0139/AM01 | CADENCE Study version 1 | 2 | 30/03/2015 | 3 |
| 14/SW/1077/AM01 | Raman spectroscopy in premalignant and malignant disease of | 1 | 03/11/2014 | 13 |
| | the vulva | | | |
| 2002/7/118/AM10 | Diabetes Alliance for Research in England (DARE) | 12 | 31/03/2014 | 9 |

| Unfavourable opinion | | | | | |
|----------------------------|---|---------|------------|----------------------------|--|
| Amendment REC Reference | Title | Version | Date | Number of Days on Clock | |
| 09/H0206/45/AM22 | RORCLL 009 | | 28/03/2014 | 47 | |
| 11/SW/0222/AM08 | PREDICTING SERIOUS DRUG SIDE EFFECTS IN GASTROENTEROLOGY | 5 | 21/11/2014 | 14 | |
| 13/SW/0141/AM02 | Preventing T2DM after GDM: focus group modelling study | 2 | 06/01/2015 | 21 | |
| 14/SW/1011/AM01 | VOLUME4b | 3.5 | 22/09/2014 | 17 | |

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Table 10.2: Breakdown of current status of all modified amendments reviewed within the reporting period

| Favourable opinion timeline | | | | |
|-----------------------------|---|-------------|------------|----------------------------|
| Amendment REC Reference | Title | Version | Date | Number of Days on Clock |
| | Cardiolipin metabolism and mitochondrial dysfunction in Barth | 2 | 04/04/2014 | 13 |
| 09/H0202/52/AM02/1 | syndrome | | | |
| | PREDICTING SERIOUS DRUG SIDE EFFECTS IN | Modified | 05/01/2015 | 18 |
| 11/SW/0222/AM08/1 | GASTROENTEROLOGY | Amendment 5 | | |
| | Preventing T2DM after GDM: focus group modelling study | Modified | 11/02/2015 | 2 |
| 13/SW/0141/AM02/1 | | Amendment 2 | | |
| | VOLUME4b | | 07/10/2014 | 13 |
| 14/SW/1011/AM01/1 | | | | |

| Unfavourable opinio | n timeline | | | |
|---------------------|------------|---------|------|-------------------|
| Amendment REC | Title | Version | Date | Number of Days on |
| Reference | | | | Clock |

| Full applications | for ethical review over 60 day timeline | |
|--------------------------|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Proportionate review applications for ethical review over 14 day timeline | | | | |
|---|---|-------------------------|--|--|
| REC Reference | Title | Number of Days on Clock | | |
| 14/SW/0105 | Prolongation PREFER in AF Registry | 24 | | |
| 14/SW/0120 | School-based Asthma Project (SAP) | 20 | | |
| 14/SW/1129 | Lifestyle in Later Life | 20 | | |
| 14/SW/1145 | Q Sense Acceptability Study | 15 | | |
| 14/SW/1183 | Sustaining physical activity levels in inflammatory joint disease | 19 | | |

| SSAs (non Phase | 1) over 25 day timeline | |
|----------------------|-------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| SSAs (Phase 1) or | ver 14 day timeline | |
|----------------------|---------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Substantial Amendments over 35 day timeline | | | | | |
|---|--|---------|------------|----------------------------|--|
| Amendment REC Reference | Title | Version | Date | Number of Days on Clock | |
| 09/H0206/45/AM22 | RORCLL 009 | | 28/03/2014 | 47 | |
| 13/SW/0186/AM04 | EFFECT - Efficacy of Fluticasone/Formoterol in COPD Treatment | 4 | 30/07/2014 | 43 | |
| 14/SW/0019/AM04 | RELIEF v2.5 | 1 | 24/12/2014 | 37 | |

| Modified Amendments over 14 day timeline | | | | |
|--|---|-------------|------------|----------------------------|
| Amendment REC Reference | Title | Version | Date | Number of Days on Clock |
| | PREDICTING SERIOUS DRUG SIDE EFFECTS IN | Modified | 05/01/2015 | 18 |
| 11/SW/0222/AM08/1 | GASTROENTEROLOGY | Amendment 5 | | |