

**Research Ethics Service** 

# North West - Greater Manchester South Research Ethics Committee

**Annual Report** 

01 April 2015 - 31 March 2016



#### Part 1 - Committee Membership and Training

Name of REC: North West - Greater Manchester South Research Ethics Committee

**Type of REC:** Recognised Type III

**Type of Flag:** Medical Devices, MCA, IRB, Phase 1 in patients

Chair: Professor Sobhan Vinjamuri

Vice-Chair: Mr Richard Hovey

Alternate Vice-Chair: Mrs Lesley Thornton

**REC Manager:** Mrs Kieran Hall (from March 2016)

Mrs Margaret Hutchinson (up to March 2016) Miss Helen Penistone (up to August 2015)

**REC Assistant:** Miss Ewa Grzegorska

Committee Address: 3rd Floor, Barlow House

4 Minshull Street Manchester M1 3DZ

**Telephone:** 0207 104 8128

**Email:** <u>nrescommittee.northwest-gmsouth@nhs.net</u>

#### Chair's overview of the past year:

The GM South committee continues to perform well with 100% of decisions decided and communicated within the allotted time.

- -Attendance at the meetings has been consistent and there has been good interaction within the committee and with researchers.
- -All members have declared their interests and have attended their mandated training sessions as required by our terms of reference
- -It is nice to notice continuous and persistent good performance within the context of changes to committee structure and administration

### North West - Greater Manchester South Research Ethics Committee Membership

Name	Profession	Expert or	Dates	
		Lay	Appointed	Left
Dr Anne Armstrong	Consultant Medical	Expert	06/11/2008	
	Oncologist			
Mr Ed Brightman	Research Executive	Lay Plus	02/06/2014	30/03/2015
Mrs Rosalyn Chandler	Clinical Project Manager	Lay	01/10/2015	
Miss Kathryn Fellows	CRO Monitor	Expert	11/07/2013	
Mrs Christine Holly	Retired Librarian	Lay Plus	09/09/2012	
Mr Richard Hovey	Business Analyst	Lay Plus	31/01/2007	
Dr Paul Mortimer	Retired Executive	Lay	01/01/2015	31/12/2015
	Principal of Academy			
	school group			
Mrs Anne O'Brien	Senior Specialist	Expert	01/02/2014	
	Haematology Pharmacist			
Mr David Ryder	Medical Statistician	Expert	01/06/2006	
Ms Joanne Skellern	Lecturer in Learning Disabilities	Expert	13/09/2012	
Dr Jacques SinFat Tamin	Honorary Senior Lecturer in Occupational Medicine	Expert	02/06/2014	
Mrs Lesley Thornton	Retired Clinical Delivery	Lay	04/09/2012	
	Director			
Dr Nader Touqan	Speciality Training	Expert	01/10/2015	
	Registrar - Surgery			
Professor Sobhan	Consultant in Nuclear	Expert	01/06/2012	
Vinjamuri (Chair)	Medicine			

### North West - Greater Manchester South Research Ethics Committee: Deputy Members

Name	Profession	Status	Meeting date attended
Dr Nathalie Abou-Zeid	Pharmacovigilance Pharmacist	Expert	n/a On a Break in Service up to 15/10/2015 then since resigned.

#### North West - Greater Manchester South Research Ethics Committee: Co-opted Members

Name	Profession	Status	Meeting date attended
Mr John Addison	Retired Librarian	Lay	11/06/2015
Mr James Burns	Retired Housing	Lay	11/06/2016
	Consultant		
Mr Frank Killen	Retired Engineer	Lay Plus	11/02/2016
Dr Lorraine Lighton	Consultant in	Expert	11/02/2016
_	Communicable Diseases	-	
Miss Kimberley Saint	Clinical Scientist	Expert	08/10/2015

# North West - Greater Manchester South Research Ethics Committee: Members' Declarations of Interest:

Name	Declaration of Interest	Date
Dr Anne Armstrong	Consultancy fees from Pfizer and Roche from attending advisory board.  Expert member to NICE Breast Cancer Standards Committee.	25/01/2016
Miss Kathryn Fellows	Invited Reviewer to European Journal Cancer.  Employed by Chiltern Clinical Research  Organisation.	14/01/2016
Mrs Christine Holly	None declared.	13/01/2016
Mr Richard Hovey	Own shares in various publicly quoted generalist unit/investment trusts which at any point in time may or may not have holdings in companies engaging in medical research. He does not have any direct shareholdings in companies that engage in medical research, nor any holdings in trusts specialising in companies that may undertake medical research.	13/01/2016
Mrs Anne O'Brien	None declared	14/01/2016
Mr David Ryder	NHS employee (statistician). Involved in grant applications and occasionally peer review of others applications	14/01/2016
Ms Joanne Skellern	None declared	16/12/2015
Dr Jacques SinFat Tamin	Honorary senior lecturer in occupational medicine, University of Manchester. Chair, Independent Ethics Committee, Unilever R&D.	14/01/2016
Mrs Lesley Thornton	Directorship/Trustee of 2 charities; none of private companies.200 shares in AZ.  Trustee for Counselling & Family Centre. Provides mental health services support.  Member of public advisory committee for Trafford CCG.	14/01/2016
Dr Nader Touqan	None declared	14/01/2016
Professor Sobhan Vinjamuri	President Elect of British Nuclear Medicine Society. Appointed member of ARSAC (DoH). Member of trust's Techniques & Medical Devices Associate Medical Director for Clinical Audit in Trust	11/01/2016
Rosalyn Chandler	Project Manager, Hematology Lab Service, Alderley Park, Macclesfield Trustee of Autistic Society GMA, Manchester	01/04/2015

#### Meetings for Full Ethical Review 01 April 2015 - 31 March 2016:

Month	Date	Number of Members Present at Meeting
April	09/04/2015	9
May	14/05/2015	8
June	11/06/2015	9
July	09/07/2015	10
August	13/08/2015	8
October	08/10/2015	8
November	12/11/2015	9
January	14/01/2016	10
February	11/02/2016	7
March	10/03/2016	10

<sup>10</sup> full committee meetings were held during the reporting period.

#### Proportionate Review Sub-Committee Meetings held during 01 April 2015 - 31 March 2016:

Month	Date	Number of Members Present at Meeting
April	27/04/2015	3
June	12/06/2015	4
July	20/07/2015	4
August	24/08/2015	3
September	28/09/2015	5
October	26/10/2015	4
December	07/12/2015	3
January	14/01/2016	3
February	18/02/2016	3
March	17/03/2016	3

<sup>10</sup> proportionate review sub-committee meetings were held during the reporting period.

#### Sub-Committee Meetings held during 01 April 2015 - 31 March 2016:

Month	Date	Number of Members Present at Meeting
April	10/04/2015	2
April	24/04/2015	2
May	08/05/2015	2
May	22/05/2015	2
June	05/06/2015	2
June	19/06/2015	2
July	03/07/2015	2
July	17/07/2015	2
July	31/07/2015	3
August	14/08/2015	2
August	28/08/2015	3
September	11/09/2015	2

September	25/09/2015	2
October	09/10/2015	2
October	23/10/2015	2
November	06/11/2015	2
November	20/11/2015	2
December	04/12/2015	2
December	18/12/2015	3
January	01/01/2016	2
January	15/01/2016	2
January	29/01/2016	2
February	12/02/2016	2
February	26/02/2016	2
March	11/03/2016	2
March	25/03/2016	2

26 sub-committee meetings were held during the reporting period.

### Details of inquorate meeting held:01 April 2015 - 31 March 2016

Date Reason	Action taken
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No inquorate meetings were held

#### Attendance of Members at full committee meetings: 01 April 2015 - 31 March 2016

Name	Number of Meetings Attended
Dr Anne Armstrong	7
Mrs Rosalyn Chandler	1
Miss Kathryn Fellows	6
Mrs Christine Holly	8
Mr Richard Hovey	10
Dr Paul Mortimer	5
Mrs Anne O'Brien	9
Mr David Ryder	10
Ms Joanne Skellern	5
Dr Jacques SinFat Tamin	8
Mrs Lesley Thornton	8
Dr Nader Touqan	2
Professor Sobhan Vinjamuri	4

# Attendance of Members at proportionate review sub-committee meetings: 01 April 2015 - 31 March 2016

Name	Number of Meetings Attended
Dr Anne Armstrong	1
Miss Kathryn Fellows	2
Mrs Christine Holly	3
Mr Richard Hovey	9
Dr Paul Mortimer	3
Mrs Anne O'Brien	1
Mr David Ryder	1
Dr Jacques SinFat Tamin	2
Mrs Lesley Thornton	4
Professor Sobhan Vinjamuri	9

#### Attendance of Members at sub-committee meetings: 01 April 2015 - 31 March 2016

Name	Number of Meetings Attended
Mr Richard Hovey	26
Dr Paul Mortimer	1
Mrs Lesley Thornton	5
Professor Sobhan Vinjamuri	23

# Training 01 April 2015 - 31 March 2016

Name of Member	Date	Event(s) attended
Miss Kathryn Fellows	08/04/2015	Ethics & GCP Forum ICR
Mrs Christine Holly	23/04/2015	Equality and Diversity
Mrs Christine Holly	30/06/2015	Human Tissue Act (Use of
		Human Samples in Research) -
		An Introductory Level
Mr Richard Hovey	12/07/2015	Equality and Diversity
Mr Richard Hovey	09/12/2015	National Training Day for
		Committee Chairs
Dr Paul Mortimer	15/06/2015	Members Induction
Mrs Anne O'Brien	16/03/2016	National Members Training Day
Mr David Ryder	23/04/2015	Research Information for
		Minors: Suitable Formats and
		Readability
Mr David Ryder	01/08/2015	The Journal of the Association
		of Research Ethics Committees
		- Vol 8
Mr David Ryder	01/09/2015	The Journal of the Association
		of Research Ethics Committees
Ms Joanne Skellern	29/04/2015	Equality and Diversity Online
Dr Jacques SinFat Tamin	23/04/2015	Equality and Diversity
Dr Jacques SinFat Tamin	15/10/2015	Assessing the Consequences
		(benefits and harm) of
		Research: A Health Research
		Authority Workshop
Mrs Lesley Thornton	19/11/2015	Personal Data in Research - A
		Workshop
Mrs Lesley Thornton	05/02/2016	Local Training Day - Social Care
		REC
Professor Sobhan Vinjamuri	03/02/2016	Training - Handling Health-
		Related Findings in Research
		(Joint MRC/HRA)

#### PART 2: REC WORKLOAD AND ACTIVITY DURING THE REPORTING PERIOD

# Table 1: Applications assigned to a full committee meeting held within the reporting period:

Applications for full ethical review – Study Type	Number	%
Clinical Trial of Investigational Medicinal Product	21	38.18
Phase 1	0	0.00
Gene Therapy	0	0.00
Research Tissue Bank (including renewals)	0	0.00
Research Database (including renewals)	0	0.00
Others	34	61.82
Total Applications Reviewed	55	100

#### Table 2: Breakdown of full applications and other activity during reporting period

Number of applications made invalid by the REC Manager	0
Number of applications withdrawn prior to the meeting	1
Number of student applications reviewed	16
Number of paediatric applications reviewed	3
Number of device applications reviewed	6
Number of prisoner applications reviewed	1
Number of applications involving adults unable consent reviewed	9
Number of applications reviewed that are funded by the US DHHS	2
Number of qualitative applications reviewed	2

#### Table 3: Decisions given at meetings held within the reporting period

Decisions taken at meetings following review of applications	Number	%
Favourable Opinion with Standard Conditions	2	3.64
Favourable Opinion with Additional Conditions	1	1.82
Unfavourable Opinion	0	0.00
Provisional Opinion	52	94.55
Provisional Opinion Pending Consultation with Referee	0	0.00
Total	55	100
Number of studies sent back to full committee meeting for final opinion	0	

Table 4: Summary of current status of applications reviewed during the reporting period

Status of applications at date of generation of report	Number	%
Further Information Favourable Opinion with Standard	45	81.82
Conditions		
Further Information Favourable Opinion with Additional	3	5.45
Conditions		
Further Information Unfavourable Opinion	0	0.00
Favourable Opinion with Standard Conditions	2	3.64
Favourable Opinion with Additional Conditions	1	1.82
Unfavourable Opinion	0	0.00
Provisional Opinion	2	3.64
Provisional Opinion Pending Consultation with Referee	0	0.00
Further Information response not complete	0	0.00
No decision entered on system	0	0.00
Number of studies withdrawn after the meeting	2	3.64
Total	55	100

# Table 5: Applications assigned to a proportionate review sub-committee within the reporting period

Total Applications Reviewed	30

#### Table 6: Breakdown of PRS applications and other activity during reporting period:

Number of applications made invalid by the REC Manager	6
Number of studies withdrawn prior to the meeting	0
Number of student applications reviewed	14
Number of paediatric applications reviewed	3
Number of device applications reviewed	1
Number of qualitative applications reviewed	6

# Table 7: Decisions given at proportionate review sub-committee meetings held within the reporting period

Decisions taken at proportionate review sub- committee meetings	Number	%
Favourable Opinion with Standard Conditions	9	30.00
Favourable Opinion with Additional Conditions	6	20.00
No Opinion transfer to full committee for review	1	3.33
Provisional Opinion	14	46.67
Unfavourable Opinion	0	0.00
Total	30	100

**Table 8:** Other Management Information based on the number of completed applications for the reporting period:

Number of completed applications for full ethical review over 60 days Number of completed applications for full ethical review over 60 days Number of completed applications for full ethical review over 60 days Number of completed applications over 60 days as a % of 0.00% Number of completed applications over 40 days as a % of 0.00% Number of completed applications over 40 days as a % of 0.00% Number of completed applications over 40 days as a % of 0.00% Number of days taken to final decision – average (mean) 31  Number of completed proportionate review applications for 0.00% Number of completed proportionate review applications for 0.00% Number of completed proportionate review applications over 1.00% Number of completed proportionate review applications over 1.00% Number of completed proportionate review applications over 1.00% Number of completed applications for SSA review over 25 0.00% Number of completed applications for SSA review over 25 0.00% Number of completed applications for SSA review over 25 0.00% Number of completed applications for SSA review over 14 0.00% Number of completed applications for SSA review over 14 0.00% Number of completed applications for SSA review over 14 0.00% Number of completed applications for SSA review over 14 0.00% Number of completed substantial amendments over 35 days 0.00% Number of completed substantial amendments over 35 days 0.00% Number of completed substantial amendments over 38 days 0.00% Number of completed substantial amendments over 28 days 0.00% Number of completed substantial amendments over 28 days 0.00% Number of modified amendments reviewed 4 Number of modified amendments reviewed 95 Number of modified amendments reviewed 95 Number of modified amendments reviewed 95 Number of substantial amendments over 14 days 0.00% Number of substantial amendments over 14 days 0.00% Number of substantial amendments received 100 Number	Average number of applications reviewed per full meeting	5.50
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	Number of final reports received	39

Table 9.1: Breakdown of current status of all full applications reviewed within the reporting period

<b>Further Informati</b>	on Favourable Opinion with Standard Conditions	
REC Reference	Title	Number of Days on Clock
15/NW/0229	WRAP-IT Study Version 1.0 29 July 2014	27
15/NW/0262	Immune biomarkers and clinical outcome in trauma patients	28
15/NW/0275	Open label phase 4 study of a new formulation of Calcichew D3	38
15/NW/0279	Improving the coordination of children with DCD	33
15/NW/0296	Mobile phone use in the neurological examination	37
15/NW/0354	(duplicate) Memory training in individuals with Fragile X Syndrome: a pilot study	38
15/NW/0359	U-SLEEP: Experimental Study	38
15/NW/0382	Pembrolizumab (MK-3475) vs Paclitaxel in 2L Subjects with Advanced Gastric	33
	Adenocarcinoma	
15/NW/0408	Fulranumab as adjunctive therapy for OA of hip or knee (3001)	37
15/NW/0410	Fulranumab as monotherapy for OA of hip or knee (3002)	31
15/NW/0420	BLT Study: Bloodbank Led Thromboelastography.	17
15/NW/0478	Breast Cancer - Anti-Progestin Prevention Study 1	39
15/NW/0481	Safety and Efficacy of Ceftolozane/Tazobactam - ASPECT	24
15/NW/0483	SFAST	24
15/NW/0493	SAMS Longitudinal	20
15/NW/0505	Switch to MK-1439A from Ritonavir-boosted Protease Inhibitor regimen in HIV-1 infected	24
	subjects	
15/NW/0546	Hip Injection Trial (HIT)	28
15/NW/0548	Vascular Function in Obesity	28
15/NW/0582	The role of biochemical markers in the pathogenesis of kidney failure	27
15/NW/0599	Drug use and austerity (v2)	32
15/NW/0602	Optimum Use of Tympanometry in Adults with Down Syndrome	39
15/NW/0616	AWARD Study	30
15/NW/0618	CA209-358 Nivolumab in virus positive and virus negative tumors	25
15/NW/0622	BIOWOMEN Study, v1.0, 03.Dec.2014	33
15/NW/0756	The decision making process of ECT administration	38
15/NW/0773	Effects of CKD and haemodialysis on hepatic drug metabolism	33
15/NW/0775	Cross-sectional study antipsychotic-induced cardiometabolic toxicity	38
15/NW/0776	Characterisation of myocardial inflammation in cardiomyopathies	37
15/NW/0818	Salford Kidney Study	25
15/NW/0827	0301 – Phase 3 study of ASP2215 vs salvage chemotherapy in AML patient	29

15/NW/0831	Trigger_V1_03Aug2015	28
15/NW/0832	Trimaran_V1_03Aug2015	28
15/NW/0834	Bioimpedance Spectroscopy and Estimated Energy Requirements in ICU-1.0	22
15/NW/0839	Leukaemia chemotherapy research study	28
16/NW/0004	Lidocaine levels In DLTBs Study (LID Study)	34
16/NW/0008	Goal setting in stroke rehabilitation	41
16/NW/0009	Niemann Pick Type C gait analysis study	25
16/NW/0011	Oral BI 1026706 and placebo in patients with COPD.	36
16/NW/0082	Breast Reconstruction Outcomes With and without StratticE [BROWSE]	41
16/NW/0084	Pembrolizumab vs chemotherapy in Subjects with Oesophageal Cancer	39
16/NW/0085	ActION-PD	34
16/NW/0086	Study of Avelumab in pts with previously treated Hodgkin's Lymphoma	32
16/NW/0126	Deaf life-stories project	34
16/NW/0140	A drug drug interaction study of ceritinib with warfarin and midazolam	30
16/NW/0153	Comparison of 2D US to tUS for conduit mapping	31

Further Information Favourable Opinion with Additional Conditions		
REC Reference	Title	Number of Days on Clock
15/NW/0381	Fulranumab as Adjunctive Therapy for OA of Hip or Knee (3007)	35
15/NW/0383	Effects of dietary nitrate in hypertensive pregnant women (version 1)	28
16/NW/0005	Neuromodulation Therapy for Faecal Incontinence	39

Further Information Unfavourable Opinion		
REC Reference	Title	Number of Days on Clock

Favourable Opinion with Standard Conditions		
REC Reference	Title	Number of Days on Clock
15/NW/0255	Rempex 506	26
15/NW/0393	Breath Analysis in ICU for Diagnosis of Ventilator Associated Pneumonia	26

Favourable Opinion with Additional Conditions		
REC Reference	Title	Number of Days on Clock

15/NW/0769 Feasibility Study of the ReCell Device in Diabetic Foot Ulcers 32
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Unfavourable Opinion		
<b>REC Reference</b>	Title	Number of Days on Clock

Provisional Opinion		
REC Reference	Title	Number of Days on Clock
16/NW/0018	The role of troponin I measurement in non cardiac aortic surgery	n/a
16/NW/0162	Phase 2 trial of Axitinib and TRC105 versus Axitinib alone	n/a

Provisional Opinion Pending Consultation with Referee		
<b>REC Reference</b>	Title	Number of Days on Clock

Further information response not complete		
REC Reference	Title	Number of Days on Clock

Withdrawn after the meeting		
<b>REC Reference</b>	Title	Number of Days on Clock
15/NW/0459	Does steroid during hydrodilatation make a difference?	21
16/NW/0161	15VR8 Long-Term Extension Study of the Safety & Efficacy of Somavartan	27

### Table 9.2: Breakdown of current status of all PRS applications reviewed within the reporting period

Further Information Favourable Opinion with Standard Conditions		
REC Reference	Title	Number of Days on Clock
15/NW/0378	Sensory processing and anxiety characteristics in NSCLBP patients	12
15/NW/0379	Burden of Infection in Primary Antibody Deficiency (BIPAD) Study	13
15/NW/0528	Adult onset type 1 diabetes - the PROCEED study	13
15/NW/0529	Clinical effects of radiofrequency based therapy. Version 1.	10

15/NW/0621	Patients' decision-making regarding breast cancer surgery (Version 1)	13
15/NW/0692	Obtaining antibodies from kidney transplants. Version 1	13
15/NW/0694	Qualitative Exploration of Perceptions of Patient Safety and Patient Involvement	14
15/NW/0794	Mothers Experiences of Public Breastfeeding in London	13
15/NW/0965	Surgical management of Axillary hyperhidrosis (questionnaire)	7
16/NW/0043	VIPP with adoptive families in the UK: A pilot study	11
16/NW/0053	Measurement of CO levels following Gas Transfer Measurement	12
16/NW/0212	Immunohistochemistry of the synovium, PCL and pMFLs Ver:1.0 10/02/2016	12
16/NW/0214	RHAM - Phase 2	11
16/NW/0215	Screening and Diagnosis of Familial Hypercholesterolemia by NGS.	14

Further Information Favourable Opinion with Additional Conditions		
<b>REC Reference</b>	Title	Number of Days on Clock

<b>Further Informati</b>	on Unfavourable Opinion	
<b>REC Reference</b>	Title	Number of Days on Clock

Favourable Opinion with Standard Conditions				
REC Reference	Title	Number of Days on Clock		
15/NW/0530	RETROSPECTIVE ANALYSIS OF UNCEMENTED CMCJ ARTHROPLASTY OF THUMB	7		
15/NW/0625	Evaluating practices for initiating decision making in neurology	10		
15/NW/0798	Evaluation of fine needle aspiration samples in podoconiosis.	12		
15/NW/0812	Developing an outcome measure for dry mouth.	14		
15/NW/0857	Evaluating the health visiting service for children with Autism 1	11		
15/NW/0860	Remote care of cochlear implant users	9		
15/NW/0865	Reducing Unplanned Hospital Admissions Study (RUHAS) v1.0	9		
15/NW/0966	Control samples for T1D genotype & T-cell phenotype study (Version 1)	11		
16/NW/0211	Self-Management App for young people with chronic rheumatic disease	10		

Favourable Opinion with Additional Conditions			
REC Reference	Title	Number of Days on Clock	
15/NW/0623	A study of diabetic retinopathy screening in older people. v1	8	

15/NW/0861	TKI response rate	8
15/NW/0963	Focus Groups aimed at Device Development	11
16/NW/0044	Assessing patient outcomes following radioiodine	14
16/NW/0139	Real world effects of three-curve rocker sole shoe	9
16/NW/0142	Early PROMPT Study	11

<b>Unfavourable Opi</b>	nion	
<b>REC Reference</b>	Title	Number of Days on Clock

<b>Provisional Opini</b>	on <u> </u>	
<b>REC Reference</b>	Title	Number of Days on Clock

<b>Further information</b>	on response not complete	
<b>REC Reference</b>	Title	Number of Days on Clock

Withdrawn after the meeting			
<b>REC Reference</b>	Title	Number of Days on Clock	

Table 10.1: Breakdown of current status of all substantial amendments reviewed within the reporting period

Favourable opinion				
Amendment REC Reference	Title	Version	Date	Number of Days on Clock
04/Q1403/37/AM18	Predictors of Etanercept response.	Substantial	18/05/2015	20
		Amendment 12		
04/Q1403/37/AM20	Predictors of Etanercept response.	Substantial	29/07/2015	12
		Amendment 13		
04/Q1403/37/AM21	Predictors of Etanercept response.	Substantial	25/02/2016	34
		Amendment 14		
	Breast tissue gene expression and stem cells in breast cancer	Amendment -	13/01/2016	25
05/Q1403/159/AM03	patients	Version 6		
05/Q1403/98/AM12	Prion surveillance in primary immunodeficiency. (Version 2.0)	Substantial	25/02/2016	28
		Amendment 10		
	Bronchoscopy studies in patients with asthma and COPD	Substantial	14/12/2015	20
06/Q1403/156/AM12		Amendment 8		
6/Q1403/156/AM13	Bronchoscopy studies in patients with asthma and COPD	(Amendment 9)	29/02/2016	6
07/H1003/161+5/AM	Manchester Cancer Research Centre Biobank - collection of	Substantial	27/10/2015	10
06	biological	Amendment 5		
07/H1004/160/AM05	Genetics of Pernicious anaemia	Substantial	09/05/2015	11
		Amendment 4		
08/H1003/224/AM10	Detection of Bronchial Preneoplasia	Substantial	16/12/2015	12
		Amendment 5		
10/H1003/105/AM02	Emotional learning	Substantial	08/09/2015	6
		Amendment 02		
10/H1003/105/AM03	Emotional learning	Substantial	18/11/2015	25
		Amendment 3		
10/H1003/37/AM10	Low frequency administration of 40mg GA in RRMS subjects	Local UK	16/04/2015	1
	(GALA study)	Amendment 3 for		
		Proto		
10/H1003/7/AM09	The Use of Silicone Gel in the Treatment of Stretch Marks	Amendment 6	21/11/2015	7
10/H1003/96/AM02	Novel biomarkers of acute coronary syndromes using	Substantial	22/02/2016	17
	metabolomics	Amendment 3		
11/NW/0244/AM05	Validation of potential markers of disease in systemic sclerosis	Substantial	26/11/2015	31
		Amendment 5		
11/NW/0390/AM07	SC IL-1Ra in SAH	Substantial	27/04/2015	4
		Amendment 5		

11/NW/0782/AM11	POUT	Substantial	25/06/2015	11
4.4 (\$ 1) \$1 (0.700 ( \$ \$ \$ 40.7	TI 0 1/ 11 0/ 1 (00PP)	Amendment 4	40/44/0045	0.5
11/NW/0798/AM67	The Salford Lung Study (COPD)	Substantial	19/11/2015	25
40/h D44/00==/41404	F//	Amendment 58 -	00/04/0045	
12/NW/0255/AM04	Efficacy comparison of Ipilimumab vs Etoposide in ED-SCLC patients	SA IB v17	20/01/2015	12
12/NW/0255/AM05	Efficacy comparison of Ipilimumab vs Etoposide in ED-SCLC patients	Ipilimumab Investigator Brochure	10/03/2015	21
12/NW/0311/AM10	Varicella Zoster vaccine in autologous stem cell transplant subjects	Protocol Amendment 7	08/09/2015	7
12/NW/0425/AM11	ARTSS-2	Substantial Amendment	13/04/2015	1
12/NW/0455/AM35	The Salford Lung Study (Asthma)	SA 28 - Temporary Halt to Recruitment	16/04/2015	1
12/NW/0455/AM37	The Salford Lung Study (Asthma)	Substantial Amendment 30	29/05/2015	12
12/NW/0455/AM41	The Salford Lung Study (Asthma)	Substantial Amendment 32	26/06/2015	10
12/NW/0455/AM45	The Salford Lung Study (Asthma)	36	20/08/2015	4
12/NW/0455/AM49	The Salford Lung Study (Asthma)	Substantial Amendment 38	11/11/2015	11
12/NW/0455/AM53	The Salford Lung Study (Asthma)	Substantial Amendment 39	06/01/2016	12
12/NW/0455/AM55	The Salford Lung Study (Asthma)	SA40	10/02/2016	26
12/NW/0722/AM08	Subjects with chronic moderate to severe pain related to cancer	Substantial Amendment 5	01/07/2015	5
12/NW/0722/AM10	Subjects with chronic moderate to severe pain related to cancer	Substantial Amendment 07	15/09/2015	9
12/NW/0790/AM14	BASICS	SA07	10/08/2015	1
12/NW/0811/AM03	Feasibility of intra-gastric balloon treatment for obesity in CKD v5.1	Substantial Amendment 2	30/04/2015	15
13/NW/0003/AM07	3-001:Study of ART-123 in Subjects with Severe Sepsis and Coagulopathy	Substantial Amendment - IB v7	12/05/2015	7
13/NW/0003/AM08	3-001:Study of ART-123 in Subjects with Severe Sepsis and Coagulopathy	ART-123 IB 7 - Addendum 1	27/07/2015	22
13/NW/0003/AM09	3-001:Study of ART-123 in Subjects with Severe Sepsis and	SA - Protocol V4	17/11/2015	18

	Coagulopathy	dated 23/10/2		
13/NW/0184/AM07	FAST - Four-fold asthma study	SA02_15	11/11/2015	25
13/NW/0241/AM05	Investigating methods of transdermal fluid extraction	Substantial	26/02/2016	22
		Amendment 2		
13/NW/0270/AM10	Brexpiprazole in patients with major depressive disorder	Substantial	07/04/2015	4
		Amendment		
		version 5		
13/NW/0270/AM11	Brexpiprazole in patients with major depressive disorder	Substantial	04/11/2015	19
		Amendment 6		
13/NW/0384/AM02	CIMI-DENT Pilot Trial, Version 1, 01May13	Substantial	10/07/2015	7
		Amendment 2		
3/NW/0463/AM05	Risk of SCC on skin treated with Ingenol Mebutate or	Substantial	30/10/2015	5
	Imiquimod Cream	Amendment 5		
3/NW/0674/AM08	The effects of multiple doses of QBM076 in COPD patients	Seven	02/04/2015	25
13/NW/0674/AM11	The effects of multiple doses of QBM076 in COPD patients	Substantial	12/05/2015	7
		Amendment 8		
13/NW/0830/AM07	A Phase I study of Human Pharmacokinetics and Safety of	Substantial	10/11/2015	13
	ORY-1001	Amendment 4		
13/NW/0835/AM01	ARTFORCE PET Boost Study	Substantial	11/11/2015	22
		Amendment 2		
13/NW/0836/AM02	Influence of Expressed Emotion on dementia sufferers'	Substantial	27/04/2015	10
	adjustment	Amendment 2		
13/NW/0836/AM03	Influence of Expressed Emotion on dementia sufferers'	Substantial	27/11/2015	14
	adjustment	Amendment 3		
13/NW/0837/AM03	REFRESHED Version 1.0	Substantial	04/03/2016	12
		Amendment 3		
13/NW/0869/AM03	Left ventricular hypertrophy and fibrosis in aortic stenosis	Substantial	03/05/2015	3
		Amendment 2.3		
14/NW/0005/AM03	Efficacy of Gevokizumab in polymyositis/dermatomyositis	Three	04/02/2015	13
14/NW/0010/AM07	LEE011 + BYL719 with Letrozole in patients with ER+ breast	Six	09/04/2015	18
	cancer			
14/NW/0010/AM09	LEE011 + BYL719 with Letrozole in patients with ER+ breast	Substantial	22/06/2015	3
	cancer	Amendment 4 -		
14/NW/0010/AM11	LEE011 + BYL719 with Letrozole in patients with ER+ breast	LEE011X2107	21/12/2015	28
	cancer	Patient dosing		
14/NW/0063/AM01	Cochlear CBAS5477	Substantial	24/11/2015	16
		Amendment 1		

14/NW/0183/AM03	REFINE ICD	Substantial Amendment 2	01/07/2015	10
14/NW/0303/AM01	Person-centred care: meaning and measurement	Substantial Amendment 1	24/07/2015	12
14/NW/1027/AM03	SORAMIC	3	30/03/2015	11
14/NW/1053/AM02	ISIS 494372-CS3	Protocol	26/06/2015	12
		Amendment 2		
14/NW/1068/AM04	GO28888 Neoadjuvant Letrozole and GDC-0032 in	Substantial	28/09/2015	21
	ER+/HER2- Breast Cancer	Amendment 3 -		
		Protocol		
14/NW/1068/AM05	GO28888 Neoadjuvant Letrozole and GDC-0032 in	Substantial	24/11/2015	20
	ER+/HER2- Breast Cancer	Amendment 4 - PIS/		
14/NW/1068/AM06	GO28888 Neoadjuvant Letrozole and GDC-0032 in	Amendment 05	25/02/2016	11
	ER+/HER2- Breast Cancer	(substantial)		
14/NW/1072/AM02	Antibiotic consumption & Klebsiella colonisation in hospital	Substantial	03/03/2016	15
	patients	Amendment 2		
14/NW/1084/AM03	CA209-205	Three	17/04/2015	24
14/NW/1084/AM05	CA209-205	Substantial	06/07/2015	7
		Amendment 4		
14/NW/1084/AM06	CA209-205	Substantial	17/11/2015	21
		Amendment 5		
14/NW/1088/AM02	Myocardial involvement in pulmonary disease	Substantial	25/09/2015	4
		Amendment 1		
14/NW/1088/AM03	Myocardial involvement in pulmonary disease	Substantial	09/03/2016	12
		Amendment 2		
14/NW/1258/AM03	Phase 3 efficacy&safety study of ODM-201 in high risk non-met	Sponsorship	11/06/2015	4
	CRPC	Transfer		
		Amendment		
14/NW/1258/AM05	Phase 3 efficacy&safety study of ODM-201 in high risk non-met CRPC	CMC Amendment	26/01/2016	24
14/NW/1294/AM01	4-stage LCI699 study with optional extension in Cushing's	Protocol	30/04/2015	11
	Disease	Amendment 2 &		
		Change		
14/NW/1294/AM02	4-stage LCI699 study with optional extension in Cushing's	(Protocol	08/01/2016	18
	Disease	CLCI699C2301 v2		
14/NW/1393/AM02	PANasta	Substantial	06/08/2015	11

		Amendment 2		
15/NW/0004/AM03	MO29112 Biomarker Driven Maintenance Treatment of Metastatic CRC	Two	09/04/2015	18
15/NW/0004/AM04	MO29112 Biomarker Driven Maintenance Treatment of Metastatic CRC	Substantial Amendment 03	08/07/2015	5
15/NW/0004/AM05	MO29112 Biomarker Driven Maintenance Treatment of Metastatic CRC	Substantial Amendment 4	15/01/2016	31
15/NW/0005/AM03	MRK Poly Vs Metal	Substantial Amendment 1	05/11/2015	17
15/NW/0080/AM01	Pulmonary Embolism Removal with the AngioJet 6F Ultra SystEm (PERFUSE)	Substantial Amendment 1	28/07/2015	1
15/NW/0111/AM01	Biomarkers associated with known phenotypes across asthma severities.	Substantial Amendment 01	21/04/2015	4
15/NW/0111/AM04	Biomarkers associated with known phenotypes across asthma severities.	Substantial Amendment 2	19/05/2015	8
15/NW/0184/AM03	National investigation into suicide in children and young people	Substantial Amendment 1	14/10/2015	8
15/NW/0184/AM04	National investigation into suicide in children and young people	Substantial Amendment 2	11/03/2016	28
15/NW/0185/AM02	A clinical evaluation of a CVC securement device	Substantial Amendment 1	15/10/2015	5
15/NW/0185/AM03	A clinical evaluation of a CVC securement device	Substantial Amendment 2	24/02/2016	14
15/NW/0187/AM01	Oral ZPL3893787 on pruritus in adults with atopic dermatitis	Substantial Amendment - UK Specific	27/04/2015	14
15/NW/0229/AM02	WRAP-IT Study Version 1.0 29 July 2014	Substantial Amendment – Patient info	03/08/2015	12
15/NW/0229/AM03	WRAP-IT Study Version 1.0 29 July 2014	Substantial Amendment 2	30/10/2015	27
15/NW/0255/AM01	Rempex 506	Substantial Amendment - Protocol	11/06/2015	21
15/NW/0255/AM02	Rempex 506	Substantial Amendment - Update	07/10/2015	16

15/NW/0275/AM03	Open label phase 4 study of a new formulation of Calcichew D3	Substantial Amendment – patient info	12/08/2015	8
15/NW/0275/AM04	Open label phase 4 study of a new formulation of Calcichew D3	2	24/08/2015	9
15/NW/0275/AM05	Open label phase 4 study of a new formulation of Calcichew D3	Substantial Amendment - Country	04/09/2015	14
15/NW/0359/AM01	U-SLEEP: Experimental Study	1	17/07/2015	18
15/NW/0359/AM02	U-SLEEP: Experimental Study	Two	18/08/2015	8
15/NW/0359/AM03	U-SLEEP: Experimental Study	Substantial Amendment 3	03/02/2016	25
15/NW/0379/AM02	Burden of Infection in Primary Antibody Deficiency (BIPAD) Study	Substantial Amendment 1	17/02/2016	6
15/NW/0381/AM01	Fulranumab as Adjunctive Therapy for OA of Hip or Knee (3007)	Substantial Amendment 01	02/07/2015	28
15/NW/0381/AM02	Fulranumab as Adjunctive Therapy for OA of Hip or Knee (3007)	Substantial Amendment 2	19/10/2015	21
15/NW/0381/AM03	Fulranumab as Adjunctive Therapy for OA of Hip or Knee (3007)	Substantial Amendment 3	23/11/2015	21
15/NW/0381/AM05	Fulranumab as Adjunctive Therapy for OA of Hip or Knee (3007)	Substantial Amendment 4	22/12/2015	28
15/NW/0381/AM06	Fulranumab as Adjunctive Therapy for OA of Hip or Knee (3007)	Substantial Amendment 5	07/01/2016	15
15/NW/0382/AM01	Pembrolizumab (MK-3475) vs Paclitaxel in 2L Subjects with Advanced Gastric Adenocarcinoma	Mrec SA01	30/06/2015	5
15/NW/0382/AM02	Pembrolizumab (MK-3475) vs Paclitaxel in 2L Subjects with Advanced Gastric Adenocarcinoma	MREC SA02	27/07/2015	20
15/NW/0382/AM03	Pembrolizumab (MK-3475) vs Paclitaxel in 2L Subjects with Advanced Gastric Adenocarcinoma	MREC SA03	10/11/2015	22
15/NW/0382/AM04	Pembrolizumab (MK-3475) vs Paclitaxel in 2L Subjects with Advanced Gastric Adenocarcinoma	MREC SA4	05/02/2016	28
15/NW/0382/AM05	Pembrolizumab (MK-3475) vs Paclitaxel in 2L Subjects with Advanced Gastric Adenocarcinoma	Notification of a Halt	21/03/2016	13
15/NW/0383/AM01	Effects of dietary nitrate in hypertensive pregnant women (version 1)	Substantial Amendment 1	08/10/2015	15
15/NW/0393/AM01	Breath Analysis in ICU for Diagnosis of Ventilator Associated	Substantial	04/06/2015	18

	Pneumonia	Amendment 1		
15/NW/0393/AM02	Breath Analysis in ICU for Diagnosis of Ventilator Associated	Substantial	05/10/2015	17
	Pneumonia	Amendment 2		
15/NW/0393/AM04	Breath Analysis in ICU for Diagnosis of Ventilator Associated	Substantial	12/11/2015	17
	Pneumonia	Amendment 3		
15/NW/0408/AM03	Fulranumab as adjunctive therapy for OA of hip or knee (3001)	Substantial	14/08/2015	6
		Amendment 1		
		(Amend		
15/NW/0408/AM06	Fulranumab as adjunctive therapy for OA of hip or knee (3001)	Substantial	19/10/2015	22
		Amendment 3		
15/NW/0408/AM08	Fulranumab as adjunctive therapy for OA of hip or knee (3001)	Substantial	15/12/2015	20
		Amendment 5		
15/NW/0408/AM10	Fulranumab as adjunctive therapy for OA of hip or knee (3001)	Substantial	11/01/2016	15
		Amendment 6		
15/NW/0410/AM01	Fulranumab as monotherapy for OA of hip or knee (3002)	Substantial	21/07/2015	14
		Amendment 1		
15/NW/0410/AM02	Fulranumab as monotherapy for OA of hip or knee (3002)	Substantial	20/11/2015	24
		Amendment 2		
15/NW/0410/AM03	Fulranumab as monotherapy for OA of hip or knee (3002)	Substantial	18/12/2015	17
		Amendment 3		
15/NW/0410/AM04	Fulranumab as monotherapy for OA of hip or knee (3002)	Substantial	11/01/2016	11
		Amendment 4		
15/NW/0478/AM01	Breast Cancer - Anti-Progestin Prevention Study 1	UHSM0315	07/07/2015	5
		Version 1.1		
15/NW/0478/AM02	Breast Cancer - Anti-Progestin Prevention Study 1	UHSM0315	09/10/2015	21
		Version 1.2		
15/NW/0478/AM04	Breast Cancer - Anti-Progestin Prevention Study 1	UHSM0315 1.4	05/02/2016	26
15/NW/0481/AM01	Safety and Efficacy of Ceftolozane/Tazobactam - ASPECT	Substantial	07/09/2015	23
		Amendment 1		
15/NW/0493/AM01	SAMS Longitudinal	One	17/08/2015	16
15/NW/0493/AM02	SAMS Longitudinal	Substantial	05/09/2015	13
		Amendment 02 -		
1 - 10 No. 11 - 1 - 1 - 1 - 1		05/		
15/NW/0493/AM03	SAMS Longitudinal	Substantial	11/09/2015	18
		Amendment 3		
15/NW/0505/AM01	Switch to MK-1439A from Ritonavir-boosted Protease Inhibitor	SA01 - Updated	06/08/2015	2
	regimen in HIV-1 infected subjects	Protocol		

15/NW/0505/AM02	Switch to MK-1439A from Ritonavir-boosted Protease Inhibitor	Substantial Amendment 2	06/01/2016	14
4 5 /h IV 4 / 0 5 0 5 / 4 h 4 0 0	regimen in HIV-1 infected subjects		0.4 /0.0 /0.0 4.0	
15/NW/0505/AM03	Switch to MK-1439A from Ritonavir-boosted Protease Inhibitor regimen in HIV-1 infected subjects	SA03	01/03/2016	5
15/NW/0505/AM04	Switch to MK-1439A from Ritonavir-boosted Protease Inhibitor regimen in HIV-1 infected subjects	SA04	22/03/2016	13
15/NW/0546/AM01	Hip Injection Trial (HIT)	Substantial Amendment 1	08/10/2015	7
15/NW/0618/AM01	CA209-358 Nivolumab in virus positive and virus negative tumors	Substantial Amendment 01	02/09/2015	14
15/NW/0618/AM02	CA209-358 Nivolumab in virus positive and virus negative tumors	Substantial Amendment 2	13/11/2015	25
15/NW/0618/AM03	CA209-358 Nivolumab in virus positive and virus negative tumors	Substantial Amendment 3	08/01/2016	18
15/NW/0694/AM01	Qualitative Exploration of Perceptions of Patient Safety and Patient Involvement	Substantial Amendment 1	10/02/2016	24
15/NW/0756/AM01	The decision making process of ECT administration	Amendment 3	29/12/2015	14
15/NW/0756/AM02	The decision making process of ECT administration	Amendment 4	22/02/2016	11
15/NW/0769/AM01	Feasibility Study of the ReCell Device in Diabetic Foot Ulcers	Substantial Amendment 1	18/11/2015	11
15/NW/0775/AM01	Cross-sectional study antipsychotic-induced cardiometabolic toxicity	Substantial Amendment 1	19/01/2016	24
15/NW/0827/AM02	0301 – Phase 3 study of ASP2215 vs salvage chemotherapy in AML patient	Protocol Amendment 04	22/02/2016	27
15/NW/0831/AM01	Trigger_V1_03Aug2015	Substantial Amendment 1	14/12/2015	4
15/NW/0832/AM01	Trimaran_V1_03Aug2015	Substantial Amendment 1	14/12/2015	4
15/NW/0834/AM01	Bioimpedance Spectroscopy and Estimated Energy Requirements in ICU-1.0	Substantial Amendment 1	17/02/2016	26
16/NW/0043/AM01	VIPP with adoptive families in the UK: A pilot study	Substantial Amendment	Substantial 16/02/2016	
ERP/96/136/AM15 National Confidential Enquiry		Substantial Amendment 15	18/06/2015	14

Unfavourable opinion								
Amendment REC	Title	Version	Date	Number of Days on				
Reference				Clock				
14/NW/1009/AM05	Managing short sightedness using glasses/contact lenses	Substantial	22/09/2015	27				
		Amendment 2						
14/NW/1027/AM04	SORAMIC	Four	28/07/2015	29				

# Table 10.2: Breakdown of current status of all modified amendments reviewed within the reporting period

Favourable opinion timeline								
Amendment REC	Title	Version	Date	Number of Days on				
Reference				Clock				
	Assessment of levodopa-induced dyskinesia using a novel	Modified	15/04/2015	5				
11/NW/0541/AM04/1	device- V2	Amendment						
	Managing short sightedness using glasses/contact lenses	Modified	02/11/2015	7				
14/NW/1009/AM05/1		Amendment 2 -						
		Protocol						
	SORAMIC	Modification of the	08/12/2015	7				
14/NW/1027/AM04/2		amendment						

Unfavourable opinion timeline							
Amendment REC	Title	Version	Date	Number of Days on			
Reference				Clock			
14/NW/1027/AM04/1	SORAMIC	Patient Information	12/11/2015	13			

Table 1	1: Items	exceeding	timelines
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REC Reference Title Number of Days on Clock

#### Proportionate review applications for ethical review over 14 day timeline

REC Reference Title Number of Days on Clock

### SSAs (non Phase 1) over 25 day timeline

REC Reference Title Number of Days on Clock

#### SSAs (Phase 1) over 14 day timeline

REC Reference Title Number of Days on Clock

#### **Substantial Amendments over 35 day timeline**

Amendment REC Title Version Date Number of Days on Clock

Amendment REC Reference Version Date Number of Days on Clock