

**Social Care REC**

**Annual Report**

**01 April 2015 - 31 March 2016**



## Part 1 – Committee Membership and Training

<b>Name of REC:</b>	Social Care REC
<b>Type of REC:</b>	Authorised REC
<b>Type of Flag:</b>	Qualitative Research, Research Involving Adults Lacking Capacity
<b>Chair:</b>	Dr Martin Stevens
<b>Vice-Chair:</b>	Ms Susan Harrison
<b>Alternate Vice-Chair:</b>	Mr Craig Moss
<b>REC Manager:</b>	Ms Barbara Cuddon
<b>REC Assistant:</b>	
<b>Committee Address:</b>	Ground Floor Skipton House 80 London Road London SE1 6LH
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## **1.1 Introduction**

Welcome to the sixth Annual Report of the Social Care Research Ethics Committee for England, now in its seventh year of operation. The Social Care REC remains a firmly established feature of the social care research world, providing substantial support and advice to researchers in addition to its primary role of delivering research ethics opinions to the sector. In doing so it is in a unique position to reflect upon aspects of the volume and quality of social care research, albeit in a generalised way.

Overall, there was a small increase in the number of studies reviewed by the Committee in 2015-16 compared with the previous year (52 compared with 45), which is hard to interpret in respect of the overall amount of social care research being undertaken. Continuing the pattern from last year, we gave a relatively small number (5) of 'unfavourable' decisions, this year, compared with 3 the previous year and again there was an increase in the proportion of 'Provisional' outcomes (34, 65%) compared with (21, 47%) in 2014-15. This does not necessarily suggest a trend, but it is interesting to note as something to consider for future comparisons.

This report will give an overview of the composition and a flavour of the kinds of ethical issues we are responding to in reviewing social care research.

## **1.2 The Mental Capacity Act 2005**

Most researchers demonstrate a confident familiarity with the requirements of the Mental Capacity Act (2005) and only minor amendment is needed to their applications. However there continues to be a small minority of researchers, some from other research traditions, who appear to have little knowledge of the requirements of the Act. These researchers continue to have problems in:

- demonstrating a good enough justification for including people who lack capacity
- devising means of approaching and recruiting people who lack capacity (i.e. in identifying who can be consultees and the roles that consultees have)
- adapting methods to ensure that people who lack capacity can participate meaningfully.

We have had to refuse permission for some studies to involve this group of people on several occasions because of these difficulties. We would advise that research that involves adults who lack capacity can add time and complexity to studies and require researcher/s to have or be able to access the necessary expertise. However it is essential that such research is undertaken. Providing services that meet the needs of people who lack capacity who are likely to have the most severe impairments, requires the generation of good quality research evidence, informed by the participation of the people who need these services.

## **1.3 Recruitment of social care research participants**

We reviewed 15 substantial amendments (up from 8 in the previous year) and but the number of minor amendments fell from 29 to 13. Many of the many of the amendments related to problems in recruitment, a trend we have noticed over the past two years. Researchers have obviously continued to have problems engaging local authorities in their studies, to help with recruitment, identifying potential other organisations and in research governance. This is also apparent again from comments in the annual progress reports submitted to the Committee.

## **1.4 Applications based in postgraduate degrees**

Again in this year, we have received many applications from postgraduate students, most of whom have submitted excellent applications, and the students themselves have appeared

confident and knowledgeable. Attendance of the student, with his or her supervisor is a very important factor, enabling the Committee to make good judgements about the applications. Students attending the Committee without their supervisors often struggle, which sometimes has an impact on the eventual opinion of the Committee. Such difficulties are not necessarily related to the quality of students' proposals, their general research knowledge or professional expertise. Supervisors can respond to material questions concerning, for example, institutional policies, about which students may not be informed. In addition, supervisors will invariably have a more advanced understanding of, for example, the technical requirements of research ethics review or relevant legislation. The supervisor can also illustrate the degree of supervision and support available to students, who often are researching sensitive topics, and will usually require debrief and ongoing advice. We strongly advise that supervisors routinely attend Committee either in person or by phone in order to support their student.

### **1.5 Proportionate review**

There have been fewer Proportionate reviews this year, (three compared with six), and we have accepted all applications made for Proportionate review. This is an unusual route for applications to the Social Care REC; it appears that most social care research usually presents material ethical issues, making 'Proportionate review' inappropriate.

### **1.6 Inclusion of Intrusive Questions in Validated Questionnaires**

There have been ongoing questions raised by some members of the Committee about the use of what are seen as 'Intrusive' questions in some commonly used research tools, which some consider humiliating for disabled people. The Committee is seeking advice about how widely held this view is and how to respond. Currently, the Committee has adopted a case by case approach, questioning the need to include the intrusive questions. In addition we have required researchers to make it clear in Participant Information Sheets that such questions will be asked, and that participants are not obliged to answer all questions.

### **1.7 Standards**

The Social Care REC reviews up to 6 studies per meeting, the average this year is 5.2 reviews per meeting, compared with 4.1 in 2014-15. All but one of the final opinions were delivered well within HRA prescribed maximum of 60 days and our average time from receipt of a valid application to final opinion is 32 days (the same as last year). However, the percentage of applications reviewed within 40 days fell from 91% to 90%, still outside the 'stretch' target of 95% with five studies taking over 40 days.

Social Care REC's accreditation by the RES Accreditation Scheme for the Audit and Continuing Assessment of RECs was renewed in October 2015, and will be up for renewal again in September 2018. The successful completion of this exercise is almost entirely due to the meticulous attention paid to the administration of the REC by our REC Manager, Barbara Cuddon. REC members and applicants alike rely heavily on her extensive knowledge and understanding of ethical and RES processes and procedures.

We did not undertake a feedback survey during the period under review, although feedback is invited from applicants, and we meet occasionally with researchers and research funders, including the NIHR School for Social Care Research, to clarify issues and to review mutual perceptions. This kind of informal feedback has been positive. The RES complaints procedure is available to applicants to the Social Care REC, but has been invoked neither during the review period nor previously.

The Social Care REC responds, where appropriate, to consultation documents from the HRA/RES and external organisations. For example, The Committee submitted a response to the second edition of the UK policy framework for health and social care research, which contains the plans to replace the Research Governance Framework.

## 1.8 Social Care REC, the HRA, and RES

The Health Research Authority (HRA), with RES as the core, was established in 2011. In accordance with the Care Act 2014 provisions the HRA was established as a new, statutory Non Departmental Public Body (NDPB) as of 1 January 2015, and took on responsibility for the research ethics and governance in social care. The Social Care Rec formally transferred to the HRA on the 1<sup>st</sup> April 2015. However the DH continued funding the Social Care Rec until March 2016, after which the HRA had to take responsibility for funding the Social Care REC. As a consequence, several changes have been proposed and some implemented.

First to be implemented was the categorisation of members to fit into the 'Expert', 'Lay' and 'Lay+' categories. Many members were not happy with this development. When the Committee was set up, such formal distinctions were not made on appointment. Instead it had been agreed that the Committee was to be comprised of a third professional researchers, one third 'lay' members and one third people with experiences of using services or people caring for service users. This was felt to be essential to ensuring that people with the right range of experiences were involved in research ethics reviewing. The second and third proposed changes relate to the continued need a specialist *Social Care* Research Ethics Committee and the kinds of research to be reviewed. The Committee have approached the HRA with these concerns, hoping to start a dialogue about the future of ethics review in social care research.

## 1.9 Conclusion

We believe that the Social Care REC plays a valued and effective role in advising researchers and promoting ethical and worthwhile research in social care, whilst protecting the interests of participants. A measure of its impact may be seen in its extensive referencing within the Scottish Government's 'Navigating Ethical Approval and Access in Social Care Research' consultation document, a consultation to which we have submitted evidence.

On a personal note, I have continued to enjoy my role as Chair; the work has thrown up many interesting and knotty ethical problems, to which Committee members have usually found elegant and practical solutions. The Committee has an enormous store of specialist knowledge, experience of social care research and ability to use high level ethical reasoning to inform final opinions on applications. I feel sure that the work of the Committee continues to improve the ethical standards and quality of the social care research it reviews,

As always, the success of the Committee's work is down, in huge part to the continuing support of Barbara Cuddon, our REC Manager. Her excellent organizational skills and initiative are an essential part of the system. The Social Care REC also owes continuing thanks: to Janet Wisely, Chief Executive of the Health Research Authority, for her strong support for the Committee. Finally, and not at all least, thanks to all members of the Committee who contribute a great deal, all on top of their normal jobs, or on a purely voluntary basis. I have very much enjoyed working with them.



Dr Martin Stevens – May 2016

## Social Care REC Membership

Name	Profession	Expert or Lay	Dates	
			Appointed	Left
Sandra Andrews	Retired Police Officer	Lay Plus	17/02/2009	23/06/2015
Jeanne Carlin	Independent	Lay	19/11/2012	
Sam Clemens	Research Director	Expert	16/02/2009	
Professor David Croisdale-Appleby	Chair of various national organisations	Lay	14/02/2009	05/02/2016
Suki (Gayatri) Desai	Senior Lecturer	Expert	14/12/2010	31/01/2016
Rachel Dittrich	Research Manager	Lay	16/02/2009	
Robert Droy	Personalisation Expert Panel Chair	Lay Plus	02/01/2015	
Dr Michael Dunn	Lecturer in Health and Social Care Ethics	Lay Plus	04/03/2009	
Susan Harrison	Health and Social Services Manager	Lay	22/02/2009	
Claire Lambert	Social Researcher	Expert	18/11/2012	
Valerie Lang	Retired	Lay Plus	15/02/2009	
Irene Linder	Retired	Lay Plus	25/02/2009	
Craig Moss	Research Director	Lay	12/03/2009	
Mrs Elayne Nasr	Retired School Matron	Lay Plus	05/01/2016	
Bridget Penhale	Reader in Mental Health of Older People	Expert	23/02/2009	
Dr Lindsey Pike	Senior Research and Development Officer	Lay	16/12/2014	
Dr Kathylene F Siska	Social Worker/Academic	Expert	21/11/2012	
Dr Martin Stevens	Senior Research Fellow	Expert	28/11/2013	

## Social Care REC: Deputy Members

Name	Profession	Status	Meeting date attended
Jeanne Carlin	Self-employed disability consultant	Lay	

## Social Care REC: Members' Declarations of Interest:

Name	Declaration of Interest	Date
Jeanne Carlin	(NIHR) School for Social Care Research - Carers, Users and Practitioners Advisory Group	22/01/2016
Jeanne Carlin	LSE - Advisory Group for research conducted by Linda Pickard on employers & carers	22/01/2016
Jeanne Carlin	National Co-production Advisory Group - TLAP hosted by SCIE	22/01/2016
Jeanne Carlin	National Peer Support Group - Personal Health Budgets	22/01/2016
Jeanne Carlin	Learning disability Partnership Board (Hull)	22/01/2016
Sam Clemens	Trustee of Social research Association	19/01/2016
Rachel Dittrich	Chair, Hampshire Research Governance Panel	19/01/2016
Rachel Dittrich	Research Manager, Hampshire County Council (includes conducting research)	19/01/2016
Robert Droy	Chair of Personalisation Expert Panel on behalf of Spectrum Centre for Independent Living	08/02/2016
Dr Michael Dunn	Advisory Board member for care home provider organisation - Executive Care Group	22/01/2016
Dr Michael Dunn	Lecturer at a UK University, involved in conducting empirical research and in providing teaching and training in research ethics and research methodologies.	22/01/2016
Susan Harrison	Director of Harrison Consultants Ltd, social care management consultancy, which could be commissioned to deliver or contribute to small scale research.	19/01/2016
Susan Harrison	Trustee of Cuba Medical Electives, an educational charity. It is unlikely that any conflict of interest would arise.	19/01/2016
Susan Harrison	Trustee of Changing Faces, a disfigurement charity. From time to time I advise on research projects associated with the charity.	19/01/2016
Susan Harrison	Owner and Director of Harrison Consultants Ltd, social care management consultancy, which could be commissioned to deliver or contribute to small scale research.	19/01/2016
Susan Harrison	None other than a nominal Â£1 shareholder interest in my own company Harrison Consultants Ltd. See above.	19/01/2016
Susan Harrison	Trustee of Cuba Medical Electives, an educational charity. It is unlikely that any conflict of interest would arise.	19/01/2016
Susan Harrison	Trustee of Changing Faces, a disfigurement charity. From time to time I advise on research projects associated with the charity.	19/01/2016
Susan Harrison	I am involved in the adult social care research governance arrangements in Camden Council and have responsibility for giving local R&D approval for NHS and Adult Social care studies involving people with Learning Disabilities.	19/01/2016

Susan Harrison	Is an external expert member of the University of East London Research Ethics Committee.	19/01/2016
Claire Lambert	Researchers at Ipsos MORI	19/01/2016
Claire Lambert	Social Researcher at Ipsos MORI	14/05/2015
Valerie Lang	Shadow Governor, Whittington Health	19/01/2016
Irene Linder	Irene has no declared interests. Form completed on 2.1.16	19/01/2016
Irene Linder	Irene Linder has no declared interests. Form completed 8 March 2015	21/10/2015
Craig Moss	I am Research Director for the Social research Partnership, a Health and Social Research Consultancy	31/03/2016
Craig Moss	I am the owner of the Social Research Partnership.	31/03/2016
Craig Moss	I am a Trustee of a national charity supporting children and adult education and learning through the provision of libraries, books and reading.	31/03/2016
Craig Moss	I sometimes peer review proposals and papers for other organisations such as Big Lottery Fund.	31/03/2016
Mrs Elayne Nasr	None	05/01/2016
Bridget Penhale	Member Action on Elder Abuse	01/02/2016
Bridget Penhale	Member Ann Craft Trust	01/02/2016
Bridget Penhale	Member PASA (Practitioner Alliance for Safeguarding Adults)	01/02/2016
Bridget Penhale	Researcher working on a number of research projects (details can be provided on request)	01/02/2016
Bridget Penhale	Member of Faculty of Medicine and Health Sciences Research Ethics Committee, University of East Anglia	01/02/2016
Bridget Penhale	Peer reviewer for a number of research funding organisations: NIHR, ESRC, Nuffield Foundation, Alzheimer's Society	01/02/2016
Bridget Penhale	Peer reviewer for a number of journals (Ageing and Society, Age and Ageing, BJSW, Journal of Social Work)	01/02/2016
Dr Lindsey Pike	Reviewer for the Journal of Adult Protection	01/02/2016
Dr Lindsey Pike	Main employment is a Senior Research and Development Officer for RiPfA (Research in Practice for Adults) a charity which sometimes undertakes evaluation work for councils and other social providers.	01/02/2016
Dr Martin Stevens	Chair, Social services research Group (minimal conflict)	08/01/2016



### Meetings for Full Ethical Review 01 April 2015 - 31 March 2016:

Month	Date	Number of Members Present at Meeting
April	10/04/2015	12
May	01/05/2015	11
June	05/06/2015	12
July	03/07/2015	8
September	04/09/2015	12
October	02/10/2015	9
November	06/11/2015	12
December	04/12/2015	10
January	08/01/2016	11
March	04/03/2016	8

10 full committee meetings were held during the reporting period.

### Proportionate Review Sub-Committee Meetings held during 01 April 2015 - 31 March 2016:

Month	Date	Number of Members Present at Meeting
July	06/07/2015	3
September	11/09/2015	3
September	21/09/2015	3

3 proportionate review sub-committee meetings were held during the reporting period.

### Sub-Committee Meetings held during 01 April 2015 - 31 March 2016:

Month	Date	Number of Members Present at Meeting
April	13/04/2015	2
April	27/04/2015	2
May	04/05/2015	2
May	11/05/2015	2
May	11/05/2015	2
May	18/05/2015	2
May	21/05/2015	2
May	25/05/2015	3
May	25/05/2015	2
May	25/05/2015	2
May	27/05/2015	2
June	01/06/2015	3
June	12/06/2015	2
July	13/07/2015	2
July	15/07/2015	2
July	27/07/2015	2
July	27/07/2015	2

August	03/08/2015	2
August	14/08/2015	2
August	17/08/2015	2
September	07/09/2015	2
October	05/10/2015	2
November	09/11/2015	2
November	09/11/2015	2
November	20/11/2015	2
November	25/11/2015	2
December	07/12/2015	2
December	18/12/2015	2
January	11/01/2016	2
January	25/01/2016	3
February	18/02/2016	4
February	25/02/2016	2
March	30/03/2016	3

33 sub-committee meetings were held during the reporting period.

**Details of inquorate meeting held: 01 April 2015 - 31 March 2016**

None.

**Attendance of Members at full committee meetings:01 April 2015 - 31 March 2016**

<b>Name</b>	<b>Number of Meetings Attended</b>
Sandra Andrews	2
Jeanne Carlin	4
Sam Clemens	7
Professor David Croisdale-Appleby	6
Suki (Gayatri) Desai	4
Rachel Dittrich	9
Robert Droy	8
Dr Michael Dunn	3
Susan Harrison	10
Claire Lambert	2
Valerie Lang	10
Irene Linder	8
Craig Moss	5
Bridget Penhale	8
Dr Lindsey Pike	7
Dr Kathylene F Siska	4
Dr Martin Stevens	8

**Attendance of Members at proportionate review sub-committee meetings: 01 April 2015 - 31 March 2016**

<b>Name</b>	<b>Number of Meetings Attended</b>
Suki (Gayatri) Desai	2
Dr Michael Dunn	1
Susan Harrison	2
Dr Kathylene F Siska	1
Dr Martin Stevens	3

**Attendance of Members at sub-committee meetings: 01 April 2015 - 31 March 2016**

<b>Name</b>	<b>Number of Meetings Attended</b>
Sandra Andrews	1
Sam Clemens	2
Professor David Croisdale-Appleby	1
Suki (Gayatri) Desai	5
Rachel Dittrich	4
Dr Michael Dunn	3
Susan Harrison	12

Valerie Lang	1
Craig Moss	6
Bridget Penhale	6
Dr Lindsey Pike	2
Dr Kathylene F Siska	6
Dr Martin Stevens	23

**Training 01 April 2015 - 31 March 2016**

<b>Name of Member</b>	<b>Date</b>	<b>Event(s) attended</b>
Jeanne Carlin	05/02/2016	Local Training Day - Social Care Rec
Sam Clemens	22/10/2015	Equality and Diversity (e-learning module)
Professor David Croisdale-Appleby	20/07/2015	Equality and Diversity
Professor David Croisdale-Appleby	05/02/2016	Social Care REC Local training Day - 'Frontier Issues of the MCA'
Rachel Dittrich	09/07/2015	Equality and Diversity
Rachel Dittrich	05/02/2016	Social Care REC Local Training Day - 'Frontier Issues of the MCA'
Robert Droy	05/08/2015	Equality and diversity e-learning
Robert Droy	05/02/2016	Social Care REC Local Training Day - 'Frontier Issues of the MCA'
Dr Michael Dunn	30/07/2015	Equality & Diversity - e-learning
Dr Michael Dunn	05/02/2016	Frontier Issues of the Mental Capacity Act
Susan Harrison	05/05/2015	Adult social care law: introduction and overview to the Care Act - as first phase of Care Act implemented 01.04.15
Susan Harrison	28/05/2015	Care Act: Care and support, assessment and eligibility – as first phase of Care Act implemented 01.04.15
Susan Harrison	14/07/2015	Equality and Diversity Training e-learning
Susan Harrison	09/12/2015	Chair's Training Day
Susan Harrison	05/02/2016	Local Training Day Social Care REC
Claire Lambert	05/02/2016	Social Care REC Local Training Day - 'Frontier Issues of the MCA'
Valerie Lang	04/08/2015	Equality and Diversity e-learning
Valerie Lang	05/02/2016	Local Training Day - Social Care REC
Irene Linder	05/02/2016	Social Care REC Local Training Day - 'Frontier Issues of the MCA'
Craig Moss	18/07/2015	HRA Equality and Diversity (online)
Mrs Elayne Nasr	17/05/2015	E&D
Bridget Penhale	05/02/2016	Local Training Day - Social Care REC

Dr Lindsey Pike	17/06/2015	REC Members Induction
Dr Lindsey Pike	05/02/2016	Local Training Day - Social Care REC
Dr Martin Stevens	05/02/2016	Frontier Issues of the Mental capacity Act

## PART 2: REC WORKLOAD AND ACTIVITY DURING THE REPORTING PERIOD

**Table 1: Applications assigned to a full committee meeting held within the reporting period:**

<b>Applications for full ethical review – Study Type</b>	<b>Number</b>	<b>%</b>
Clinical Trial of Investigational Medicinal Product	0	0.00
Phase 1	0	0.00
Gene Therapy	0	0.00
Research Tissue Bank (including renewals)	0	0.00
Research Database (including renewals)	0	0.00
Others	52	100.00
<b>Total Applications Reviewed</b>	<b>52</b>	<b>100</b>

**Table 2: Breakdown of full applications and other activity during reporting period**

Number of applications made invalid by the REC Manager	5
Number of applications withdrawn prior to the meeting	0
Number of student applications reviewed	17
Number of paediatric applications reviewed	6
Number of device applications reviewed	0
Number of prisoner applications reviewed	0
Number of applications involving adults unable consent reviewed	16
Number of applications reviewed that are funded by the US DHHS	0
Number of qualitative applications reviewed	19

**Table 3: Decisions given at meetings held within the reporting period**

<b>Decisions taken at meetings following review of applications</b>	<b>Number</b>	<b>%</b>
Favourable Opinion with Standard Conditions	0	0.00
Favourable Opinion with Additional Conditions	13	25.00
Unfavourable Opinion	5	9.62
Provisional Opinion	34	65.38
Provisional Opinion Pending Consultation with Referee	0	0.00
<b>Total</b>	<b>52</b>	<b>100</b>
Number of studies sent back to full committee meeting for final opinion	0	

**Table 4: Summary of current status of applications reviewed during the reporting period**

<b>Status of applications at date of generation of report</b>	<b>Number</b>	<b>%</b>
Further Information Favourable Opinion with Standard Conditions	12	23.08
Further Information Favourable Opinion with Additional Conditions	20	38.46
Further Information Unfavourable Opinion	0	0.00
Favourable Opinion with Standard Conditions	0	0.00
Favourable Opinion with Additional Conditions	13	25.00
Unfavourable Opinion	5	9.62
Provisional Opinion	1	1.92
Provisional Opinion Pending Consultation with Referee	0	0.00
Further Information response not complete	1	1.92
No decision entered on system	0	0.00
Number of studies withdrawn after the meeting	0	0.00
<b>Total</b>	<b>52</b>	<b>100</b>



**Table 5: Applications assigned to a proportionate review sub-committee within the reporting period**

<b>Total Applications Reviewed</b>	<b>3</b>
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**Table 6: Breakdown of PRS applications and other activity during reporting period:**

Number of applications made invalid by the REC Manager	0
Number of studies withdrawn prior to the meeting	0
Number of student applications reviewed	0
Number of paediatric applications reviewed	0
Number of device applications reviewed	0
Number of qualitative applications reviewed	0

**Table 7: Decisions given at proportionate review sub-committee meetings held within the reporting period**

<b>Decisions taken at proportionate review sub-committee meetings</b>	<b>Number</b>	<b>%</b>
Favourable Opinion with Standard Conditions	0	0.00
Favourable Opinion with Additional Conditions	3	100.00
No Opinion transfer to full committee for review	0	0.00
Provisional Opinion	0	0.00
Unfavourable Opinion	0	0.00
<b>Total</b>	<b>3</b>	<b>100</b>

**Table 8: Other Management Information based on the number of completed applications for the reporting period:**

<b>Average number of applications reviewed per full meeting</b>	5.20
<b>Number of completed applications for full ethical review</b>	52
<b>Number of completed applications for full ethical review over 60 days</b>	0
<b>Number of completed applications over 60 days as a % of total</b>	0.00%
<b>Number of completed applications for full ethical review over 40 days</b>	5
<b>Number of completed applications over 40 days as a % of total</b>	9.62%
<b>Number of days taken to final decision – average (mean)</b>	32
<b>Number of completed proportionate review applications for ethical review</b>	3
<b>Number of completed proportionate review applications for ethical review over 14 days</b>	0
<b>Number of completed proportionate review applications over 14 days as a % of total</b>	0.00%
<b>Number of SSAs (non-Phase 1) reviewed</b>	0
<b>Number of completed applications for SSA review over 25 days</b>	0
<b>Number of completed applications for SSA review over 25 days as % of all non- Phase 1 SSAs</b>	0.00%
<b>Number of SSAs (Phase 1) reviewed</b>	0
<b>Number of completed applications for SSA review over 14 days</b>	0
<b>Number of completed applications for SSA review over 14 days as % of all Phase 1 SSAs</b>	0.00%
<b>Number of substantial amendments reviewed</b>	15
<b>Number of completed substantial amendments over 35 days</b>	0
<b>Number of completed substantial amendments over 35 days as a % of total substantial amendments</b>	0.00%
<b>Number of completed substantial amendments over 28 days</b>	0
<b>Number of completed substantial amendments over 28 days as a % of total substantial amendments</b>	0.00%
<b>Number of modified amendments reviewed</b>	4
<b>Number of completed modified amendments over 14 days</b>	0
<b>Number of completed modified amendments over 14 days as a % of total modified amendments</b>	0.00%
<b>Number of minor amendments received</b>	13
<b>Number of substantial amendments received for</b>	0

<b>information</b>	
<b>Number of substantial amendments received for new sites/PIs</b>	0
<b>Number of annual progress reports received</b>	38
<b>Number of safety reports received</b>	0
<b>Number of Serious Adverse Events received</b>	0
<b>Number of final reports received</b>	29

**Table 9.1: Breakdown of current status of all full applications reviewed within the reporting period**

<b>Further Information Favourable Opinion with Standard Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
15/IEC08/0034	Physical Activity, health status & hospital admission in COPD: Post-PR	25
15/IEC08/0041	The DQoL-OC study	34
15/IEC08/0049	Fathers with learning disabilities and their experiences of adult care	32
15/IEC08/0053	Personalised physical activity for people with dementia in care homes	29
15/IEC08/0061	Measuring Outcomes of Care Homes	38
15/IEC08/0066	Vision Rehab Services: investigating the impacts of two service models	33
15/IEC08/0068	Understanding change in long-term Opioid Substitute Therapy clients	27
16/IEC08/0001	Effective transitions for young people with complex needs	39
16/IEC08/0002	The Role and Competencies of RNs in Care Homes v1	37
16/IEC08/0003	Workplace Personal Assistants	37
16/IEC08/0012	MODEM carer wellbeing study	38
16/IEC08/0014	Forced marriage of people with learning disabilities	44

<b>Further Information Favourable Opinion with Additional Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
15/IEC08/0023	My Voice Project	41
15/IEC08/0024	Exploring AMHP decision making during Mental Health Act Assessments	50
15/IEC08/0026	The SlgN Project (version 1)	44
15/IEC08/0027	Inciting Dialogue and Disruption (Social Care REC)	43
15/IEC08/0029	Comprehensive modelling of outcomes for those with memory problems 1.	37
15/IEC08/0035	Social capital and social climate of care settings for older people	40
15/IEC08/0036	Specialist Enablement IRAS Project V1	27
15/IEC08/0038	The Continuing Self: dementia and the spiritual journey. V1	33
15/IEC08/0039	Understanding the social life of older men living in care homes	31
15/IEC08/0042	Quality of Life in Care Homes	29
15/IEC08/0043	Defining Quality Home Care for People with Dementia	36
15/IEC08/0048	Appropriate Adult provision for vulnerable adults	39
15/IEC08/0060	Cognitive Testing of Questions in Carers Survey	28
15/IEC08/0062	Transition to adult social care from residential schools V.2	34
15/IEC08/0063	Getting Good Support	40

15/IEC08/0067	Shared Lives Evidence of Effectiveness	34
16/IEC08/0006	Ageing successfully	40
16/IEC08/0007	Care networks from the perspectives of people with dementia - V1	36
16/IEC08/0008	Increasing Awareness of the MCA 2005: London Borough of Sutton (V2)	39
16/IEC08/0010	LGBT Disabled Men & Women and Social Care Support	31

### Further Information Unfavourable Opinion

REC Reference	Title	Number of Days on Clock
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### Favourable Opinion with Standard Conditions

REC Reference	Title	Number of Days on Clock
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### Favourable Opinion with Additional Conditions

REC Reference	Title	Number of Days on Clock
15/IEC08/0025	Interpersonal Counselling Evaluation	30
15/IEC08/0028	Experiences of 'My Support Broker' peer brokerage	34
15/IEC08/0030	Who's Challenging Who?	25
15/IEC08/0031	Quality of life and Pilgrimage to Lourdes	25
15/IEC08/0033	Day Centres for Older People v1	22
15/IEC08/0037	Decision Making in Social Work Supervision - Phase One	25
15/IEC08/0045	A narrative study of young people's experiences with substance use	22
15/IEC08/0046	Expectations of alcohol dependents undergoing emergency detoxification	26
15/IEC08/0047	The Everyday Operation of Models of Social Care Provision	20
15/IEC08/0054	Uncovering staff culture	18
15/IEC08/0055	Training school staff to deliver psychological interventions	17
16/IEC08/0009	Early evaluation of the Integrated Personal Commissioning Pilot	24
16/IEC08/0011	A system-level evaluation of the Better Care Fund	24

### Unfavourable Opinion

REC Reference	Title	Number of Days on Clock
15/IEC08/0040	Safeguarding Q-Sort	24

15/IEC08/0058	Safeguarding Q-Sort	25
15/IEC08/0059	iCarer 01	25
15/IEC08/0065	Realising the Ideal Service: London Borough of Sutton. Version 1	24
16/IEC08/0004	Get Healthy Get Active: Prevention is Better than Care	33

#### Provisional Opinion

REC Reference	Title	Number of Days on Clock
15/IEC08/0051	Exploring Use of Assistive Information Technology in Dementia Care	n/a

#### Provisional Opinion Pending Consultation with Referee

REC Reference	Title	Number of Days on Clock
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#### Further information response not complete

REC Reference	Title	Number of Days on Clock
16/IEC08/0013	An evaluation of Family Futures integrative therapeutic approach v2	n/a

#### Withdrawn after the meeting

REC Reference	Title	Number of Days on Clock
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**Table 9.2: Breakdown of current status of all PRS applications reviewed within the reporting period**

#### Further Information Favourable Opinion with Standard Conditions

REC Reference	Title	Number of Days on Clock
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#### Further Information Favourable Opinion with Additional Conditions

REC Reference	Title	Number of Days on Clock
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<b>Further Information Unfavourable Opinion</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

<b>Favourable Opinion with Standard Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

<b>Favourable Opinion with Additional Conditions</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>
15/IEC08/0044	International models of advance care planning: An economic perspective	9
15/IEC08/0050	Personal Social Services Adult Social Care Survey, England, 2015-16	9
15/IEC08/0052	Developing a Local Prevention Evaluation Framework	9

<b>Unfavourable Opinion</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

<b>Provisional Opinion</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

<b>Further information response not complete</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

<b>Withdrawn after the meeting</b>		
<b>REC Reference</b>	<b>Title</b>	<b>Number of Days on Clock</b>

**Table 10.1: Breakdown of current status of all substantial amendments reviewed within the reporting period**

<b>Favourable opinion</b>				
<b>Amendment REC Reference</b>	<b>Title</b>	<b>Version</b>	<b>Date</b>	<b>Number of Days on Clock</b>
14/IEC08/1015/AM0 2	Communication skills & needs of adults with learning disabilities v1	Amendment 2: 24.08.15; Changes	27/08/2015	15
14/IEC08/1022/AM0 1	The Values of Assessment	1. October 20th 2015	04/11/2015	22
15/IEC08/0005/AM0 2	Comprehensive modelling of outcomes for those with memory problems	1, 24/08/2015; open selfreferr	25/08/2015	15
15/IEC08/0009/AM0 3	Moving on: Transitions for young people V2	Substantial amndment no 1	26/10/2015	22
15/IEC08/0018/AM0 1	DrinkThink Evaluation	1 29.07.2015	03/08/2015	7
15/IEC08/0020/AM0 1	Hidden Costs Feasibility Study	1. Stage 4 12.11.15	12/11/2015	9
15/IEC08/0025/AM0 1	Interpersonal Counseling Evaluation	Version 3, 20 January 2016	20/01/2016	18
15/IEC08/0030/AM0 1	Who's Challenging Who?	1, 07.01.2016	10/11/2015	19
15/IEC08/0061/AM0 1	Measuring Outcomes of Care Homes	1(14/1/16)	14/01/2016	18
15/IEC08/0067/AM0 1	Shared Lives Evidence of Effectiveness	1 28/01/2016	27/01/2016	15
	Understanding change in long-term Opioid Substitute	1 1/3/2016	01/03/2016	15



15/IEC08/0068/AM0 1	Therapy clients			
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<b>Unfavourable opinion</b>				
<b>Amendment REC Reference</b>	<b>Title</b>	<b>Version</b>	<b>Date</b>	<b>Number of Days on Clock</b>
12/IEC08/0018/AM0 1	Prevention of challenging behaviour Version 1	1, 13.5.15	15/05/2015	17
14/IEC08/0011/AM0 4	Direct Payments in Residential Care Evaluation	AM04 23 April 2015	27/04/2015	7
14/IEC08/1004/AM0 1	TB: Experiences of new-entrant screening and healthcare access.	Version 4 20.7.15	10/08/2015	3
14/IEC08/1018/AM0 3	CLECC feasibility study	1 16/07/2015	16/07/2015	26

**Table 10.2: Breakdown of current status of all modified amendments reviewed within the reporting period**

<b>Favourable opinion timeline</b>				
<b>Amendment REC Reference</b>	<b>Title</b>	<b>Version</b>	<b>Date</b>	<b>Number of Days on Clock</b>
12/IEC08/0018/AM0 1/1	Prevention of challenging behaviour Version 1	1, 13.5.15 Modified on 16.6.15	16/06/2015	1
14/IEC08/0011/AM0 4/1	Direct Payments in Residential Care Evaluation	AM05 20 May 2015	20/05/2015	7
14/IEC08/1004/AM0 1/1	TB: Experiences of new-entrant screening and healthcare access.	4 20.7.15	14/08/2015	0

14/IEC08/1015/AM0 1/1	Communication skills & needs of adults with learning disabilities v1	amendment 1 <sup>3</sup> / <sub>4</sub> 22.01.2015	01/04/2015	2
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<b>Unfavourable opinion timeline</b>				
<b>Amendment REC Reference</b>	<b>Title</b>	<b>Version</b>	<b>Date</b>	<b>Number of Days on Clock</b>

**Table 11: Items exceeding timelines**

**Full applications for ethical review over 60 day timeline**

REC Reference	Title	Number of Days on Clock
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**Proportionate review applications for ethical review over 14 day timeline**

REC Reference	Title	Number of Days on Clock
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**SSAs (non Phase 1) over 25 day timeline**

REC Reference	Title	Number of Days on Clock
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**SSAs (Phase 1) over 14 day timeline**

REC Reference	Title	Number of Days on Clock
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**Substantial Amendments over 35 day timeline**

Amendment REC Reference	Title	Version	Date	Number of Days on Clock
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**Modified Amendments over 14 day timeline**

Amendment REC Reference	Title	Version	Date	Number of Days on Clock
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