# NHS National Patient Safety Agency

National Research Ethics Service

# NRES Committee London - East

# **Annual Report**

01 April 2011 – 31 January 2012

#### Part 1 – Committee Membership and Training

Name of REC:	NRES Committee London - East
Type of REC:	Authorised
Type of Flag:	MCA
Chair:	Revd Dr Joyce Smith
Vice-Chair:	Dr Elizabeth Webster
Alternate Vice-Char:	Miss Elaine Mason
Co-ordinator:	Ms Laura Keegan (now left NRES contact Atul Patel)
PRSC Co-ordinator:	Mr Atul Patel
PRSC Co-ordinator: Committee Address:	Mr Atul Patel REC Offices Room 10 4th Floor West Charing Cross Hospital Fulham Palace Road London W6 8RF

#### Chair's overview of the past year:

Committee closed on 31 January 2012

#### NRES Committee London - East Membership:

Name	Occupation	Expert or	Dates	
		Lay	Appointed	Resigned
Mr David Burbridge	Retired	Lay	13/10/2011	
Mrs Sylvia Clarke	Retired / Lay Member	Lay	01/12/2008	
Mr. David Fenton	Retired	Lay	01/12/2008	
Mr A Jabbari	Lay Member & Stastician	Lay	01/12/2008	
Ms Ros Levenson	Independent Consultant	Lay	09/06/2011	
Mrs Kathryn Lobb	Industry Liaison Manager	Lay	09/06/2011	
Miss Elaine Mason	Retired Pharmacist	Lay	31/12/2008	
Dr Rex Melville	Consultant Physician	Expert	01/12/2008	
Ms Lindsay Royan	Consultant Clinical Psychologist	Expert	01/12/2008	
Dr Shah-Jalal Sarker	Cancer Biostatistics Lecturer	Expert	01/09/2011	
Mr. Pieter Shaw	Chief Pharmacist	Expert	01/06/2009	17/11/2011
Revd Dr Joyce Smith	Chair - Clergy/Retired Consultant Dentist	Expert	01/12/2008	
Dr Sivasubramaniam Srikumar	Consultant Psychiatrist	Expert	01/12/2008	
Mr Leslie J. Stevens	Retired Lay Member	Lay	31/12/2008	
Dr Elizabeth Webster	General Practitioner	Expert	01/12/2008	
Mr Peter Wilson	Finance and Resource Manager (retired)	Lay	01/09/2011	

#### **Deputy Members**

Name	Occupation	Expert or Lay	Meeting date attended

#### **Co-opted Members**

Name	Occupation	Expert or Lay	Meeting date attended
Ms Lara Callaghan	Pharmacy Student	Lay	19/01/2012
Ralph White	Director-Pharmaceutical Consultancy	Lay	14 April 2011
Valerie Lang	Retired	Lay	14 April 2011

#### Member Bank

Name	Occupation	Expert or Lay	Meeting date attended

#### Meetings for Full Ethical Review 01 April 2011 - 31 January 2012:

Month	Date	Number of Members Present at Meeting
April	14/04/2011	7
May	12/05/2011	9
June	09/06/2011	10
July	14/07/2011	10
August	11/08/2011	10
September	08/09/2011	8
October	13/10/2011	7
November	10/11/2011	8
December	08/12/2011	11
January	19/01/2012	7

10 full committee meetings were held during the reporting period.

## Proportionate Review Sub Committee Meetings held during 01 April 2011 - 31 January 2012:

Month	Date	Number of Members Present at Meeting
April	28/04/2011	3
Мау	26/05/2011	3
June	23/06/2011	3
July	28/07/2011	3
August	25/08/2011	4
September	29/09/2011	3
October	27/10/2011	5
November	24/11/2011	5
January	16/01/2012	3

9 proportionate review sub-committee meetings were held during the reporting period.

#### Attendance of Members: 01 April 2011 – 31 January 2012:

Name	Number of Meetings Attended
Dr Elizabeth Webster	10
Mr A Jabbari	10
Revd Dr Joyce Smith	10
Mr Leslie J. Stevens	8
Miss Elaine Mason	6
Ms Lindsay Royan	6
Ms Ros Levenson	6
Mr. David Fenton	5
Mrs Sylvia Clarke	5
Mr. Pieter Shaw	4
Mrs Kathryn Lobb	3
Dr Shah-Jalal Sarker	3
Dr Sivasubramaniam Srikumar	3
Dr Rex Melville	2
Mr David Burbridge	2
Mr Ralph White (Co-opted)	1
Mr Peter Wilson	1
Ms Lara Callaghan (Co-opted)	1
Ms Valerie Lang (Co-opted)	1

Number of inquorate meetings held: 0

Attendance of Members at proportionate review sub-committee meetings: 01 April 2011 – 31 January 2012:

Name	Number of Meetings Attended
Dr Elizabeth Webster	8
Elaine Mason	6
Ms Lindsay Royan	6
Revd Dr Joyce Smith	6
Mrs Sylvia Clarke	4
Mrs Gillian Rimington	1
Ms Margaret Vince	1

#### Training 01 April 2011 – 31 January 2012:

Name of Member	Date	Event(s) attended
Revd Dr Joyce Smith	15/04/2011 - 15/04/2011	Equality and Diversity, NRES
Miss Elaine Mason	11/04/2011 - 11/04/2011	Self Directed Learning - equality and diversity training, NRES
Mr A Jabbari	03/06/2011 - 03/06/2011	Self Directed Learning - equality and diversity training, NRES
Dr Elizabeth Webster	17/10/2011 - 17/10/2011	Self Directed Learning - EQUALITY AND DIVERSITY TRAINING, NRES
Dr Rex Melville	11/04/2011 - 11/04/2011	Self Directed Learning - EQUALITY AND DIVERSITY TRAINING, NRES
Mr. Pieter Shaw	11/04/2011 - 11/04/2011	Self Directed Learning - EQUALITY AND DIVERSITY TRAINING, NRES
Mr. Pieter Shaw	22/06/2011 - 22/06/2011	NRES Members Induction Training (code OP1 001), NRES
Dr Elizabeth Webster	06/12/2011 - 06/12/2011	NRES Chair's National Training Day, NRES
Revd Dr Joyce Smith	17/06/2011 - 17/06/2011	Dementia Awareness, Kaye Partnership
Revd Dr Joyce Smith	19/01/2012 - 19/01/2012	MCA training DVD, NRES
Dr Elizabeth Webster	19/01/2012 - 19/01/2012	MCA Training DVD, NRES
Miss Elaine Mason	19/01/2012 - 19/01/2012	MCA Training DVD, NRES
Mr A Jabbari	19/01/2012 - 19/01/2012	MCA Training DVD, NRES
Mr Leslie J. Stevens	19/01/2012 - 19/01/2012	MCA Training DVD, NRES
Mr David Burbridge	19/01/2012 - 19/01/2012	MCA Training DVD, NRES

#### Part 2: REC workload and activity during the reporting period.

# Table 1: Applications assigned to a full committee meeting held within the reporting period:

Applications for full ethical review – Study Type	Number	%
Clinical Trial of Investigational Medicinal Product	0	0.00
Phase 1	0	0.00
Gene Therapy	0	0.00
Research Tissue Bank	0	0.00
Research Database	0	0.00
Others	60	100.00
Total Applications Reviewed	60	100.0

#### Table 2: Other REC activity during the reporting period:

Number of applications made invalid by co-ordinator	4
Number of studies withdrawn prior to the meeting	7
Number of student applications reviewed	28
Number of paediatric applications reviewed	8
Number of device applications reviewed	0
Number of prisoner applications reviewed	0
Number of reviewed applications involving adults unable consent	11
reviewed	
Number of applications reviewed funded by the US DHHS	0

#### Table 3: Decisions given at meetings held within the reporting period:

Decisions taken at meetings following review of applications	Number	%
Favourable Opinion with Standard Conditions	0	0.00
Favourable Opinion with Additional Conditions	22	36.07
Unfavourable Opinion	6	9.84
Provisional Opinion	32	52.46
Invalid	1	1.64
No Opinion Pending Consultation with Referee	0	0.00
Provisional Opinion Pending Consultation with Referee	0	0.00
Not Requiring Review by NHS REC	0	0.00
Total	61	100%
Number of studies sent back to full committee meeting for final opinion	0	

## Table 4: Summary of current status of applications reviewed during the reporting period:

Status of applications at date of generation of report	Number	%
Further Information Favourable Opinion with Standard	23	37.70%
Conditions		
Further Information Favourable Opinion with Additional	7	11.48%
Conditions		
Further Information Unfavourable Opinion	0	0.00%
Favourable Opinion with Standard Conditions	0	0.00%
Favourable Opinion with Additional Conditions	22	36.07%
Unfavourable Opinion	6	9.83%
Provisional Opinion	1	1.64%
Invalid	1	1.64%
No Opinion Pending Consultation with Referee	0	0.00%
Further Information response not complete	0	0.00%
Not Requiring Review by NHS REC	0	0.00%
No decision entered on RED	0	0.00%
Number of studies withdrawn after the meeting	0	0.00%
Total	61	100%

# Table 5: Applications assigned to a proportionate review sub-committee within the reporting period:

Total Applications Reviewed	31	100.0

### Table 6: Decisions given at proportionate review sub-committee meetings held within the reporting period:

Decisions taken at proportionate review sub- committee meetings	Number	%
Favourable Opinion with Standard Conditions	6	19.35
Favourable Opinion with Additional Conditions	14	45.16
No Opinion transfer to full committee for review	4	12.90
Provisional Opinion	7	22.58
Unfavourable Opinion	0	0.00
Total	31	100%

#### Table 7: Other Management Information for the reporting period:

Average number of applications reviewed per full meeting	6.10
Number of applications for full ethical review over 60 days	8
Number of applications over 60 days as a % of total	13.11%
Number of days taken to final decision - average	38
Number of days taken to final decision - mode	19
Number of SSAs (non-Phase 1) reviewed	6
Number of applications for SSA review over 25 days	0
Number of applications for SSA review over 25 days as % of all non-Phase 1 (SSAs)	0.0%
Number of SSAs (Phase 1) reviewed	0
Number of applications for SSA review over 14 days	0
Number of applications for SSA review over 14 days as % of all Phase 1 (SSAs)	0%
Number of substantial amendments reviewed	30
Number of substantial amendments over 35 days	4
Number of substantial amendments over 35 days as a % of	13.3%
total substantial amendments	
Number of Section 30 applications reviewed	0
Number of Section 30 applications over 60 days	0
Number of Section 30 applications over 60 days as a % of total Section 30 applications	0%
Number of modified amendments reviewed	2
Number of modified amendments over 14 days	1
Number of modified amendments over 14 days as a % of total modified amendments	50.0%
Number of proportionate review applications for ethical review over 14 days*	17
Number of proportionate review applications over 14 days as a % of total	62.96%

\*NB – Proportionate Review Service is currently in pilot phase – 14 day review is an aim not a target

#### Table 8: Breakdown of current status of applications reviewed within the reporting period:

Further Information Favourable Opinion with Standard Conditions		
REC Reference	Application Short Title	Number of Days on Clock
11/LO/0059	Implementation and Evaluation of Two Different CST Training Approaches	59
11/LO/0088	Sleep apnoea and inflammatory markers	59
11/LO/0108	Pathophysiology, Histology & Pharmacology of OAB UTI & Cystitis	92
11/LO/0192	Recovery mechanisms of shoulder movements after a stroke.	85
11/LO/0300	Training parameters for optimal resistance exercise after stroke.	33
11/LO/0381	Treatment priorities	27
11/LO/0428	Safety of patients with learning disabilities in NHS hospitals	39
11/LO/0486	The Micro-Circulation In Trauma Patients	41
11/LO/0587	Parental experience of anaesthetic induction: a qualitative study	40
11/LO/0596	The development and evaluation of MT outcome measures	32
11/LO/0699	Autism Education Trust Outcomes Research	10
11/LO/0759	Anxiety Symptoms Prevention Investigation (ASPI)	12
11/LO/0766	Vocal Attack Time of Edematous Vocal Folds	49
11/LO/0975	An Exploratory Study: The Effect Of Therapy On Self-Compassion	39
11/LO/0986	Exploring nursing experience of ambulance triage.	20
11/LO/0987	Periodontitis and disease progression in Alzheimer's Disease	53
11/LO/1061	Improving occupational performance post discharge (phase 2)	53
11/LO/1168	Bio impedance characteristics of brain tissue with nano particles v1	23
11/LO/1202	Muscle function and gait patterns in young people with haemophilia -V1	36
11/LO/1223	Caring for patients who are hoping for a miracle	55
11/LO/1229	Phenotyping Achromatopsia patients in Preparation for Gene Therapy	32
11/LO/1354	Mindfulness for Coping with Chronic Illness	33
11/LO/1674	Inflammatory/microbial translocation biomarker panel to diagnose SBP	37
11/LO/1758	Chromatic sensitivity for monitoring and diagnosis of glaucoma	26
11/LO/1759	Early detection of visual loss in age related macular degeneration	23
11/LO/1784	Genetics of child anxiety (version 1)	26
11/LO/1954	The Role of Contact Force Sensing in Atrial Fibrillation Ablation	71
12/LO/0112	The polymicrobial nature of STI syndromes: MRC eSTI2 Investigation	68
12/LO/0100	The Diabetes MyQuest Consultation Tool	74
12/LO/0127	Relapse triggers and recovery among mentally ill substance misusers	155

Further Information Favourable Opinion with Additional Conditions		
<b>REC Reference</b>	Application Short Title	Number of Days on Clock
11/LO/0476	Minitub:Prospective Registration Study on Sentinel Node (SN) Positive	51
11/LO/0767	Comparison of CQ and Fx parameters for semi-occluded exercises	49
11/LO/0776	Factors that affect rehabilitation of dual diagnosis patients	48
11/LO/1194	A new programme for people with alcohol-related brain injury	31
11/LO/1404	Upper Airway Function during Exercise in Patients with Asthma	40
11/LO/1406	Enhanced outcomes from simulation training for acute appendicitis	48
11/LO/1801	Brain TSPO Function	17
11/LO/1948	Vision and quality of life in pituitary disease	17

Further Information	on Unfavourable Opinion	
<b>REC Reference</b>	Application Short Title	Number of Days on Clock

Favourable Opinion with Standard Conditions		
<b>REC Reference</b>	Application Short Title	Number of Days on Clock
11/LO/0773	Analyses of OmniHeart specimens	15
11/LO/1181	Brain specific proteins	21
11/LO/1629	Assessment of digital microscopy for diagnosis of Malaria	13
11/LO/1761	Comparison of 23 vs 25 gauge tract for glaucoma tube implant insertion	16
11/LO/1945	Analysis of tumour microenvironment in paediatric cancers.	16
12/LO/0129	The Ipswich Touch Test (IpTT): screening for diabetic neuropathy	18

Favourable Opinion with Additional Conditions		
<b>REC Reference</b>	Application Short Title	Number of Days on Clock
11/LO/0109	Pathophysiological signals of urine in lower urinary tract symptoms	63
11/LO/0186	The assessment of CMR guided LV lead placement during CRT implantation	63
11/LO/0257	Understanding young peoples decision making about bariatric surgery	54
11/LO/0308	Attentional expectancies and cholinergic neurotransmission	28
11/LO/0363	Immune responses in BCG vaccinated UK infants	19
11/LO/0366	Biomarkers of radiation and chemotherapy response	19
11/LO/0531	Haemophilia, Experiences, Results, Opportunities: a psychosocial study	23
11/LO/0532	Motivation for wearing elastics bands with orthodontic braces	21
11/LO/0576	Do DBT and CBT for BPD enhance mentalization?	27

11/LO/0577	Quality of Life Survey Comparing Vitala vs Traditional Pouch System	27
11/LO/0589	Assessing Consciousness with AVPU (version 1)	34
11/LO/0752	Flexible Working Study Project	10
11/LO/0770	Developing a high performance support workforce in acute care (v1)	10
11/LO/0930	The Late Effects of Treatment Amongst Colorectal Cancer Patients.	12
11/LO/0959	Occupational stress and job satisfaction in mental health nurses (1)	21
11/LO/0974	Middle leaders perceptions of their role: a comparison	8
11/LO/1155	The Effects of Music Therapy with Low Awareness States	25
11/LO/1185	Measuring the size of back muscle contractions with ultrasound imaging	18
11/LO/1230	Assessment of ankle strength in CMT1A v1.0	27
11/LO/1352	The role of digital brachial pressure index in HASS	19
11/LO/1365	A study of personal goals and emotions	7
11/LO/1387	Incontinence preoccupation in anxiety disorders_Version1	14
11/LO/1395	Parents' Understanding of Conduct Disorder.	16
11/LO/1396	A study of major system reconfiguration in stroke services	24
11/LO/1476	Assessing clot formation and stability using a flow chamber system	14
11/LO/1550	Psychosocial factors in patient adherence with periodontal treatment	19
11/LO/1646	Quantifying exercise intensity and capacity in ICU patients	19
11/LO/1672	Functional Assessment in early Breast cancer in Older patients	22
11/LO/1673	Biomarkers in Early Breast Cancer in Older People	22
11/LO/1681	FXIII Activation Assay	19
11/LO/1755	Psychosocial factors predicting outcome in sciatica injection patients	17
11/LO/1814	Well-being Interventions for Social and Health needs (The WISH study)	15
11/LO/1946	Patients' experiences of a Colorectal Enhanced Recovery Programme. V1	13
11/LO/1957	Social Communication Skills Assessment Group - 1	22
11/LO/1997	Development of a simple tool for diagnosis of water-loss dehydration.	22
12/LO/0130	Surplus tissue from patients undergoing eye surgery	14

Unfavourable Opinion		
<b>REC Reference</b>	Application Short Title	Number of Days on Clock
11/LO/0988	Psychosocial factors & patient adherence with periodontal treatment v1	42
11/LO/1140	Dental Plaque Control in the Intensive Care Unit v1.0	34
11/LO/1222	Comprehensive geriatric assessment of early breast cancer (GAMBIT)	28
11/LO/1225	Evaluate the effectiveness of the iFriend initiative	24

11/LO/1461	Quality control of in vivo tape stripping	16
11/LO/1798	Clinical research of developmental disorders	15

Provisional Opinion			
<b>REC Reference</b>	Application Short Title	Number of Days on Clock	
11/LO/1557	Electrophysiological study of neurological dysfunction in neonates	N/A	

Further information response not complete		
<b>REC Reference</b>	Application Short Title	Number of Days on Clock

Withdrawn after the meeting		
REC Reference Appl	cation Short Title	Number of Days on Clock

Invalid Application		
REC Reference Application Short Title Number of Days on Clo		
11/LO/1817	Social Communication Skills Assessment Group - 1	18

No Opinion Pending Consultation with Referee		
<b>REC Reference</b>	Application Short Title	Number of Days on Clock

Not Requiring Review by NHS REC		
<b>REC Reference</b>	Application Short Title	Number of Days on Clock

#### Table 9: Items exceeding timelines:

Full applications for ethical review over 60 day timeline			
REC Reference	Application Short Title	Number of Days on Clock	
11/LO/0108	Pathophysiology, Histology & Pharmacology of OAB UTI & Cystitis	92	
11/LO/0109	Pathophysiological signals of urine in lower urinary tract symptoms	63	
11/LO/0192	Recovery mechanisms of shoulder movements after a stroke.	85	
11/LO/0186	The assessment of CMR guided LV lead placement during CRT implantation	63	
11/LO/1954	The Role of Contact Force Sensing in Atrial Fibrillation Ablation	71	
12/LO/0112	The polymicrobial nature of STI syndromes: MRC eSTI2 Investigation	68	
12/LO/0100	The Diabetes MyQuest Consultation Tool	74	
12/LO/0127	Relapse triggers and recovery among mentally ill substance misusers	155	

Proportionate review applications for ethical review over 14 days timeline			
<b>REC Reference</b>	Application Short Title	Number of Days on Clock	
11/LO/0531	Haemophilia, Experiences, Results, Opportunities: a psychosocial study	23	
11/LO/0532	Motivation for wearing elastics bands with orthodontic braces	21	
11/LO/0773	Analyses of OmniHeart specimens	15	
11/LO/0959	Occupational stress and job satisfaction in mental health nurses (1)	21	
11/LO/0986	Exploring nursing experience of ambulance triage.	20	
11/LO/1168	Bio impedance characteristics of brain tissue with nano particles v1	23	
11/LO/1181	Brain specific proteins	21	
11/LO/1185	Measuring the size of back muscle contractions with ultrasound imaging	18	
11/LO/1352	The role of digital brachial pressure index in HASS	19	
11/LO/1354	Mindfulness for Coping with Chronic Illness	33	
11/LO/1755	Psychosocial factors predicting outcome in sciatica injection patients	17	
11/LO/1758	Chromatic sensitivity for monitoring and diagnosis of glaucoma	26	
11/LO/1759	Early detection of visual loss in age related macular degeneration	23	
11/LO/1761	Comparison of 23 vs 25 gauge tract for glaucoma tube implant insertion	16	
11/LO/1945	Analysis of tumour microenvironment in paediatric cancers.	16	
11/LO/1948	Vision and quality of life in pituitary disease	17	
12/LO/0129	The Ipswich Touch Test (IpTT): screening for diabetic neuropathy	18	

SSAs (non Phase 1) over 25 days timeline		
<b>REC Reference</b>	Application Short Title	Number of Days on Clock

SSAs (Phase 1) over 14 days timelineREC ReferenceApplication Short TitleNumber of Days on Clock

	Substantial Amendments over 35 day timeline				
Amendment Reference	Application Short Title	Amendment Title	Number of Days on Clock		
07/H0701/71/AM07	Investigation of colonic motor activity in health and disease	subtsantial amendment 7	39		
11/LO/0059/AM01	Implementation and Evaluation of Two Different CST Training Approaches	Substantial Amendment 1	42		
11/LO/0759/AM01	Anxiety Symptoms Prevention Investigation (ASPI)	11/LO/0759/AM1/25 OCT 2011	38		
11/LO/0428/AM01	Safety of patients with learning disabilities in NHS hospitals	Substantial Amendment 1	55		
07/H0701/48/AM01	An Investigation of an A&E radiographer comment system	Change in protocol	36		
08/H0701/30/AM05	Anatomical and functional MRI of the cleft palate	Amendment to the PIS	47		
10/H0701/128/AM07	Safety and Appropriateness of Growth Hormone treatments in Europe	Amendment 7, Substantial	63		

Modified Amendments over 14 day timeline				
Amendment Reference	Application Short Title	Amendment Title	Number of Days on Clock	
10/H0701/122/AM01/1	Genetic predictors for treatment response of psychological treatment	Substantial Amendment 1, Modified	21	

Section 30 application over 60 day timeline			
Amendment Reference	Application Short Title	Amendment Title	Number of Days on
			Clock