

Agenda item:	12
Attachment:	A

HRA Board Cover sheet

21 September 2022

Title of paper:	11 August 2022 HRA Audit & Risk Committee meeting summary
Submitted by:	Steve Tebbutt
Summary of paper:	To provide a brief summary of the matters discussed at the last HRA Audit & Risk Committee meeting.
Reason for submission:	For information
Further information:	See summary update
Dissemination:	Published on HRA website
Time required:	5 minutes

Audit and Risk Committee Meeting Summary

Key Summary points of the Health Research Authority (HRA) Audit & Risk Committee meeting held on 11 August 2022.

Committee governance:

- Quorate (4 of 4 members in attendance)
- Final meeting of independent member. Recruitment campaign underway to find a replacement.

Key business conducted

Government Internal Audit Agency (GIAA) Audits completed

- HRA Data Security Protection Toolkit audit
 - The Committee noted the overall risk rating for the HRA is **Moderate** and the assurance level for HRA (based on the confidence level of the Independent Assessor in the veracity of the self-assessment) is **Limited**.

Policy and Procedure for co-ordination of the GIAA audits

- The Committee welcomed the policy and was assured to learn that processes are in place to ensure an appropriate HRA approach to future GIAA audits which will include the agreement of audit plans and the timely closure of recommendations.

Strategic risk register Quarter 1 2022/23

- The Committee reviewed the Strategic risk register dated July 2022 which details the strategic risks facing the HRA. The Committee noted the closure of risk HRA 2 relating to the HRA unable to deliver its business plan objectives due to inability to procure staff and resources in a timely manner. The Committee accepted this had been closed following the publication of the business plan and resourcing measures now in place.
- The Committee discussed the new risk HRA7, which relates to the potential for the HRA to be closed or merged with another ALB following the announcement of a programme of work looking at Public Body reform and efficiency. The Committee accepted this risk to the register noting the key aspect of the risk related to the possible impact on the delivery of the HRA's vision.

Russia / Ukraine conflict considerations

- The Committee reviewed a paper which considered the impact of the Russia / Ukraine conflict on the HRA. The Committee was assured to note the impact of the Russia / Ukraine conflict was limited in terms of direct impact on the HRA.

Annual Report and Accounts 2021-22

- The Committee reviewed the updated version of the Annual Report and Accounts. The Committee had reviewed a previous version out of session and, subject to a small number of minor adjustments, approved the document for sign off by the HRA's accounting officer.

Information Governance & Data Protection Annual Report 2021/22

- The Committee received and noted the report for 2021/22.