

Research Ethics Service

London - Surrey Research Ethics Committee

Annual Report

01 April 2017 - 31 March 2018



Part 1 – Committee Membership and Training

Name of REC: London - Surrey Research Ethics Committee

Type of REC: Authorised REC

Type of Flag: None

Chair: Mrs Chrissie Lawson

Vice-Chair: Dr John Morton Broughall

Alternate Vice-Chair: Mr Robin Walsh

REC Manager: Mr Rajat Khullar

REC Assistant: Rebecca Howling

Committee Address: Whitefriars

Level 3, Block B Lewins Mead

Bristol BS1 2NT

Telephone: 02071048033/53

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| Chair's overview of the past year: | |
|------------------------------------|--|
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London - Surrey Research Ethics Committee Membership

| Name | Profession | Expert or | Da | tes |
|--------------------------|---|-----------|------------|------------|
| | | Lay | Appointed | Left |
| Dr John Morton Broughall | Retired (Ex Medical | Lay | 01/08/2016 | |
| | Scientific Liaison) | | | |
| Dr Cynthia Ruth Butlin | Retired Medical | Expert | 19/09/2016 | |
| | Practitioner | | | |
| Dr Michelle Chen | Senior R&D Manager | Lay | 14/12/2017 | |
| Miss Elizabeth Cheshire | Consultant in Emergency Medicine | Expert | 01/06/2010 | 31/12/2016 |
| Dr Benedict Creagh-Brown | Consultant Physician | Expert | 08/04/2014 | |
| Dr Penny Gibson | Consultant Paediatrician | Expert | 01/03/2018 | |
| Miss Hayley-Jo Grafton | Psychology student | Lay Plus | 22/08/2016 | |
| Ms Wendy Joy | Retired Support Worker | Lay Plus | 12/01/2011 | 03/02/2017 |
| Mrs Sheila Kiss | Senior Vice President, | Lay | 01/03/2018 | |
| | Health Engagement and | | | |
| | Communication | | | |
| Mrs Chrissie Lawson | Nurse Specialist | Expert | 01/05/2006 | |
| Miss Deborah Malins | Managing Director (retired) | Lay | 19/01/2016 | |
| Mr Michael Morris | Managing Partner of Law Firm | Lay Plus | 04/10/2007 | 04/10/2017 |
| Ms Rosemary Murphy | Vice President Business Intelligence | Lay | 01/08/2016 | |
| Ms Janet Oakeshott | Retired Specialist Nurse | Lay | 01/04/2014 | 30/06/2017 |
| Mr Michael Pettit | Senior Lecturer in | Expert | 10/12/2015 | |
| | Pharmacy Practice | | | |
| Mr Richard Robinson | Retired volunteer in advice | Lay Plus | 01/08/2016 | 01/09/2016 |
| | agency and town | | | |
| | councillor | | | |
| Mr Robin Walsh | Retired Professor of | Lay Plus | 29/05/2013 | |
| | Physical Chemistry | | | |

London - Surrey Research Ethics Committee: Co-opted Members

| Name | Profession | Status | Meeting date attended |
|------------------------|-------------------------|----------|-------------------------|
| Ms Stephanie Ellis BEM | Former Civil Servant | Lay Plus | 06/06/2017, 27/06/2017, |
| | | | 05/09/2017 |
| Dr John Keen | GP | Expert | PRSC 15/08/2017, |
| | | | 19/09/2017 |
| Mr John Lynch | Non - NHS & Voluntary | Lay | PRSC 15/08/2017 |
| | Sector Activity | | |
| Dr John Sheridan | Consultant Toxicologist | Lay | PRSC 20/06/2017 |
| | and Chemist | | |
| Dr John Andrew Sutton | Medical Director | Expert | 05/09/2017 |
| | | | SC 01/12/2017 |

London - Surrey Research Ethics Committee: Members' Declarations of Interest:

| Name | Declaration of Interest | Date |
|------------------------|---|------------|
| Dr John Broughall | Volunteer for Antibiotic Research UK (ANTRUK). Charity raises money to fund research into methods of overcoming antibiotic resistance. All in vitro studies, no clinical work. | 06/02/2018 |
| Dr Cynthia Ruth Butlin | I work in a voluntary capacity as an associate hospital manager for Sussex Partnership NHS foundation trust. With regards to Shareholdings - None that I am aware of likely to be doing research in NHS | 06/03/2018 |
| Dr Penny Gibson | None | 21/03/2018 |
| Ms Hayley Jo-Grafton | Psychology Student at University of Surrey | 06/03/2018 |
| Mrs Chrissie Lawson | None | 06/02/2018 |
| Miss Deborah Malins | None | 01/02/2018 |
| Ms Rosemary Murphy | 5 per cent ownership of a CRO called CMED. | 06/02/2018 |
| Mr Mike Pettit | Senior Lecturer in Pharmacy Practice, University of Sussex Associate General Pharmaceutical Council External Examiner Robert Gordon University Consultant Pharmacist, Brighton and Sussex University Hospitals NHS Trust | 06/03/2018 |
| Mr Robin Walsh | None | 06/03/2018 |

Meetings for Full Ethical Review 01 April 2017 - 31 March 2018:

| Month | Date | Number of Members Present at Meeting |
|-----------|------------|--------------------------------------|
| April | 04/04/2017 | 7 |
| May | 02/05/2017 | 9 |
| June | 06/06/2017 | 7 |
| June | 27/06/2017 | 7 |
| July | 04/07/2017 | 10 |
| September | 05/09/2017 | 8 |
| October | 03/10/2017 | 7 |
| November | 07/11/2017 | 7 |
| December | 05/12/2017 | 8 |
| February | 06/02/2018 | 7 |
| March | 06/03/2018 | 9 |

¹¹ full committee meetings were held during the reporting period.

Proportionate Review Sub-Committee Meetings held during 01 April 2017 - 31 March 2018:

| Month | Date | Number of Members Present at Meeting |
|-----------|------------|--------------------------------------|
| April | 21/04/2017 | 3 |
| May | 16/05/2017 | 3 |
| June | 20/06/2017 | 3 |
| July | 18/07/2017 | 3 |
| August | 15/08/2017 | 3 |
| September | 19/09/2017 | 3 |
| October | 17/10/2017 | 3 |
| November | 21/11/2017 | 4 |
| December | 19/12/2017 | 3 |
| February | 20/02/2018 | 5 |
| March | 20/03/2018 | 5 |

¹¹ proportionate review sub-committee meetings were held during the reporting period.

Sub-Committee Meetings held during 01 April 2017 - 31 March 2018:

| Month | Date | Number of Members Present at Meeting |
|--------|------------|--------------------------------------|
| April | 10/04/2017 | 2 |
| April | 28/04/2017 | 2 |
| April | 30/04/2017 | 2 |
| May | 12/05/2017 | 2 |
| June | 30/06/2017 | 6 |
| July | 15/07/2017 | 2 |
| July | 21/07/2017 | 2 |
| August | 01/08/2017 | 4 |
| August | 16/08/2017 | 2 |

| September | 01/09/2017 | 4 |
|-----------|------------|---|
| September | 16/09/2017 | 2 |
| October | 01/10/2017 | 5 |
| October | 10/10/2017 | 2 |
| October | 16/10/2017 | 2 |
| November | 01/11/2017 | 4 |
| November | 16/11/2017 | 5 |
| December | 01/12/2017 | 7 |
| December | 16/12/2017 | 4 |
| January | 01/01/2018 | 4 |
| January | 15/01/2018 | 4 |
| January | 29/01/2018 | 5 |
| February | 15/02/2018 | 2 |
| March | 19/03/2018 | 4 |

23 sub-committee meetings were held during the reporting period.

Details of inquorate meeting held:01 April 2017 - 31 March 2018

| Date | Reason | Action taken |
|------------|-------------------------------------|--------------------------------------|
| 06/06/2017 | The meeting became inquorate with | Teleconference held on 27/06/2017 to |
| | only 6 members after 4 applications | ratify the decision. |
| | were reviewed as a member had to | |
| | leave. The last 2 applications were | |
| | discussed but no decision was made. | |

Attendance of Members at full committee meetings:01 April 2017 - 31 March 2018

| Name | Number of Meetings Attended |
|--------------------------|-----------------------------------|
| Dr John Morton Broughall | 11 |
| Dr Cynthia Ruth Butlin | 8 |
| Dr Benedict Creagh-Brown | 5 |
| Dr Penny Gibson | 1 |
| Miss Hayley-Jo Grafton | 8 |
| Mrs Sheila Kiss | 1 |
| Mrs Chrissie Lawson | 9 |
| Miss Deborah Malins | 10 |
| Mr Michael Morris | 4 |
| Ms Rosemary Murphy | 11 |
| Ms Janet Oakeshott | 1 |
| Mr Michael Pettit | 6 |
| Mr Robin Walsh | 7 |

Attendance of Members at proportionate review sub-committee meetings: 01 April 2017 - 31 March 2018

| Name | Number of Meetings Attended |
|--------------------------|-----------------------------------|
| Dr John Morton Broughall | 4 |
| Dr Cynthia Ruth Butlin | 5 |
| Mrs Chrissie Lawson | 11 |
| Miss Deborah Malins | 4 |
| Ms Rosemary Murphy | 6 |
| Ms Janet Oakeshott | 1 |
| Mr Robin Walsh | 3 |

Attendance of Members at sub-committee meetings: 01 April 2017 - 31 March 2018

| Name | Number of Meetings Attended |
|--------------------------|-----------------------------------|
| Dr John Morton Broughall | 5 |
| Dr Cynthia Ruth Butlin | 9 |
| Dr Benedict Creagh-Brown | 2 |
| Miss Hayley-Jo Grafton | 3 |
| Mrs Chrissie Lawson | 23 |
| Miss Deborah Malins | 10 |
| Ms Rosemary Murphy | 10 |
| Mr Michael Pettit | 2 |
| Mr Robin Walsh | 11 |

Training 01 April 2017 - 31 March 2018

| Name of Member | Date | Event(s) attended |
|--------------------------|------------|-------------------------------|
| Dr John Morton Broughall | 12/12/2017 | London - Friends House |
| Dr Cynthia Ruth Butlin | 23/01/2018 | Training - Complex Cases |
| Mrs Chrissie Lawson | 02/06/2017 | Local Training Day - Oxford A |
| Miss Deborah Malins | 12/12/2017 | National Members Training Day |
| Ms Rosemary Murphy | 12/12/2017 | National Members Training Day |
| Mr Michael Pettit | 12/12/2017 | National Members Training Day |
| Ms Hayley-Jo Grafton | 12/12/2017 | National Members Training Day |

PART 2: REC WORKLOAD AND ACTIVITY DURING THE REPORTING PERIOD

Table 1: Applications assigned to a full committee meeting held within the reporting period:

| Applications for full ethical review – Study Type | Number | % |
|---|--------|--------|
| Clinical Trial of Investigational Medicinal Product | 0 | 0.00 |
| Phase 1 | 0 | 0.00 |
| Gene Therapy | 0 | 0.00 |
| Research Tissue Bank (including renewals) | 0 | 0.00 |
| Research Database (including renewals) | 0 | 0.00 |
| Others | 54 | 100.00 |
| Total Applications Reviewed | 54 | 100 |

Table 2: Breakdown of full applications and other activity during reporting period

| Number of applications made invalid by the REC Manager | 0 |
|---|----|
| Number of applications withdrawn prior to the meeting | 2 |
| Number of student applications reviewed | 23 |
| Number of paediatric applications reviewed | 7 |
| Number of device applications reviewed | 5 |
| Number of prisoner applications reviewed | 0 |
| Number of applications involving adults unable consent reviewed | 0 |
| Number of applications reviewed that are funded by the US DHHS | 0 |
| Number of qualitative applications reviewed | 5 |

Table 3: Decisions given at meetings held within the reporting period

| Decisions taken at meetings following review of applications | Number | % |
|---|--------|-------|
| Favourable Opinion with Standard Conditions | 1 | 1.85 |
| Favourable Opinion with Additional Conditions | 2 | 3.70 |
| Unfavourable Opinion | 5 | 9.26 |
| Provisional Opinion | 45 | 83.33 |
| Provisional Opinion Pending Consultation with Referee | 1 | 1.85 |
| Total | 54 | 100 |
| Number of studies sent back to full committee meeting for final opinion | 0 | |

Table 4: Summary of current status of applications reviewed during the reporting period

| Status of applications at date of generation of report | Number | % |
|--|--------|-------|
| Further Information Favourable Opinion with Standard | 39 | 72.22 |
| Conditions | | |
| Further Information Favourable Opinion with Additional | 3 | 5.56 |
| Conditions | | |
| Further Information Unfavourable Opinion | 0 | 0.00 |
| Favourable Opinion with Standard Conditions | 2 | 3.70 |
| Favourable Opinion with Additional Conditions | 2 | 3.70 |
| Unfavourable Opinion | 5 | 9.26 |
| Provisional Opinion | 2 | 3.70 |
| Provisional Opinion Pending Consultation with Referee | 0 | 0.00 |
| Further Information response not complete | 0 | 0.00 |
| No decision entered on system | 0 | 0.00 |
| Number of studies withdrawn after the meeting | 1 | 1.85 |
| Total | 54 | 100 |

Table 5: Applications assigned to a proportionate review sub-committee within the reporting period

| Total Applications Reviewed | 25 |
|-----------------------------|----|
| | |

Table 6: Breakdown of PRS applications and other activity during reporting period:

| Number of applications made invalid by the REC Manager | 0 |
|--|----|
| Number of studies withdrawn prior to the meeting | 6 |
| Number of student applications reviewed | 13 |
| Number of paediatric applications reviewed | 5 |
| Number of device applications reviewed | 0 |
| Number of qualitative applications reviewed | 8 |

Table 7: Decisions given at proportionate review sub-committee meetings held within the reporting period

| Decisions taken at proportionate review sub- committee meetings | Number | % |
|--|--------|-------|
| Favourable Opinion with Standard Conditions | 5 | 20.00 |
| Favourable Opinion with Additional Conditions | 1 | 4.00 |
| No Opinion transfer to full committee for review | 0 | 0.00 |
| Provisional Opinion | 19 | 76.00 |
| Unfavourable Opinion | 0 | 0.00 |
| Total | 25 | 100 |

Table 8: Other Management Information based on the number of completed applications for

| Average number of applications reviewed per full meeting | 4.91 |
|---|----------|
| Number of completed applications for full ethical review | 53 |
| Number of completed applications for full ethical review over | 1 |
| 60 days | |
| Number of completed applications over 60 days as a % of | 1.85% |
| total | |
| Number of completed applications for full ethical review over | 24 |
| 40 days | |
| Number of completed applications over 40 days as a % of | 44.44% |
| total | |
| Number of days taken to final decision – average (mean) | 42 |
| | |
| Number of completed proportionate review applications for | 24 |
| ethical review | |
| Number of completed proportionate review applications for | 11 |
| ethical review over 21 days | |
| Number of completed proportionate review applications over | 45.83% |
| 21 days as a % of total | 15.55% |
| | |
| Number of SSAs (non-Phase 1) reviewed | 1 |
| Number of completed applications for SSA review over 25 | 1 |
| days | · |
| Number of completed applications for SSA review over 25 | 100.00% |
| days as % of all non- Phase 1 SSAs | 100.0070 |
| aujo do 70 or dii iion 1 maco 1 co/10 | |
| Number of SSAs (Phase 1) reviewed | 0 |
| Number of completed applications for SSA review over 14 | 0 |
| days | |
| Number of completed applications for SSA review over 14 | 0.00% |
| days as % of all Phase 1 SSAs | 0.0070 |
| | |
| Number of substantial amendments reviewed | 55 |
| Number of completed substantial amendments over 35 days | 9 |
| Number of completed substantial amendments over 35 days | 16.36% |
| as a % of total substantial amendments | 10.0070 |
| Number of completed substantial amendments over 28 days | 31 |
| Number of completed substantial amendments over 28 days | 56.36% |
| as a % of total substantial amendments | 33.3373 |
| | |
| Number of modified amendments reviewed | 2 |
| Number of completed modified amendments over 14 days | 1 |
| Number of completed modified amendments over 14 days as | 50.00% |
| a % of total modified amendments | 00.0070 |
| way or remi meanies amonamining | |
| Number of non substantial amendments received | 64 |
| Number of substantial amendments received for information | 0 |
| Number of substantial amendments received for new | 0 |
| sites/PIs | |
| Number of annual progress reports received | 46 |
| Number of safety reports received | 0 |
| Number of Serious Adverse Events received | 0 |
| NUMBER OF SCHOOLS MUYERSE EVENIS RECEIVED | U |

| Number of final reports received | 5 |
|----------------------------------|---|

Table 9.1: Breakdown of current status of all full applications reviewed within the reporting period

| Further Informati | on Favourable Opinion with Standard Conditions | |
|--------------------------|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/LO/0524 | Ablation of low voltage regions in persistent atrial fibrillation | 36 |
| 17/LO/0574 | PD_manager | 33 |
| 17/LO/0575 | TOLERANCE AND ACCEPTABILITY EVALUATION OF AYMES 'BRUSSELS' | 35 |
| 17/LO/0583 | What are parent expectations of special school transitions v1 | 62 |
| 17/LO/0596 | LIGHTMind 2: Low-Intensity Guided Help Through MINDfulness | 47 |
| 17/LO/0630 | Do personality traits modify susceptibility to amyloid load? | 38 |
| 17/LO/0762 | Influence of self-compassion on self-blame & shame in brain injury | 53 |
| 17/LO/0765 | COMMANDS-03: Exploring NAFLD knowledge in Hull and East Yorkshire | 31 |
| 17/LO/0791 | Malocclusion, peer relations and bullying in school children | 53 |
| 17/LO/0953 | Abreast of Health: Phase 2 – v1.0 | 44 |
| 17/LO/0959 | LITTLE JOURNEY TRIAL | 27 |
| 17/LO/0961 | ASAP TOO, Version AA | 46 |
| 17/LO/0964 | Prognostication of patients after subarachnoid haemorrhage | 56 |
| 17/LO/0966 | Insight and social cognition in neurodegenerative diseases | 32 |
| 17/LO/0978 | Target NET | 58 |
| 17/LO/1023 | DEPICT Version 1 | 29 |
| 17/LO/1118 | Atomoxetine effects on neurocognitive function in alcohol dependence | 56 |
| 17/LO/1475 | MIRABELLE - MRI and lymph node radiotherapy | 32 |
| 17/LO/1503 | Clients' viewpoints around chronic pain acceptance post drop out. | 45 |
| 17/LO/1507 | ABACus 3 - Awareness and Beliefs About Cancer phase 3 | 36 |
| 17/LO/1513 | Development of patient derived xenografts (PDXs) for lung cancer. | 54 |
| 17/LO/1608 | E-CHECKED | 51 |
| 17/LO/1675 | SCENE (Work Package 3) | 47 |
| 17/LO/1686 | Ventricular dysfunction in group 1 pulmonary hypertension | 49 |
| 17/LO/1689 | HIFU - Bone | 53 |
| 17/LO/1699 | D-BIICEP Study v1 | 59 |
| 17/LO/1894 | Early biomarkers for ARthritic PAIN to guide improved treatments | 40 |
| 17/LO/2011 | DHOPE-DCD Trial | 42 |
| 17/LO/2047 | The Development of Contextual Cognitive Behavioural Approach to PDN | 57 |
| 17/LO/2061 | CAPOEIRA-I | 29 |
| 17/LO/2071 | The BEACON study | 50 |
| 17/LO/2084 | Unwanted effects of corticosteroids in children with airway disease | 55 |

| 17/LO/2100 | Palliative Care Plan Project: Great North Care Record | 31 |
|------------|---|----|
| 18/LO/0137 | Evaluation of CBT for anxiety in medically unexplained symptoms (1) | 40 |
| 18/LO/0188 | The Extended Spectrum of Apical Hypertrophic Cardiomyopathy | 30 |
| 18/LO/0202 | Family recovery and psychosis | 55 |
| 18/LO/0211 | Neurosurgical transitional care programme | 39 |
| 18/LO/0394 | Pathophysiology of Congenital Bladder Disorders | 40 |
| 18/LO/0412 | PRedicting cancer Immunotherapy Side Effects | 37 |

| Further Information Favourable Opinion with Additional Conditions | | |
|---|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/LO/1130 | STRESSGAP (FEP) version 1 | 51 |
| 17/LO/1886 | What factors influence the optometric referral reply rate? - version 1 | 59 |
| 18/LO/0213 | Exploring the experience of male caregivers in dementia | 40 |

| Further Information Unfavourable Opinion | | |
|--|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Favourable Opinion with Standard Conditions | | |
|---|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/LO/1365 | Psychotropic drug prescribing for people with intellectual disability | 28 |
| 17/LO/1788 | Muscle response to exercise in cerebral palsy | 57 |

| Favourable Opinion with Additional Conditions | | |
|---|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/LO/0881 | Educational Intervention to Promote Testing for Viral Hepatitis in SA | 28 |
| 17/LO/1918 | NESIC Version 1.0 | 27 |

| Unfavourable Opinion | | |
|----------------------|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/LO/1440 | The role of physical activity and diet within Pulmonary Sarcoidosis | 27 |
| 17/LO/1449 | Closed loop control of antimicrobial dosing | 28 |

| 17/LO/1720 | IN DIALOGUES: Inner dialogues in patients with eating disorders | 28 |
|------------|---|----|
| 18/LO/0176 | ACCUMAX Sublingual acne study | 28 |
| 18/LO/0427 | Amicus - POM-003 | 27 |

| Provisional Opinion | | |
|---------------------|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/LO/0580 | Non-surgical Alternatives to Treatment of Failed Medical Abortion | n/a |
| 18/LO/0390 | TMR in the prevention of neuroma related limb pain after BKA | n/a |

| Provisional Opinion Pending Consultation with Referee | | |
|---|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Further informati | on response not complete | |
|--------------------------|--------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Withdrawn after the meeting | | |
|-----------------------------|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/LO/1107 | Real-time Monitoring of Glucose with MicroEye® & ContinuMon® | 28 |

Table 9.2: Breakdown of current status of all PRS applications reviewed within the reporting period

| Further Information Favourable Opinion with Standard Conditions | | |
|---|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/LO/0763 | Pilot study for 3D-SI in implant-based breast reconstruction | 33 |
| 17/LO/0850 | Evaluation of assay for ruling out pre-eclampsia | 40 |
| 17/LO/1044 | Inner Speech in children with DLD | 33 |
| 17/LO/1248 | Children's Communication in Hospital | 19 |
| 17/LO/1413 | Screening for uveitis in children with JIA using OCT | 21 |
| 17/LO/1610 | An interactional approach to complaints handling in HSC Trusts V1 | 27 |

| 17/LO/2027 | Hospital Experiences of Older Black Caribbean Stroke Patients | 21 |
|------------|--|----|
| 17/LO/2030 | Quality of life in patients with dysfunctional breathing (V.1.0) | 29 |
| 17/LO/2036 | 23Na MRI in CKD | 19 |
| 17/LO/2132 | Developing a dialysis vs conservative management decision aid | 21 |
| 17/LO/2134 | COS for adult-acquired APD with normal otology/audiology v6 | 22 |
| 17/LO/2136 | Optimise-HFpEF | 17 |
| 18/LO/0333 | A prospective study into the utility of qHBsAg in management of CHB v1 | 16 |
| 18/LO/0336 | Adapting START for the black minority community | 21 |
| 18/LO/0338 | PROFILE - NIS to Assess the Patterns of Use of REKOVELLE | 22 |
| 18/LO/0343 | Automatic gaze tracking in Visual Evoked Potential recording | 22 |
| 18/LO/0479 | Understanding pre-diabetes from the patient's perspective | 20 |
| 18/LO/0481 | GO SAFE | 15 |

| Further Information Favourable Opinion with Additional Conditions | | |
|---|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Further Information Unfavourable Opinion | | |
|--|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Favourable Opinion with Standard Conditions | | | | |
|---|--|-------------------------|--|--|
| REC Reference | Title | Number of Days on Clock | | |
| 17/LO/1256 | SOPHOS | 10 | | |
| 17/LO/1602 | Socioeconomic inequity in living-donor kidney transplantation | 29 | | |
| 17/LO/1618 | Evaluation of uptake of BRCA testing and use of health care services | 27 | | |
| 17/LO/1801 | Somatic Mutations in normal and abnormal human cells (Utrecht) | 21 | | |
| 18/LO/0592 | Analysis of NHS LA data pertaining to surgical deaths | 12 | | |

| Favourable Opinion with Additional Conditions | | | |
|---|--|-------------------------|--|
| REC Reference | Title | Number of Days on Clock | |
| 17/LO/1599 | Implementation of care bundles for preventing surgical site infections | 25 | |

| Unfavourable Opi | nion | |
|-------------------------|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Provisional Opini | on <u> </u> | |
|--------------------------|-------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Further informati | on response not complete | |
|--------------------------|--------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Withdrawn after the meeting | | | | |
|-----------------------------|----------------------------------|-------------------------|--|--|
| REC Reference | Title | Number of Days on Clock | | |
| 17/LO/0835 | Managing the Expectations Gap v1 | 25 | | |

Table 10.1: Breakdown of current status of all substantial amendments reviewed within the reporting period

| Favourable opinion | | | | |
|-------------------------|--|--------------------------------------|------------|-------------------------|
| Amendment REC Reference | Title | Version | Date | Number of Days on Clock |
| 07/H1109/181/AM04 | Bacterial biosensors in chemotherapy pre-screening | 280417 | 28/04/2017 | 7 |
| 09/H0701/49/AM18 | Post-marketing, Observational Registry of Humira in Psoriasis Patients | 12 | 09/02/2017 | 35 |
| 11/LO/1646/AM02 | Quantifying exercise intensity and capacity in ICU patients | SA02 | 12/10/2017 | 29 |
| 11/LO/1801/AM07 | Brain TSPO Function | 4 | 20/11/2017 | 35 |
| 12/LO/0130/AM05 | Surplus tissue from patients undergoing eye surgery | 4 | 25/05/2017 | 11 |
| 12/LO/1301/AM05 | HAPpy Trial | 2 | 21/04/2017 | 39 |
| 13/LO/0058/AM04 | Improving therapy and outcomes in venous diseases | 4 | 02/06/2017 | 35 |
| 13/LO/0314/AM07 | Predictors of progression from MCI to AD | 2 | 06/06/2017 | 52 |
| 13/LO/1349/AM13 | eGFR-C | SA7 | 02/02/2017 | 80 |
| 14/LO/0429/AM11 | Can genomics predict dysphagia after head and neck radiotherapy? - v1 | 2 | 16/10/2017 | 35 |
| 15/LO/0184/AM03 | Dietary resistant starch from peas for healthy glucose homeostasis | Substantial Amendment 3 - 23/0 | 23/05/2017 | 36 |
| 15/LO/0610/AM02 | The Clarity-Pro Study | SA01 | 01/08/2017 | 34 |
| 15/LO/0624/AM04 | In vivo Tau pathology in PSP and CBD | 3 | 19/07/2017 | 35 |
| 15/LO/0989/AM01 | Targeted Nutritional Interventions to Prevent Diabetes | V2 | 07/08/2017 | 8 |
| 15/LO/1178/AM02 | The QuaRTUS Study | 1 | 20/03/2017 | 5 |
| 15/LO/1178/AM03 | The QuaRTUS Study | August 2017 | 15/09/2017 | 28 |
| 15/LO/1437/AM02 | Paternal Weight, Sperm Epigenomics and Fetal Growth | 2 | 03/04/2017 | 35 |
| 15/LO/1968/AM03 | Cardiac Troponin I monitoring pilot study | 4 | 11/04/2017 | 48 |
| 15/LO/2051/AM05 | The PRIME Study: Positive tRansItions through the MEnopause | 1.4 | 22/03/2017 | 31 |
| 15/LO/2082/AM05 | The REACT (REtirement in ACTion) study - V1 | 1.5 | 26/07/2017 | 7 |
| 15/LO/2082/AM06 | The REACT (REtirement in ACTion) study - V1 | Version 1.6 06/12/17 | 09/01/2018 | 6 |
| 16/LO/0591/AM01 | Establishment of MRI library to optimise treatment workflows for MRL | Substantial Amendment 1 28.02. | 28/02/2017 | 56 |
| 16/LO/1065/AM02 | Assessment of Amyloidosis Patient Pathways | Substantial | 10/10/2017 | 29 |

| | | Amendment 2 | | |
|-----------------|--|--------------------------------------|------------|----|
| 16/LO/1104/AM01 | Self-care and quality of life in Heart Failure (HF) | 1 | 27/02/2017 | 34 |
| 16/LO/1771/AM01 | Relapse Prevention (RP) Trial | 1 | 06/07/2017 | 12 |
| 16/LO/1802/AM01 | Technology Integrated Health Management (TIHM) | 1 | 08/04/2017 | 33 |
| 16/LO/1802/AM02 | Technology Integrated Health Management (TIHM) | 2 | 11/05/2017 | 3 |
| 16/LO/1802/AM07 | Technology Integrated Health Management (TIHM) | 3 | 30/11/2017 | 32 |
| 16/LO/1827/AM09 | Perioperative Quality Improvement Programme: Patient Study | 3.0 | 28/03/2017 | 5 |
| 16/LO/1827/AM13 | Perioperative Quality Improvement Programme: Patient Study | 4.0 | 20/10/2017 | 35 |
| 16/LO/1831/AM01 | Vitamin D and shoulder arthroscopy | 1 | 07/09/2017 | 20 |
| 16/LO/1949/AM02 | AFAE | 1 | 20/03/2017 | 16 |
| 16/LO/1973/AM01 | CEB-VECTRA ECG | 1 | 25/04/2017 | 7 |
| 16/LO/2012/AM02 | Feasibility of a RCT of the ACE programme | Substantial Amendment 1: 21/06 | 21/06/2017 | 59 |
| 16/LO/2177/AM01 | Plantar pressure as a risk factor for foot ulceration in diabetes - V1 | 5.4.2 | 16/05/2017 | 47 |
| 17/LO/0074/AM01 | Foot ulcer treatment with the PulseFlowDF | 1 | 22/09/2017 | 4 |
| 17/LO/0074/AM02 | Foot ulcer treatment with the PulseFlowDF | 2.0 | 11/12/2017 | 20 |
| 17/LO/0283/AM01 | EurValve Prospective | 5.4.2 | 02/06/2017 | 30 |
| 17/LO/0469/AM01 | Burden of PAH-deficiency in adults | 1 | 29/09/2017 | 27 |
| 17/LO/0524/AM01 | Ablation of low voltage regions in persistent atrial fibrillation | V003 | 10/07/2017 | 4 |
| 17/LO/0524/AM02 | Ablation of low voltage regions in persistent atrial fibrillation | 2ns Amendment | 28/07/2017 | 13 |
| 17/LO/0596/AM02 | LIGHTMind 2: Low-Intensity Guided Help Through MINDfulness | 02 | 17/01/2018 | 28 |
| 17/LO/0762/AM01 | Influence of self-compassion on self-blame & shame in brain injury | 1 | 24/08/2017 | 9 |
| 17/LO/0763/AM01 | Pilot study for 3D-SI in implant-based breast reconstruction | 1 | 13/10/2017 | 22 |
| 17/LO/0953/AM01 | Abreast of Health: Phase 2 – v1.0 | 1 | 24/11/2017 | 12 |
| 17/LO/0961/AM01 | ASAP TOO, Version AA | SA01 | 22/11/2017 | 28 |
| 17/LO/1044/AM01 | Inner Speech in children with DLD | 1 | 15/09/2017 | 33 |
| 17/LO/1130/AM01 | STRESSGAP (FEP) version 1 | 1 | 16/11/2017 | 39 |
| 17/LO/1130/AM02 | STRESSGAP (FEP) version 1 | 2 | 07/02/2018 | 29 |
| 17/LO/1413/AM01 | Screening for uveitis in children with JIA using OCT | 1 | 10/10/2017 | 33 |
| 17/LO/1507/AM01 | ABACus 3 - Awareness and Beliefs About Cancer phase 3 | 1.0 | 15/11/2017 | 33 |
| 17/LO/1918/AM01 | NESIC Version 1.0 | 1 | 02/01/2018 | 22 |
| 17/LO/1918/AM02 | NESIC Version 1.0 | 2, 6th Feb 2018 | 06/02/2018 | 34 |

| Unfavourable opinion | | | | | |
|----------------------|---|---------|------------|-------------------|--|
| Amendment REC | Title | Version | Date | Number of Days on | |
| Reference | | | | Clock | |
| 15/LO/0796/AM04 | Neuroimaging predictors of stroke recovery | 4 | 13/10/2017 | 35 | |
| 17/LO/0881/AM01 | Educational Intervention to Promote Testing for Viral Hepatitis | 1 | 20/07/2017 | 35 | |
| | in SA | | | | |

Table 10.2: Breakdown of current status of all modified amendments reviewed within the reporting period

| Favourable opinion timeline | | | | |
|-----------------------------|---|-------------|------------|-------------------|
| Amendment REC | Title | Version | Date | Number of Days on |
| Reference | | | | Clock |
| 15/LO/0796/AM04/1 | Neuroimaging predictors of stroke recovery | 2 | 28/09/2017 | 6 |
| 17/LO/0881/AM01/1 | Educational Intervention to Promote Testing for Viral Hepatitis in SA | Amendment 2 | 24/11/2017 | 17 |

| Unfavourable opinion | n timeline | | | |
|----------------------|------------|---------|------|-------------------|
| Amendment REC | Title | Version | Date | Number of Days on |
| Reference | | | | Clock |

Table 11: Items exceeding timelines

| Full applications for ethical review over 60 day timeline | | | | |
|---|---|-------------------------|--|--|
| REC Reference | Title | Number of Days on Clock | | |
| 17/LO/0583 | What are parent expectations of special school transitions v1 | 62 | | |

| Proportionate review applications for ethical review over 21 day timeline | | | |
|---|--|-------------------------|--|
| REC Reference | Title | Number of Days on Clock | |
| 17/LO/0763 | Pilot study for 3D-SI in implant-based breast reconstruction | 33 | |
| 17/LO/0850 | Evaluation of assay for ruling out pre-eclampsia | 40 | |
| 17/LO/1044 | Inner Speech in children with DLD | 33 | |
| 17/LO/1599 | Implementation of care bundles for preventing surgical site infections | 25 | |
| 17/LO/1602 | Socioeconomic inequity in living-donor kidney transplantation | 29 | |
| 17/LO/1610 | An interactional approach to complaints handling in HSC Trusts V1 | 27 | |
| 17/LO/1618 | Evaluation of uptake of BRCA testing and use of health care services | 27 | |
| 17/LO/2030 | Quality of life in patients with dysfunctional breathing (V.1.0) | 29 | |
| 17/LO/2134 | COS for adult-acquired APD with normal otology/audiology v6 | 22 | |
| 18/LO/0338 | PROFILE - NIS to Assess the Patterns of Use of REKOVELLE | 22 | |
| 18/LO/0343 | Automatic gaze tracking in Visual Evoked Potential recording | 22 | |

| SSAs (non Phase 1) over 25 day timeline | | | | |
|---|--------------------------------|-------------------------|--|--|
| REC Reference | Title | Number of Days on Clock | | |
| 17/LO/1165 | The role of plantar pressure a | 60 | | |

| SSAs (Phase 1) or | ver 14 day timeline | |
|----------------------|---------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Substantial Amendments over 35 day timeline | | | | | |
|---|--|---------|------------|-------------------------|--|
| Amendment REC Reference | Title | Version | Date | Number of Days on Clock | |
| 12/LO/1301/AM05 | HAPpy Trial | 2 | 21/04/2017 | 39 | |
| 13/LO/0314/AM07 | Predictors of progression from MCI to AD | 2 | 06/06/2017 | 52 | |

| 13/LO/1349/AM13 | eGFR-C | SA7 | 02/02/2017 | 80 |
|-----------------|--|--------------------------------------|------------|----|
| 15/LO/0184/AM03 | Dietary resistant starch from peas for healthy glucose homeostasis | Substantial Amendment 3 - 23/0 | 23/05/2017 | 36 |
| 15/LO/1968/AM03 | Cardiac Troponin I monitoring pilot study | 4 | 11/04/2017 | 48 |
| 16/LO/0591/AM01 | Establishment of MRI library to optimise treatment workflows for MRL | Substantial Amendment 1 28.02. | 28/02/2017 | 56 |
| 16/LO/2012/AM02 | Feasibility of a RCT of the ACE programme | Substantial Amendment 1: 21/06 | 21/06/2017 | 59 |
| 16/LO/2177/AM01 | Plantar pressure as a risk factor for foot ulceration in diabetes - V1 | 5.4.2 | 16/05/2017 | 47 |
| 17/LO/1130/AM01 | STRESSGAP (FEP) version 1 | 1 | 16/11/2017 | 39 |

| Modified Amendments over 14 day timeline | | | | |
|--|---|-------------|------------|-------------------------|
| Amendment REC Reference | Title | Version | Date | Number of Days on Clock |
| 17/LO/0881/AM01/1 | Educational Intervention to Promote Testing for Viral Hepatitis in SA | Amendment 2 | 24/11/2017 | 17 |