

Research Ethics Service

East of England - Cambridge East Research Ethics Committee

Annual Report

01 April 2017 - 31 March 2018



Part 1 – Committee Membership and Training

| Name of REC: | East of England - Cambridge East Research Ethics Committee |
|-----------------------|---|
| Type of REC: | RECs recognised to review CTIMPS in healthy volunteers - type i RECs recognised to review CTIMPS in patients - type iii |
| Type of Flag: | Establishing Research Databases Establishing Research Tissue Banks Medical Device Study Phase 1 Studies in Healthy Volunteers Phase 1 Studies in Patients |
| Chair: | Dr Alan Lamont |
| Vice-Chair: | Vacant Dr Derek Prater (from April 2017 for 6 months) |
| Alternate Vice-Chair: | Mrs Ann Colvill (from October 2017) |
| REC Manager: | Ms Rachel Nelson (to May 2017) Mr Tad Jones (from July 2017) |
| REC Assistant: | Mr Adam Garretty |
| Committee Address: | The Old Chapel Royal Standard Place Nottingham NG1 6FS |
| Telephone: | 02071048105 |
| Email: | NRESCommittee.EastofEngland-CambridgeEast@nhs.net |

Chair's overview of the past year:

As Chair of Cambridge East Research Ethics Committee I have been very pleased to welcome three new members over the past year, allowing resumption of Proportionate Review which had been temporarily suspended during the year to reduce workload. During that period, though the burden on members was higher than usual during the period of reduced complement, we have been successfully reaccredited, and have had some very positive feedback from researchers.

We appointed Ann Colvill as AVC, and look forward to Victoria Hollamby taking up the role of VC shortly.

The work of the REC continues to be interesting, stimulating and challenging, and we are particularly grateful to our Manager Tad Jones and his assistant Adam Garretty for their high quality support.

East of England - Cambridge East Research Ethics Committee Membership

| Name | Profession | Expert or | Date | es |
|-----------------------|---|-----------|------------|------|
| | | Lay | Appointed | Left |
| Dr Philip Bedford | Retired Study Responsible Scientist | Lay | 02/02/2018 | |
| Dr Helen Burns | Retired GP | Expert | 05/12/2014 | |
| Dr Alan Calverd | Scientific Consultant | Expert | 01/03/2015 | |
| Mrs Ann Colvill | Employment Tribunal Service | Lay | 07/10/2015 | |
| Mrs Victoria Hollamby | Assistant Research Governance Advisor | Lay Plus | 01/04/2017 | |
| Dr Alan Lamont | Retired Consultant Oncologist | Expert | 03/01/2017 | |
| Mr Trevor McCann | Retired Strategic Development Consultant | Lay | 01/04/2017 | |
| Miss Sophie Newton | Hearing Implant Research Nurse | Expert | 01/02/2018 | |
| Dr Derek Prater | Pharmacist | Expert | 05/05/2015 | |
| Dr Wendi Qian | Statistician | Expert | 08/05/2014 | |
| Dr Jessica Santos | Global Compliance & Quality Director | Lay Plus | 02/04/2014 | |
| Dr Joyce Whittington | Psychologist | Expert | 02/12/2014 | |
| Miss Suzahn Wilson | Physiotherapist | Expert | 10/02/2016 | |

East of England - Cambridge East Research Ethics Committee: Co-opted Members

| Name | Profession | Status | Meeting date attended |
|---------------------|-------------------------|----------|-----------------------|
| Dr Niki Bannister | Retired Hospital Doctor | Expert | 12/12/2017 |
| Mr John Kirkpatrick | Statistician | Expert | 16/01/2018 |
| Ms Julie Lockhart | PPI Representative | Lay Plus | 16/01/2018 |

East of England - Cambridge East Research Ethics Committee: Members' Declarations of Interest:

| Name | Declaration of Interest | Date |
|-----------------------|--|------------|
| Dr Philip Bedford | None declared | 07/02/2018 |
| Dr Alan Calverd | Director, Radiation Consultancy Services Ltd; Director, Medical Physics Co-operative Ltd; Shareholder, Aimberry Ltd (MRI facilities- | 04/01/2018 |
| | previously United Open MRI Ltd); Shareholder, Exhalation Technologies Ltd | |
| Mrs Ann Colvill | None declared | 16/02/2018 |
| Mrs Victoria Hollamby | Employed by Research Governance Office, School of Clinical Medicine, University of Cambridge | 05/01/2018 |
| Dr Alan Lamont | None declared | 20/02/2018 |
| Mr Trevor McCann | Shares in GSK. GSK company pension | 20/02/2018 |
| Miss Sophie Newton | Responsible for ethics and research framework within ENT department of Addenbrookes. Current investigator on ENT research studies. Also advise colleagues as part of role as NIHR GCP facilitator and PI masterclass facilitator. | 19/02/2018 |
| Dr Derek Prater | Previously employed by Mundipharma Research Ltd until April 2014.Previously held a Consultancy Agreement with Mundipharma Research Ltd, without any committed hours or obligations of availability or exclusivity until 6th March 2015 | 04/01/2018 |
| Dr Wendi Qian | Statistician at Cambridge Clinical trials unit. Member of NIHR PFPB committee | 20/02/2018 |
| Dr Jessica Santos | Global Compliance and Quality Director, Kantar Health; Co-chair of ISPOR Ethics Committee; Shareholding, GSK. | 04/01/2018 |
| Dr Joyce Whittington | Shares in Astra-Zeneca & GSK | 16/02/2018 |

Meetings for Full Ethical Review 01 April 2017 - 31 March 2018:

| Month | Date | Number of Members Present at Meeting |
|-----------|------------|---|
| Мау | 16/05/2017 | 10 |
| July | 18/07/2017 | 9 |
| September | 19/09/2017 | 8 |
| October | 17/10/2017 | 9 |
| November | 21/11/2017 | 9 |
| December | 12/12/2017 | 8 |
| January | 16/01/2018 | 8 |
| February | 20/02/2018 | 12 |
| March | 20/03/2018 | 9 |

9 full committee meetings were held during the reporting period.

Proportionate Review Sub-Committee Meetings held during 01 April 2017 - 31 March 2018:

| Month | Date | Number of Members Present at Meeting |
|----------|------------|---|
| January | 05/01/2018 | 3 |
| February | 05/02/2018 | 3 |

2 proportionate review sub-committee meetings were held during the reporting period.

Sub-Committee Meetings held during 01 April 2017 - 31 March 2018:

| Month | Date | Number of Members Present at Meeting |
|-----------|------------|---|
| April | 04/04/2017 | 2 |
| April | 24/04/2017 | 2 |
| Мау | 02/05/2017 | 2 |
| Мау | 30/05/2017 | 2 |
| June | 07/06/2017 | 2 |
| June | 13/06/2017 | 2 |
| June | 29/06/2017 | 2 |
| July | 11/07/2017 | 2 |
| July | 25/07/2017 | 2 |
| August | 08/08/2017 | 2 |
| September | 05/09/2017 | 2 |
| September | 26/09/2017 | 2 |
| October | 17/10/2017 | 2 |
| October | 31/10/2017 | 2 |
| November | 28/11/2017 | 2 |
| December | 19/12/2017 | 2 |
| January | 09/01/2018 | 2 |
| January | 23/01/2018 | 2 |
| February | 06/02/2018 | 2 |
| March | 06/03/2018 | 2 |

| March | 21/03/2018 | 2 |
|-------|------------|---|

21 sub-committee meetings were held during the reporting period.

Details of inquorate meeting held: 01 April 2017 - 31 March 2018

None

Attendance of Members at full committee meetings: 01 April 2017 - 31 March 2018

| Name | Number of Meetings Attended |
|-----------------------|-----------------------------------|
| Dr Philip Bedford | 2 |
| Dr Helen Burns | 6 |
| Dr Alan Calverd | 7 |
| Mrs Ann Colvill | 7 |
| Mrs Victoria Hollamby | 8 |
| Dr Alan Lamont | 9 |
| Mr Trevor McCann | 7 |
| Miss Sophie Newton | 2 |
| Dr Derek Prater | 9 |
| Dr Wendi Qian | 5 |
| Dr Jessica Santos | 7 |
| Dr Joyce Whittington | 8 |
| Miss Suzahn Wilson | 2 |

Attendance of Members at proportionate review sub-committee meetings: 01 April 2017 - 31 March 2018

| Name | Number of Meetings Attended |
|-----------------------|-----------------------------------|
| Mrs Victoria Hollamby | 1 |
| Dr Alan Lamont | 2 |
| Dr Wendi Qian | 1 |
| Dr Jessica Santos | 1 |
| Dr Joyce Whittington | 1 |

Attendance of Members at sub-committee meetings: 01 April 2017 - 31 March 2018

| Name | Number of Meetings Attended |
|-----------------------|-----------------------------------|
| Dr Helen Burns | 4 |
| Dr Alan Calverd | 2 |
| Mrs Ann Colvill | 2 |
| Mrs Victoria Hollamby | 1 |
| Dr Alan Lamont | 21 |
| Dr Derek Prater | 2 |
| Dr Wendi Qian | 2 |
| Dr Jessica Santos | 4 |
| Dr Joyce Whittington | 3 |
| Miss Suzahn Wilson | 1 |

Training 01 April 2017 - 31 March 2018

| Dr Philip Bedford 11/02/2018 Induction for new Research Ethics Service Committee members Dr Philip Bedford 19/02/2018 Equality Diversity & Human Rights Dr Philip Bedford 26/02/2018 Reviewing the Research Design of Clinical Trials Dr Philip Bedford 05/03/2018 Research Involving Human Tissue Dr Philip Bedford 05/03/2018 Ionising radiation Dr Helen Bums 29/09/2017 Members Regional Training Day Dr Alan Calverd 12/07/2017 Dr Alan Calverd 18/07/2017 Comments on Room temperature effects Dr Alan Calverd 02/09/2017 Regional Training day MRI Dr Alan Calverd 02/09/2017 Regional Training day MRI Mrs Ann Colvill 04/05/2017 Buddy / Mentor Mrs Ann Colvill 01/06/2017 Prison Training Mrs Ann Colvill 01/06/2017 Prison Training Dr Alan Lamont 22/01/2018 Informed consent in paediatric research Dr Alan Lamont 22/01/2018 Informed consent with ALC Dr Alan Lamont 22/01/2018 Informed consent with ALC Dr Alan Lamont 22/01/2018 GCP (pr | Name of Member | Date | Event(s) attended | |
|--|--|------------|---------------------------|--|
| Ethics Service Committee members Dr Philip Bedford 19/02/2018 Equality Diversity & Human Rights Dr Philip Bedford 26/02/2018 Reviewing the Research Design of Clinical Trials Dr Philip Bedford 05/03/2018 Research Involving Human Tissue Dr Philip Bedford 05/03/2018 Ionising radiation Dr Helen Bums 29/09/2017 Members Regional Training Day Dr Alan Calverd 12/07/2017 Dr Alan Calverd 18/07/2017 Comments on Fast Field Cycling MRI MRI Dr Alan Calverd 02/09/2017 Comments on Fast Field Cycling MRI MRI Dr Alan Calverd 02/09/2017 Buddy Mentor Mrs Ann Colvill Mrs Ann Colvill 04/05/2017 Devices and in vitro diagnostic medical device (IVD) workshop Mrs Ann Colvill 01/06/2017 Prison Training Dr Alan Lamont 01/06/2017 Prison Training Dr Alan Lamont 01/06/2017 Prison Training Day Dr Alan Lamont 01/06/2017 Prison Training Day Dr Alan Lamont 22/01/2018 Informed consent in paediatric research Dr Alan Lamont 22/01/2018 | Dr Philip Bedford | 11/02/2018 | | |
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| Dr Derek Prater 14/07/2017 Research involving participants | Dr Derek Prater | 01/06/2017 | | |
| U I I | | | | |
| | | | lacking mental capacity | |

| Dr Derek Prater | 14/07/2017 | Medical Devices |
|----------------------|------------|---------------------------------|
| Dr Derek Prater | 01/08/2017 | HTA |
| Dr Derek Prater | 29/09/2017 | Members Regional Training Day |
| Dr Derek Prater | 16/10/2017 | Research involving exposure to |
| | | ionising radiation |
| Dr Derek Prater | 30/11/2017 | Genetic and Genomic Research |
| Dr Derek Prater | 23/12/2017 | Confidentiality and Information |
| | | Governance Considerations in |
| | | Research |
| Dr Wendi Qian | 07/05/2017 | International Clinical Trials |
| | | Methodology Conference |
| Dr Wendi Qian | 29/09/2017 | Members Regional Training Day |
| Dr Jessica Santos | 01/06/2017 | Prison Training |
| Dr Jessica Santos | 12/09/2017 | Genetic and Genomic Research |
| Dr Jessica Santos | 12/12/2017 | National members training day |
| Dr Jessica Santos | 28/02/2018 | Ethical Issues in Phase One |
| | | Research |
| Dr Joyce Whittington | 05/10/2017 | Phase 1 Research |
| Dr Joyce Whittington | 18/01/2018 | Quantitative Research Methods |
| | | and Statistics: A Health |
| | | Research Authority Workshop |
| Dr Joyce Whittington | 23/01/2018 | Complex cases |
| Miss Suzahn Wilson | 25/07/2017 | Training - Committee Members |
| | | Induction |

PART 2: REC WORKLOAD AND ACTIVITY DURING THE REPORTING PERIOD

Table 1: Applications assigned to a full committee meeting held within the reporting period:

| Applications for full ethical review – Study Type | Number | % |
|---|--------|-------|
| Clinical Trial of Investigational Medicinal Product | 10 | 23.81 |
| Phase 1 | 3 | 7.14 |
| Gene Therapy | 0 | 0.00 |
| Research Tissue Bank (including renewals) | 3 | 7.14 |
| Research Database (including renewals) | 2 | 4.76 |
| Others | 24 | 57.14 |
| Total Applications Reviewed | 42 | 100 |

Table 2: Breakdown of full applications and other activity during reporting period

| Number of applications made invalid by the REC Manager | 2 |
|---|---|
| Number of applications withdrawn prior to the meeting | 0 |
| Number of student applications reviewed | 4 |
| Number of paediatric applications reviewed | 6 |
| Number of device applications reviewed | 5 |
| Number of prisoner applications reviewed | 0 |
| Number of applications involving adults unable consent reviewed | 0 |
| Number of applications reviewed that are funded by the US DHHS | 0 |
| Number of qualitative applications reviewed | 1 |

Table 3: Decisions given at meetings held within the reporting period

| Decisions taken at meetings following review of applications | Number | % |
|---|--------|-------|
| Favourable Opinion with Standard Conditions | 0 | 0.00 |
| Favourable Opinion with Additional Conditions | 5 | 11.90 |
| Unfavourable Opinion | 0 | 0.00 |
| Provisional Opinion | 37 | 88.10 |
| Provisional Opinion Pending Consultation with Referee | 0 | 0.00 |
| Total | 42 | 100 |
| Number of studies sent back to full committee meeting for final opinion | 0 | |

Table 4: Summary of current status of applications reviewed during the reporting period

| Status of applications at date of generation of report | Number | % |
|--|--------|-------|
| Further Information Favourable Opinion with Standard | 33 | 78.57 |
| Conditions | | |
| Further Information Favourable Opinion with Additional | 1 | 2.38 |
| Conditions | | |
| Further Information Unfavourable Opinion | 0 | 0.00 |
| Favourable Opinion with Standard Conditions | 0 | 0.00 |
| Favourable Opinion with Additional Conditions | 5 | 11.90 |
| Unfavourable Opinion | 0 | 0.00 |
| Provisional Opinion | 1 | 2.38 |
| Provisional Opinion Pending Consultation with Referee | 0 | 0.00 |
| Further Information response not complete | 2 | 4.76 |
| No decision entered on system | 0 | 0.00 |
| Number of studies withdrawn after the meeting | 0 | 0.00 |
| Total | 42 | 100 |

Table 5: Applications assigned to a proportionate review sub-committee within the reporting period

| Total Applications Reviewed | 5 |
|-----------------------------|---|

Table 6: Breakdown of PRS applications and other activity during reporting period:

| Number of applications made invalid by the REC Manager | 1 |
|--|---|
| Number of studies withdrawn prior to the meeting | 0 |
| Number of student applications reviewed | 2 |
| Number of paediatric applications reviewed | 0 |
| Number of device applications reviewed | 0 |
| Number of qualitative applications reviewed | 2 |

Table 7: Decisions given at proportionate review sub-committee meetings held within the reporting period

| Decisions taken at proportionate review sub- committee meetings | Number | % |
|--|--------|--------|
| Favourable Opinion with Standard Conditions | 0 | 0.00 |
| Favourable Opinion with Additional Conditions | 0 | 0.00 |
| No Opinion transfer to full committee for review | 0 | 0.00 |
| Provisional Opinion | 5 | 100.00 |
| Unfavourable Opinion | 0 | 0.00 |
| Total | 5 | 100 |

Table 8: Other Management Information based on the number of completed applications for the reporting period:

| Average number of employed new full meeting | 4.67 |
|--|---------|
| Average number of applications reviewed per full meeting | |
| Number of completed applications for full ethical review | 42 |
| Number of completed applications for full ethical review over 60 days | 0 |
| Number of completed applications over 60 days as a % of | 0.00% |
| total | 0.00 /8 |
| Number of days taken to final decision – average (mean) | 27 |
| | |
| Number of completed proportionate review applications for ethical review | 5 |
| Number of completed proportionate review applications for | 3 |
| ethical review over 21 days | |
| Number of completed proportionate review applications over | 60.00% |
| 21 days as a % of total | |
| | |
| Number of SSAs (non-Phase 1) reviewed | 28 |
| Number of completed applications for SSA review over 25 | 1 |
| days | |
| Number of completed applications for SSA review over 25 | 3.57% |
| days as % of all non- Phase 1 SSAs | |
| | |
| Number of SSAs (Phase 1) reviewed | 2 |
| Number of completed applications for SSA review over 14 | 0 |
| days | |
| Number of completed applications for SSA review over 14 | 0.00% |
| days as % of all Phase 1 SSAs | |
| | |
| Number of substantial amendments reviewed | 118 |
| Number of completed substantial amendments over 35 days | 2 |
| Number of completed substantial amendments over 35 days | 1.69% |
| as a % of total substantial amendments | |
| | |
| Number of modified amendments reviewed | 7 |
| Number of completed modified amendments over 14 days | 1 |
| Number of completed modified amendments over 14 days as | 14.29% |
| a % of total modified amendments | |

| Number of non substantial amendments received | 84 |
|---|----|
| Number of substantial amendments received for information | 1 |
| Number of substantial amendments received for new | 29 |
| sites/PIs | |
| Number of annual progress reports received | 71 |
| Number of safety reports received | 28 |
| Number of Serious Adverse Events received | 0 |
| Number of final reports received | 14 |

| Further Informati | on Favourable Opinion with Standard Conditions | |
|--------------------------|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/EE/0171 | Safety and Efficacy Study in Infants With SBS | 24 |
| 17/EE/0178 | Genetics of Primary IGF-1 deficiency (PIGFD) | 9 |
| 17/EE/0212 | HE4 in primary care. | 20 |
| 17/EE/0213 | Image guided radiotherapy and adaptive brachytherapy for cervix cancer | 40 |
| 17/EE/0278 | Tomato study | 37 |
| 17/EE/0297 | MO39129: Prophylactic Emicizumab in Haemophilia A patients | 8 |
| 17/EE/0300 | Discovering FFC-MRI biomarkers of Alzheimer's disease | 34 |
| 17/EE/0302 | International 'Care Of the Dying Evaluation' | 24 |
| 17/EE/0304 | Environmental and metabolic determinants of binge-eating behaviour | 28 |
| 17/EE/0364 | West Midlands Combined Authority Health-led Trial | 33 |
| 17/EE/0371 | Sheffield City Region Health-led Trial | 33 |
| 17/EE/0377 | SSCART | 33 |
| 17/EE/0385 | Nitrite and Coronary Flow Study | 25 |
| 17/EE/0394 | COOL-AMI EU PIVOTAL TRIAL | 27 |
| 17/EE/0410 | The DDN Study | 33 |
| 17/EE/0422 | M16-098 - Upadacitinib in patients with Ankylosing Spondylitis. | 21 |
| 17/EE/0429 | Evaluation of Lumicitabine in young children hospitalised with RSV | 27 |
| 17/EE/0454 | Individual Placement and Support - Alcohol and Drugs Trial (IPS-AD) | 35 |
| 17/EE/0472 | BeAT-HF | 46 |
| 17/EE/0474 | AdAPT: Adenovirus after Allogeneic Paediatric Transplantation | 22 |
| 17/EE/0484 | 5-HT imaging in depression: Version 1.0 | 26 |
| 17/EE/0486 | GCSF for Friedreich Ataxia | 30 |
| 17/EE/0497 | EMA401 in reducing 24-hour average pain in PDN (EMPADINE) | 33 |
| 17/EE/0503 | TAU in OCD | 31 |
| 17/EE/0505 | INCLUDED: Improving NHS Care by Understanding and Embracing Diversity | 27 |
| 18/EE/0007 | PK and food effect study of new formulations of tacrolimus (QCL118062) | 23 |
| 18/EE/0013 | PK study of BOS172767 formulations in healthy subjects (QCL118174) | 21 |
| 18/EE/0025 | KHP Cancer Biobank | 22 |
| 18/EE/0039 | Imaging leukocyte accumulation in cancers | 24 |
| 18/EE/0041 | PAN Cancer Early Detection | 29 |
| 18/EE/0045 | Neutrophils in idiopathic pulmonary fibrosis | 37 |
| 18/EE/0050 | CONFIRM CONFIRMation of pathological complete response pre-operatively | 27 |

| 18/EE/0094 Generation of patient-specific stem cells for research into stroke | 37 |
|---|----|
|---|----|

| Further Information Favourable Opinion with Additional Conditions | | |
|---|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 18/EE/0001 | I-CaPP Programme- Pilot Study-Exploring communication of disease risk | 22 |

| Further Information | on Unfavourable Opinion | |
|----------------------------|-------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Favourable Opinio | on with Standard Conditions | |
|--------------------------|-----------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Favourable Opinion with Additional Conditions | | |
|---|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/EE/0354 | Obesity Research Biobank Syndicate (ORBiS) - Version 1 | 21 |
| 17/EE/0369 | HEAT | 21 |
| 17/EE/0461 | Use of Human Blood Samples in Leukocyte Functional Assays | 21 |
| 18/EE/0024 | Discover TLD | 18 |
| 18/EE/0093 | Urological Symptoms and Pathways to Diagnosis (USP) | 22 |

| Unfavourable Op | nion | |
|------------------------|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Provisional Opinion | | |
|----------------------|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 17/EE/0468 | Long term study of Lumicitabine in young children after RSV infection | n/a |

| Provisional Opini | on Pending Consultation with Referee | |
|--------------------------|--------------------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

Г

| Further information response not complete | | |
|---|---|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 18/EE/0078 | The role of immune cell subsets in cardiovascular disease | n/a |
| 18/EE/0091 | MICROBIOME | n/a |

| Withdrawn after th | ne meeting | |
|----------------------|------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

Table 9.2: Breakdown of current status of all PRS applications reviewed within the reporting period

| Further Information Favourable Opinion with Standard Conditions | | |
|---|--|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 18/EE/0010 | Novel segmentation technique of T1 mapping in Pulmonary Hypertension | 29 |
| 18/EE/0011 | Parent/carer Intended Non-Adherence to their Child's Medication Regime | 26 |
| 18/EE/0048 | Bronchoscopic samples for BREATH COPD program | 17 |
| 18/EE/0049 | GENIE Emergency Department Study version 1 | 20 |

| Further Information Favourable Opinion with Additional Conditions | | |
|---|---------------------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |
| 18/EE/0012 | Anti-embolism stockings in acute care | 28 |

| Further Informati | on Unfavourable Opinion | |
|--------------------------|-------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Favourable Opini | on with Standard Conditions | |
|----------------------|-----------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Favourable Opin | on with Additional Conditions | |
|----------------------|-------------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

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| Unfavourable Opi | nion | |
|----------------------|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Provisional Opini | on | |
|--------------------------|-------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Further informati | on response not complete | |
|--------------------------|--------------------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

| Withdrawn after t | he meeting | |
|----------------------|------------|-------------------------|
| REC Reference | Title | Number of Days on Clock |

Table 10.1: Breakdown of current status of all substantial amendments reviewed within the reporting period

| Favourable opinion | | | | |
|----------------------------|--|----------------------------------|------------|----------------------------|
| Amendment REC Reference | Title | Version | Date | Number of Days on Clock |
| 06/Q0104/57/AM49 | BOXIT - Bladder COX-2 Inhibition Trial | 21 | 08/06/2017 | 23 |
| 10/H0304/64/AM07 | Alemtuzumab for ANCA-Associated Refractory Vasculitis | 8 | 31/05/2017 | 30 |
| 10/H0304/66/AM07 | Inherited platelet conditions version 1 | 5 | 24/03/2017 | 26 |
| 12/EE/0220/AM08 | Brain function and treatment response | 7 | 26/07/2017 | 29 |
| 13/EE/0157/AM02 | The Centre for Attention, Learning and Memory (CALM) | 2 | 15/12/2017 | 35 |
| 13/EE/0364/AM10 | A Phase 2 Study with Denosumab in Non-Small Cell Lung Cancer | 5 | 29/06/2017 | 22 |
| 13/EE/0433/AM07 | The Leadless Observational Study | 5 | 07/06/2017 | 35 |
| 13/EE/0433/AM08 | The Leadless Observational Study | 6 | 28/11/2017 | 34 |
| 13/EE/0436/AM14 | A safety study of pazopanib and MK-3475 in Renal Cell Carcinoma | 5 | 06/04/2017 | 29 |
| 13/EE/0436/AM15 | A safety study of pazopanib and MK-3475 in Renal Cell Carcinoma | 6 | 10/07/2017 | 16 |
| 13/EE/0436/AM16 | A safety study of pazopanib and MK-3475 in Renal Cell Carcinoma | ICF update 2 for Investigator | 27/11/2017 | 22 |
| 14/EE/0018/AM04 | Human vasodilatory response of GLP-1 | 4 | 20/11/2017 | 28 |
| 14/EE/0024/AM04 | Real time tissue characterisation using mass spectrometry | 4.0 | 12/05/2017 | 28 |
| 14/EE/0024/AM05 | Real time tissue characterisation using mass spectrometry | 5 | 11/12/2017 | 32 |
| 14/EE/0122/AM04 | The Fenland Study - Phase 2 | 4 | 20/04/2017 | 19 |
| 14/EE/0122/AM05 | The Fenland Study - Phase 2 | 5 | 01/11/2017 | 31 |
| 14/EE/0146/AM03 | PRICKLE | 3.0 protocol V4.0 | 24/05/2017 | 33 |
| 14/EE/1036/AM01 | Digital Speech Recovery from Articulator Movement (DiSArM). Version 1 | 1 | 11/01/2017 | 26 |
| 14/EE/1057/AM09 | DILfrequency | 5 | 25/10/2017 | 32 |
| 14/EE/1057/AM10 | DILfrequency | 6 | 15/12/2017 | 20 |
| 14/EE/1193/AM09 | AIRFLOW-1 | 6 | 05/10/2017 | 13 |
| 14/EE/1200/AM19 | MK3475 vs standard treatment in subjects with head and neck cancer | 14 | 07/04/2017 | 17 |
| 14/EE/1200/AM21 | MK3475 vs standard treatment in subjects with head and neck cancer | 16 | 10/10/2017 | 20 |
| 14/EE/1200/AM23 | MK3475 vs standard treatment in subjects with head and neck | 17 | 01/03/2018 | 32 |

| | cancer | | | |
|-----------------|---|-----------------------|------------|----|
| 14/EE/1257/AM01 | Extracorporeal Shockwave therapy for intermittent claudication | 1 | 20/07/2017 | 23 |
| | 1.0 | | | |
| 15/EE/0017/AM02 | Long Bone Diaphyseal Adaptation to Lifetime Loading v.1 | 1 | 09/03/2017 | 27 |
| 15/EE/0064/AM04 | Community Mental Health Survey 2015 | 3 | 05/12/2017 | 14 |
| 15/EE/0153/AM02 | PET CT analysis of restenosis after lower limb | 1 | 21/06/2017 | 6 |
| | revascularisation | | | |
| 15/EE/0218/AM05 | SMS - Study of Somatic Mutations using Genome Sequencing | 4 | 17/03/2017 | 15 |
| 15/EE/0218/AM06 | SMS - Study of Somatic Mutations using Genome Sequencing | 5 | 29/06/2017 | 21 |
| 15/EE/0218/AM07 | SMS - Study of Somatic Mutations using Genome Sequencing | 6 | 03/11/2017 | 19 |
| 15/EE/0226/AM03 | Oesophageal Squamous cell CARcinoma (OSCAR) study | 4 | 01/11/2017 | 20 |
| 15/EE/0373/AM02 | Cognitive and neural effects of methylphenidate in children with ADHD | 1 | 09/11/2017 | 17 |
| 15/EE/0385/AM15 | Medi4736 and Tremelimumab in Non Small Cell Lung Cancer | 8 | 22/05/2017 | 34 |
| 15/EE/0385/AM17 | Medi4736 and Tremelimumab in Non Small Cell Lung Cancer | 11 | 01/09/2017 | 6 |
| 15/EE/0385/AM18 | Medi4736 and Tremelimumab in Non Small Cell Lung Cancer | 11 | 20/10/2017 | 20 |
| 15/EE/0385/AM19 | Medi4736 and Tremelimumab in Non Small Cell Lung Cancer | D419AC00003 | 12/12/2017 | 27 |
| 15/EE/0385/AM20 | Medi4736 and Tremelimumab in Non Small Cell Lung Cancer | Protocol v7 | 12/03/2018 | 22 |
| 15/EE/0418/AM07 | CA209-451 Nivolumab vs Nivolumab/Ipilimumab or Placebo in ED-SCLC | 7 | 24/04/2017 | 22 |
| 15/EE/0418/AM10 | CA209-451 Nivolumab vs Nivolumab/Ipilimumab or Placebo in ED-SCLC | 9 | 22/12/2017 | 25 |
| 15/EE/0421/AM11 | MUKSeven | | 11/12/2017 | 29 |
| | | HM15/336/2017121 1 | | |
| 15/EE/0446/AM06 | Phase 3 extension study in patients with lung infections (INS- 312). | 5 | 02/06/2017 | 28 |
| 15/EE/0446/AM07 | Phase 3 extension study in patients with lung infections (INS- 312). | 6 | 14/07/2017 | 10 |
| 15/EE/0468/AM03 | Response Evaluation of Cancer Therapeutics in Metastatic Breast Cancer | 2 | 31/08/2017 | 28 |
| 16/EE/0015/AM02 | OAsIs | 2 | 03/04/2017 | 26 |
| 16/EE/0016/AM06 | A study to test the safety and efficacy of GSK2269557 in APDS patients | 3 | 24/10/2017 | 21 |
| 16/EE/0030/AM03 | iQuit in Practice | 3 | 22/01/2018 | 34 |
| 16/EE/0048/AM04 | KFL3502 (Version 1 (protocol version 1, 28 Sep 2015)) | 4 | 11/05/2017 | 27 |

| 16/EE/0065/AM06 | Cholecalciferol in Patients on Dialysis - SIMPLIFIED | 6 | 05/04/2017 | 19 |
|-----------------|---|---------------|------------|----|
| 16/EE/0065/AM16 | Cholecalciferol in Patients on Dialysis - SIMPLIFIED | 14 | 19/07/2017 | 19 |
| 16/EE/0065/AM20 | Cholecalciferol in Patients on Dialysis - SIMPLIFIED | 18 | 03/10/2017 | 22 |
| 16/EE/0068/AM03 | Influence of tranexamic acid on blood loss in total joint | 3 | 03/02/2017 | 20 |
| | replacement | | | |
| 16/EE/0068/AM04 | Influence of tranexamic acid on blood loss in total joint | 4 | 03/02/2017 | 27 |
| | replacement | | | |
| 16/EE/0105/AM03 | ZOL-A trial | SA3 | 26/04/2017 | 39 |
| 16/EE/0105/AM04 | ZOL-A trial | 4 | 02/08/2017 | 36 |
| 16/EE/0110/AM01 | SPECTRE | 1 | 23/05/2017 | 32 |
| 16/EE/0110/AM02 | SPECTRE | 2 | 06/02/2018 | 29 |
| 16/EE/0175/AM04 | EDITION JUNIOR | 3 | 05/06/2017 | 22 |
| 16/EE/0234/AM05 | SSG XXII | 3 | 30/10/2017 | 20 |
| 16/EE/0235/AM05 | 1199.36 Nintedanib + Sildenafil in advanced IPF | SA 5 | 12/05/2017 | 24 |
| 16/EE/0259/AM02 | The EPIC-Norfolk Fifth Health Check (5HC) | 1 | 27/03/2017 | 10 |
| 16/EE/0260/AM03 | Intermediate phenotypes of impulsivity and compulsivity | 2 | 26/05/2017 | 27 |
| 16/EE/0260/AM04 | Intermediate phenotypes of impulsivity and compulsivity | 3 | 11/07/2017 | 34 |
| 16/EE/0283/AM01 | Periodontal health in patients with acute myocardial infarction | 1 | 12/10/2017 | 12 |
| 16/EE/0283/AM03 | Periodontal health in patients with acute myocardial infarction | 2 | 17/01/2018 | 27 |
| 16/EE/0286/AM03 | Closed Loop from Onset in Type 1 Diabetes (CLOuD) | SA2 | 20/06/2017 | 15 |
| 16/EE/0286/AM04 | Closed Loop from Onset in Type 1 Diabetes (CLOuD) | 3 | 27/02/2018 | 24 |
| 16/EE/0346/AM01 | Optimizing new MR sequences in patients | 1 | 04/12/2017 | 21 |
| 16/EE/0421/AM02 | ATHENA Study | 2 | 11/07/2017 | 16 |
| 16/EE/0444/AM03 | ONO-4474-02 Study to evaluate the efficacy of ONO-4474 in | Protocol | 22/03/2017 | 35 |
| | patients | Amendment 3.0 | | |
| 16/EE/0444/AM05 | ONO-4474-02 Study to evaluate the efficacy of ONO-4474 in | 3.0 | 10/08/2017 | 24 |
| | patients | | | |
| 16/EE/0444/AM06 | ONO-4474-02 Study to evaluate the efficacy of ONO-4474 in | 3.0 | 25/09/2017 | 7 |
| | patients | | | |
| 16/EE/0446/AM03 | Niraparib in men with mCRPC and DNA-repair anomalies | 15.05.2017 | 15/05/2017 | 12 |
| 16/EE/0446/AM04 | Niraparib in men with mCRPC and DNA-repair anomalies | Protocol | 07/11/2017 | 30 |
| | | Amendment 3 | | |
| 16/EE/0446/AM05 | Niraparib in men with mCRPC and DNA-repair anomalies | 4 | 22/01/2018 | 29 |
| 16/EE/0453/AM01 | Phase I Study to Evaluate the Pharmacokinetics of Roxadustat | 1 | 06/04/2017 | 18 |
| 16/EE/0473/AM01 | Novel ET tubes in Human volunteers | 1.0 | 25/07/2017 | 26 |
| 16/EE/0494/AM01 | ReoGlio | | 20/07/2017 | 7 |

| | | CO16/150/G/20170 | | |
|-----------------|--|------------------|------------|----|
| | | 720 | | |
| 16/EE/0494/AM02 | ReoGlio | | 30/11/2017 | 14 |
| | | C016/150/Docs/20 | | |
| | | 171130 | | |
| 16/EE/0546/AM02 | Barrett's oESophagus Trial 3 (BEST3) | 3 | 03/04/2017 | 14 |
| 16/EE/0546/AM03 | Barrett's oESophagus Trial 3 (BEST3) | 2 | 31/03/2017 | 14 |
| 16/EE/0546/AM04 | Barrett's oESophagus Trial 3 (BEST3) | SA4 | 14/06/2017 | 28 |
| 16/EE/0546/AM05 | Barrett's oESophagus Trial 3 (BEST3) | 5 | 03/08/2017 | 15 |
| 16/EE/0546/AM07 | Barrett's oESophagus Trial 3 (BEST3) | 6 | 07/02/2018 | 20 |
| 16/EE/0550/AM04 | TICSI version 0.6 | 0.8 | 11/01/2017 | 14 |
| 16/EE/0552/AM03 | Safety, tolerability, PK & efficacy of LMB763 in patients with NASH | 3 | 05/07/2017 | 16 |
| 16/EE/0552/AM04 | Safety, tolerability, PK & efficacy of LMB763 in patients with NASH | 4 | 15/12/2017 | 32 |
| 16/EE/0552/AM06 | Safety, tolerability, PK & efficacy of LMB763 in patients with NASH | 5 | 09/03/2018 | 25 |
| 17/EE/0007/AM02 | ETNA DUS | 1 | 01/06/2017 | 28 |
| 17/EE/0021/AM04 | Phase I study:MK1454+/-Pembrolizumab-Advanced Solid | 4 | 12/10/2017 | 25 |
| | Tumors/Lymphomas | | | |
| 17/EE/0026/AM01 | Clinical Study of an Investigational Intraocular Lens | 1 | 07/08/2017 | 21 |
| 17/EE/0026/AM02 | Clinical Study of an Investigational Intraocular Lens | 2 | 23/11/2017 | 26 |
| 17/EE/0029/AM01 | BrainCool-Migraine | 1 | 05/05/2017 | 17 |
| 17/EE/0050/AM01 | Self Management among Adolescents with type 1 Diabetes in school, V1.0 | 1 | 18/07/2017 | 17 |
| 17/EE/0074/AM03 | Lorlatinib (PF-06463922) Open Label Lung Cancer Study | 2 | 25/05/2017 | 25 |
| 17/EE/0074/AM08 | Lorlatinib (PF-06463922) Open Label Lung Cancer Study | 4 | 25/01/2018 | 28 |
| 17/EE/0080/AM05 | Armo's Randomised Trial Inducing Stimulated T cells | Protocol | 18/08/2017 | 15 |
| | | Amendment 1.1 | | |
| 17/EE/0080/AM06 | Armo's Randomised Trial Inducing Stimulated T cells | 3 | 29/11/2017 | 19 |
| 17/EE/0080/AM07 | Armo's Randomised Trial Inducing Stimulated T cells | 4 | 19/01/2018 | 30 |
| 17/EE/0081/AM03 | PanCO | 2 | 16/08/2017 | 35 |
| 17/EE/0082/AM01 | Studies of mothers with postnatal depression | 1 | 05/12/2017 | 28 |
| 17/EE/0114/AM01 | AZFD - Study of LY3314814 in Early Alzheimer's Disease Dementia | 14/08/2017 | 08/08/2017 | 22 |
| 17/EE/0114/AM02 | AZFD - Study of LY3314814 in Early Alzheimer's Disease | 16/11/2016 | 18/10/2017 | 25 |

| | Dementia | | | |
|-----------------|--|-----------------------------------|------------|----|
| 17/EE/0115/AM01 | A study of FDL169 in subjects with Cystic Fibrosis | SA-01-EC-(Prot Amd5 GBR amd#4) | 10/10/2017 | 18 |
| 17/EE/0115/AM02 | A study of FDL169 in subjects with Cystic Fibrosis | SA-03-CA/SA-02 | 30/01/2018 | 28 |
| 17/EE/0297/AM01 | MO39129: Prophylactic Emicizumab in Haemophilia A patients | 1 | 10/01/2018 | 21 |
| 17/EE/0364/AM01 | West Midlands Combined Authority Health-led Trial | 1 | 13/02/2018 | 19 |
| 17/EE/0371/AM01 | Sheffield City Region Health-led Trial | 1 | 13/02/2018 | 7 |
| 17/EE/0371/AM02 | Sheffield City Region Health-led Trial | 2 | 28/02/2018 | 7 |
| 17/EE/0377/AM04 | SSCART | 3 | 20/02/2018 | 27 |
| 17/EE/0461/AM01 | Use of Human Blood Samples in Leukocyte Functional Assays | 1.1 | 07/12/2017 | 27 |
| 18/EE/0013/AM01 | PK study of BOS172767 formulations in healthy subjects (QCL118174) | 1 | 09/02/2018 | 27 |

| Unfavourable opinio | n | | | |
|----------------------------|---|------------|------------|----------------------------|
| Amendment REC Reference | Title | Version | Date | Number of Days on Clock |
| 06/Q0104/133/AM14 | Genetics of endocrine tumours | 13 | 12/04/2017 | 15 |
| 08/H0304/56+5/AM0 6 | Papworth Hospital Research Tissue Bank (PHRTB) - Renewal | 1 | 23/10/2017 | 35 |
| 08/h0304/85+5/AM0 3 | Norwich Biorepository (formerly the Partners in Cancer Research Human | 3 | 01/12/2017 | 31 |
| 11/EE/0297/AM04 | Isolation of blood-derived progenitor cells | 2 | 08/08/2017 | 29 |
| 14/EE/0137/AM01 | Pre-transplant normothermic liver perfusion | 1 | 19/10/2017 | 30 |
| 16/EE/0444/AM04 | ONO-4474-02 Study to evaluate the efficacy of ONO-4474 in patients | 2017/04/13 | 13/04/2017 | 24 |

Table 10.2: Breakdown of current status of all modified amendments reviewed within the reporting period

| Favourable opinion timeline | | | | |
|-----------------------------|-------|---------|------|-------------------|
| Amendment REC | Title | Version | Date | Number of Days on |

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| Reference | | | | Clock |
|-------------------------|--|-----------------|------------|-------|
| | Genetics of endocrine tumours | Amended Version | 26/01/2018 | 9 |
| 06/Q0104/133/AM14 /1 | | V13 | | |
| | Norwich Biorepository (formerly the Partners in Cancer | 3 | 01/12/2017 | 1 |
| 08/h0304/85+5/AM0 | Research Human | | | |
| 3/1 | | | | |
| | Amyloid imaging of late-life depression and mild cognitive | 7 | 09/01/2018 | 5 |
| 10/H0304/70/AM11/1 | impairment | | | |
| 11/EE/0297/AM04/1 | Isolation of blood-derived progenitor cells | 4 | 16/10/2017 | 15 |
| 12/EE/0220/AM06/1 | Brain function and treatment response | Modified SA6 | 01/04/2017 | 7 |
| 14/EE/0137/AM01/1 | Pre-transplant normothermic liver perfusion | 2 | 04/01/2018 | 8 |
| 16/EE/0444/AM04/1 | ONO-4474-02 Study to evaluate the efficacy of ONO-4474 in | 2017/05/16 | 16/05/2017 | 5 |
| | patients | | | |

| Unfavourable opinion timeline | | | | |
|-------------------------------|--|--|--|--|
| Number of Days on Clock | | | | |
| | | | | |

| Full applications for ethical review over 60 day timeline | | | |
|---|-------|-------------------------|--|
| REC Reference | Title | Number of Days on Clock | |

| Proportionate review applications for ethical review over 21 day timeline | | | | |
|---|--|-------------------------|--|--|
| REC Reference | Title | Number of Days on Clock | | |
| 18/EE/0010 | Novel segmentation technique of T1 mapping in Pulmonary Hypertension | 29 | | |
| 18/EE/0011 | Parent/carer Intended Non-Adherence to their Child's Medication Regime | 26 | | |
| 18/EE/0012 | Anti-embolism stockings in acute care | 28 | | |

| SSAs (non Phase 1) over 25 day timeline | | | |
|---|---|-------------------------|--|
| REC Reference | Title | Number of Days on Clock | |
| 17/EE/0254 | A Prospective, Randomized, Controlled, Multi-Center Clinical Study of the\nACRYSOF IQ Extended Depth of Focus (EDF) IOL | 27 | |

| SSAs (Phase 1) over 14 day timeline | | | |
|-------------------------------------|-------|-------------------------|--|
| REC Reference | Title | Number of Days on Clock | |

| Substantial Amendments over 35 day timeline | | | | |
|---|-------------|---------|------------|-------------------|
| Amendment REC | Title | Version | Date | Number of Days on |
| Reference | | | | Clock |
| 16/EE/0105/AM03 | ZOL-A trial | SA3 | 26/04/2017 | 39 |
| 16/EE/0105/AM04 | ZOL-A trial | 4 | 02/08/2017 | 36 |

| Modified Amendments over 14 day timeline | | | | |
|--|---|---------|------------|-------------------|
| Amendment REC | Title | Version | Date | Number of Days on |
| Reference | | | | Clock |
| 11/EE/0297/AM04/1 | Isolation of blood-derived progenitor cells | 4 | 16/10/2017 | 15 |