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**Transparency 673:** Failure to address Science & Technology Select Committee recommendations regarding research transparency **(NEW)**

Externally Controlled Risk

**Systems risk - 591**. Future running costs cannot be accommodated in HRA spending review allocation

**Systems risk – 654**. Unable to complete new systems due to not being able to procure necessary resources

**Systems risk – 655.** Inability to meet demands of current and new systems

**IT risk – 598.** Future Services Programme (replacement for ATOS) not delivered on time

**Service Improvement Programme Risk**

**Transparency Risk**

**Social Care Risk**

**Approval Risk**

**IT Risk**

**Systems Risk**

**Finance Risk**

HRA Controlled Risk

Risk Partially controlled

Risk increased since last quarter

Risk decreased since last quarter

**Deescalated risks**

**Closed risks**

**SIP - 537.** Insufficient people resource to deliver SIP

**HRA Approval 568.** Sponsors do not follow process for ’35 day no objection’ for amendment

**Transparency / reputation - 004.** HRA unable to deliver level of expectation within role to promote transparency

**Risks now an issue**

**Social Care 288:** Unknown scope and expectations

**HRA Approval - 608.** Delay in research starting at participating NHS organisations due to sites refusing to receive local info packs

**KEY:**

**HRA Corporate Risk Register Overview – Quarter 3 2018/19**

**5**

**4**

**3**

**5**

**4**

**3**

**Likelihood**

**Impact**

**Trend analysis**

* A total of three risks are included on the corporate risk register for Quarter 3.
* Three risks have closed and one has deescalated in comparison with the previous quarter.
* Three risks in relation to the Research System Procurement of new IRAS are now being treated as Issues.
* Of the three risks on the corporate risk register for Quarter 3, one (HRA673) is a new risk and relates to the recommendations from the House of Commons Science & Technology Committee regarding research transparency. This has replaced a long standing risk (HRA004) which has been updated and closed to better reflect the current position in relation to transparency.
* HRA 537 in relation to insufficient people resource to deliver Service Improvement Programme (SIP) has been closed with the majority of SIP workstreams completed or near to completion.
* One HRA Approval risk (HRA568) has closed whilst another (HRA608) has reduced in score from 16 to 12 which demonstrates the high level of understanding regarding HRA Approval process requirements in the research community.
* HRA598 relating to the future provision of the HRA’s IT system has reduced to a score of 9 and been removed from the corporate risk register with the HRA closely involved and sighted on the implementation of the programme to ensure the new IT system is provided on time.