**HRA Corporate Risk Register Overview – Quarter 2 2018/19**

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**Impact**

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| **Key:**  **IT risk**  **GDPR risk**  **UK wide compatibility risk**  **SIP risk**  **Finance risk**  **Social Care risk**  **Systems risk**  **Reputational risk**  **Risk increased since last quarter**  **Risk decreased since last quarter**  **HRA Controlled Risk**  **Risk Partially Controlled**  **Externally Controlled Risk**  **HRA Approval risk** |

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| **Transparency / reputation - 004.** HRA unable to deliver level of expectation within role to promote transparency  **SIP - 537.** Insufficient people resource to deliver SIP  **Systems risk – 655.** Inability to meet demands of current and new systems **NEW** | **Systems risk - 591**. Future running costs cannot be accommodated in HRA spending review allocation  **OLD RISK RAISED**  **Systems risk – 654**. Unable to complete new systems due to not being able to procure necessary resources  **NEW**  **HRA Approval - 608.** Delay in research starting at participating NHS organisations due to sites refusing to receive local info packs |  |
|  | **IT risk – 598.** Future Services Programme (replacement for ATOS) not delivered on time **OLD RISK RAISED**  **HRA Approval 568.** Sponsors do not follow process for ’35 day no objection’ for amendment    **Social Care 288:** Unknown scope and expectations |  |

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| **Deescalated risks:**  **UK wide compatibility 626.** Political pressure and Brexit adversely affecting delivery of key programmes of work and cross border compatibility  **GDPR - 627.** Delivering guidance, training and responding to queries taking up resource |
| **Closed risks:**  **Systems risk - 588**. IRAS replacement not delivered on time due to lack of capacity within RS team |

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**Likelihood**

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**Likelihood**

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**Trend analysis**

* A total of nine risks are included on the corporate risk register for Quarter 2.
* One risk has closed and two have deescalated in comparison with the previous quarter.
* Two new risks have been escalated to the corporate risk register. A further two risks have been escalated which have been on the directorate risks registers for a period of time but had not been previously escalated to the corporate risk register.
* The closed risk (588) relates to the IRAS replacement not being delivered on time. The Research Systems Board has undertaken an extensive review of its risk register with a number of risks being reworded and more appropriately assigned. Two new risks, and one old risk not previously escalated, which relate to systems have therefore been escalated this quarter. This reflects the growing risk in relation to the delivery of the research systems programme, the resource implications and requirements and the importance of the delivery of new IRAS to the HRA. The HRA Board has been kept regularly updated regarding the risks associated with the research systems programme.
* The other risk which has been escalated this quarter relates to the Future Services Programme and the work to deliver the replacement to the IMS3 contract with Atos which ends in April 2020. This programme has slipped with a greater risk of delay which is largely outside the HRA’s control. However there are other large parties involved including NHS England therefore it is extremely likely a contingency to continue to provide an IT service would be available.
* The two deescalated risks relate to UK wide compatibility (626) with strong cross border working and relationships in effect, and GDPR guidance (627) which now appears to be more widely understood by researchers.