**HRA Corporate Risk Register Overview – Quarter 2 2016/17**

**Impact**

**5**

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| **Key:**  **Information Technology risk**  **Finance risk**  **Social Care risk**  **Communications risk**  **Reputational risk**  **Operational risk**  **Risk increased since last quarter**  **Risk decreased since last quarter**  **HRA Controlled Risk**  **Risk Partially Controlled**  **Externally Controlled Risk**  **HRA Approval risk**  **Confidentiality Advisory Group risk** |

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|  | **HRA Approval**  **206.** Identification of metrics |  |
| **HRA Approval**  **485.** Researchers confused by operational and policy differences across UK  **486.** NHS organisations may perceive timelines will be longer and show fall in performance  **Finance 471.** Risk of shift in forecast position  **CAG**  **389.** Unable to effectively deliver CAG’s advisory function on HSCIC dissemination  **Social Care 288.** Unknown scope and expectations | **Reputational risk**  **377.** Stakeholders not receiving / understanding info  **HRA Approval**  **204.** Substantial change for stakeholders  **375.** Acceptance varies due to ongoing information governance work |  |
|  | **HRA Approval**  **500.** Applicants not following HRA Approval Process |  |

**4**

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| **Removed risks:**  **Comms 282.** Website and intranet out of date |
| **Closed risks:**  **HRA Approval**  **452.** Confused communication re amendments  **CAG**  **436.** Unable to deliver CAG functions - staff  **Reputational risk**  **376.** HRA and DAs unable to continue to agree on single approach to the handling of operations items |

**Likelihood**

**5**

**4**

**3**

**3**

**3**

**Likelihood**

**5**

**4**

**Trend analysis**

Commentary:

* No new risks were added to the Quarter 2 16/17 Corporate Risk Register with 4 risks either closed or removed from the register since the previous quarter. Of the remaining 10 risks there has been no movement to report.
* Of the 3 closed risks:
* HRA436, relating to staffing of the Confidentiality Advisory Team, has closed as recruitment has been completed with new members of staff now in post.
* HRA452, relating to confusion regarding amendments, has closed with UK wide clarification issued an UK wide working to consider the handling now completed.
* Similarly, HRA376 relating to the HRA and Devolved Administrations unable to agree on a single approach to the handling of operational issues, has now been resolved with a productive meeting of the 4 Nations held in September agreeing solutions for UK wide compatibility.
* HRA282, relating to the website becoming out of date, has not been escalated to the corporate risk register this quarter as the risk has reduced since the previous quarter. The Product Delivery Manager is now in post with the PID for the website improvement project recently approved by EMT. EMT agreed the mitigations were in hand with the communications team working with the HRA Approval team to review and update content.
* HRA288, relating to scoping of social care has not changed score however please note the addition of a number of mitigations in the actual risk register.
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