**HRA Corporate Risk Register Overview – Quarter 4 2017/18**

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**Impact**

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| **Key:**  **GDPR risk**  **UK wide compatibility risk**  **SIP risk**  **Finance risk**  **Social Care risk**  **Systems risk**  **Reputational risk**  **Operational risk**  **Risk increased since last quarter**  **Risk decreased since last quarter**  **HRA Controlled Risk**  **Risk Partially Controlled**  **Externally Controlled Risk**  **HRA Approval risk** |

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| **Transparency / reputation - 004.** HRA unable to deliver level of expectation within role to promote transparency  **HRA Approval - 553.** Stakeholders misunderstand / misinterpret predicted end to end timelines.  **608.** Delay in research starting at participating NHS organisations due to sites refusing to receive local info packs **(NEW)**  **614.** Insufficient number of applications received into clinical trials pilot to test process (NEW)    **UK wide compatibility 626.** Political pressure and Brexit adversely affecting delivery of key programmes of work and cross border compatibility **(NEW)**  **GDPR - 627.** Delivering guidance, training and responding to queries taking up resource **(NEW)**  **628.** Guidance to research community inaccurate or misunderstood **(NEW)**  **Systems risk - 588**. IRAS replacement not delivered on time due to lack of capacity within RS team **(NEW)**  **590.** IRAS replacement not delivered on time as no supplier meets necessary delivery criteria **(NEW)** |  |  |
|  | **SIP - 537.** Insufficient people resource to deliver SIP **(Raised)**  **HRA Approval 568.** Sponsors do not follow process for ’35 day no objection’ for amendment    **Social Care 288:** Unknown scope and expectations |  |

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| **Removed risks:**  **Finance**  **559.** Long term financial risk  **HRA Approval**  **508.** Researchers perceive different HRA functions to be disjointed |
| **Closed risks:** |

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**Likelihood**

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**3**

**Likelihood**

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**Trend analysis**

* A total of twelve risks are included on the corporate risk register for quarter 4.
* Two risks have been removed – one relating to long term financial risk (559) however a new risk will be added in relation to financial pressures as a result of pay changes following the proposed NHS pay agreement. The other removed risk (508) relates to researchers perceiving different HRA functions to be disjointed however much of the SIP work has managed this risk with clear communication taking place with researchers.
* There are seven new risks:
  + Two relate to systems and the delivery of the replacement IRAS system on time – with capacity of the current RS team (588) and the possibility of a supplier not being identified (590) the issues flagged.
  + Two new risks have been added in relation to GDPR (627 &628) which relate to the accuracy and ease of understanding of external guidance we provide to researchers and the resource implications this has on our staff.
  + 626 relates to UK wide working and the impact Brexit and other political pressures may have on compatibility.
  + A further two risks in relation to HRA Approval have also been raised.
* The risk related to unknowns with regard to social care (288) has reduced again with a paper planned to be considered at the July Board meeting.
* 004 relating to transparency has also been reduced following discussion of a paper at the March Board meeting however further work is required to continue to progress the transparency agenda.
* 537 relates to workforce pressures and the impact on delivery of SIP however the workforce board has a number of mitigations in place to manage this risk.